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**Avaliação da eficácia de uma  
nanoemulsão de temozolomida e da  
influência de co e pré-tratamentos  
com ferroceno em linhagens  
celulares de glioblastoma humano**

**UFCSPA**

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Orientadora: Dra. Dinara Jaqueline Moura

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*“Quando a educação não é libertadora,  
o sonho do oprimido é ser o opressor.”  
Paulo Freire*

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## LISTA DE ABREVIATURAS, SÍMBOLOS E UNIDADES

- BER:** reparo por excisão de bases (do inglês *base excision repair*)
- BHE:** barreira hematoencefálica
- DDS:** sistema de entrega de fármacos (do inglês *drug delivery system*)
- DNA:** do inglês *deoxyribonucleic acid*
- ERK1/2:** do inglês *extracellular signal-regulated kinase 1/2*
- Fc:** ferroceno
- FDA:** do inglês *U.S. Food and Drug Administration*
- GB:** glioblastoma
- H<sub>2</sub>O<sub>2</sub>:** peróxido de hidrogênio
- HR:** recombinação homóloga (do inglês *homologous recombination*)
- HPMA:** *N*-(2-hidroxipropil)-metacrilamida
- IARC:** do inglês *International Agency for Research on Cancer*
- IDH:** isocitrato desidrogenase
- INCA:** Instituto Nacional do Câncer
- MGMT:** O<sup>6</sup>-metilguanina-DNA metiltransferase
- MMR:** reparo por mal pareamento do DNA (do inglês *mismatch repair*)
- MTIC:** 3-metil-(triazen-1-il)imidazol-4-carboximida
- NADPH:** do inglês *nicotinamide adenine dinucleotide phosphate*
- NE:** nanoemulsão
- NHEJ:** reparo por junção de extremidades não-homólogas (do inglês *non-homologous end joining*)
- nm:** nanômetro
- NP:** nanopartícula
- OH:** radical hidroxila
- OMS:** Organização Mundial da Saúde
- O<sup>6</sup>-MG:** O<sup>6</sup>-metilguanina
- PEG:** polietilenoglicol
- PI3K/AKT:** do inglês *phosphoinositide 3-kinase/protein kinase B*
- QD:** do inglês *quantum dots*
- RNA:** do inglês *ribonucleic acid*

**ROS:** espécies reativas de oxigênio (do inglês *reactive oxygen species*)

**SNC:** sistema nervoso central

**TMZ:** temozolomida

**WHO:** do inglês *World Health Organization*

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## RESUMO

O glioblastoma é um glioma de grau IV, ocorrendo predominantemente em adultos e com características altamente agressivas. O tratamento convencional para este tipo tumoral compreende ressecção cirúrgica, seguida de radioterapia combinada com quimioterapia utilizando temozolomida. Estas abordagens terapêuticas desempenham um papel essencial na gestão clínica da doença, embora ainda resultem em um prognóstico desfavorável, com uma média de sobrevida global de apenas 14 a 19 meses. Isto ocorre principalmente devido à natureza inoperável da maioria dos tumores e à resistência crescente à quimioterapia quando estes são diagnosticados em estágios avançados, o que justifica a necessidade pela busca de novas moléculas e estratégias terapêuticas para o seu tratamento. O recente progresso na área da nanotecnologia, com o desenvolvimento de sistemas de entrega de fármacos como as nanoemulsões, e a ação sensibilizante tumoral e geradora de espécies reativas de oxigênio do ferroceno, têm trazido novas possibilidades à complementação da quimioterapia em diversos tipos de câncer, aumentando a eficácia dos tratamentos. Neste contexto, esta tese apresenta dois capítulos abordando (i) as diferentes nanoestruturas já desenvolvidas para a entrega de moléculas no tratamento do câncer, como estas conseguem atingir o ambiente tumoral e aumentar a toxicidade nos tecidos-alvo, e as barreiras que estes sistemas enfrentam para alcançar resultados positivos, bem como (ii) o desenvolvimento, a caracterização e a avaliação da citotoxicidade de uma nanoemulsão contendo temozolomida e sua combinação com ferroceno em linhagens celulares de glioblastoma humano. A caracterização físico-química da nanoemulsão revelou a presença da temozolomida na interface do sistema, permitindo sua rápida liberação. Em combinação com o ferroceno, reduziram a viabilidade celular em tratamentos agudos e em regime de dois ciclos, aumentando a sensibilidade à temozolomida da linhagem celular T98G por meio da alteração do potencial da membrana mitocondrial, com uma indução de espécies reativas de oxigênio, com consequente morte celular. Estes resultados demonstraram a eficácia do uso de uma nanoemulsão contendo temozolomida e sua combinação com ferroceno como uma efetiva abordagem ao tratamento do glioblastoma. Além

disso, o rápido desenvolvimento da nanomedicina e o constante melhoramento das técnicas relacionadas apontam para um futuro promissor no tratamento de diversos tipos de câncer com um possível aumento da qualidade de vida destes pacientes.

**Palavras-chave:** *Glioblastoma. Temozolomida. Nanomedicina. Nanoemulsão. Ferroceno. Espécies reativas de oxigênio.*

## ABSTRACT

Glioblastoma is a grade IV glioma, occurring predominantly in adults and with highly aggressive characteristics. Its conventional treatment involves surgical resection followed by combined radiotherapy and chemotherapy using temozolomide. These therapeutic approaches play an essential role in the clinical management of the disease, although they still result in a poor prognosis, with an average overall survival of only 14 to 19 months. This is mainly due to the inoperable nature of most tumors and the increasing resistance to chemotherapy when diagnosed at advanced stages, justifying the need to search for new molecules and therapeutic strategies for its treatment. Recent progress in the field of nanotechnology, with the development of drug delivery systems such as nanoemulsions, and the tumor-sensitizing and reactive oxygen species generating action of ferrocenes, have brought new possibilities for complementing chemotherapy in various types of cancer, increasing treatment effectiveness. In this context, this thesis presents a compilation of two chapters addressing (i) the different nanostructures already developed for the delivery of molecules in cancer treatment, how they can reach the tumor environment and increase toxicity in target tissues, and the barriers these systems face to achieve positive results, as well as (ii) the development, characterization, and evaluation of the cytotoxicity of a nanoemulsion containing temozolomide and its combination with ferrocene in human glioblastoma cell lines. The physicochemical characterization of the nanoemulsion revealed the presence of temozolomide at the system interface, allowing its rapid release. In combination with ferrocene, it reduced cell viability in acute treatments and in a two-cycle regimen. This reduction in viability in the T98 cell line appears to be associated with changes in mitochondrial membrane potential, increasing the generation of reactive oxygen species, therefore leading to cell death. These results demonstrated the effectiveness of using a nanoemulsion containing temozolomide and its combination with ferrocene as an effective approach to glioblastoma treatment. Furthermore, the rapid development of nanomedicine and ongoing improvements in related

techniques point to a promising future in the treatment of various types of cancer and the improvement of the quality of life for these patients.

**Keywords:** *Glioblastoma. Temozolomide. Nanomedicine. Nanoemulsion. Ferrocene. Reactive oxygen species.*

## 1 INTRODUÇÃO

O câncer é caracterizado pelo crescimento desordenado de células anormais, apresentando desorganização parenquimal de tecidos e órgãos e o consequente comprometimento fisiológico do sistema acometido. A etiologia da doença envolve diversas características, como a ocorrência de danos ao DNA e o estresse replicativo, que geralmente geram algum tipo de instabilidade genômica, bem como desordens no sistema imunológico, o aporte angiogênico e a recorrência de tumores em razão da invasão tecidual e metástase (Negri et al., 2010).

Considerando a diversidade de tipos celulares presentes no conjunto tumoral, a comunidade científica enfrenta um desafio significativo não apenas na compreensão abrangente das vias afetadas, mas também na busca por abordagens terapêuticas que alcancem eficácia com taxas reduzidas de efeitos colaterais. Esse desafio é facilmente evidenciado quando observados os dados epidemiológicos da doença em anos recentes. Conforme a *World Health Organization* (WHO), o câncer foi responsável por quase 10 milhões de mortes em 2020, o que representa em torno de uma em cada seis mortes, desta forma ocupando o 2º lugar entre as principais causas de morte no mundo, atrás apenas das doenças cardiovasculares (Bray et al., 2018; WHO, 2020). De acordo com a *International Agency for Research on Cancer* (IARC, 2020), para o período entre 2020 e 2040, é esperado um total de 30,2 milhões de novos casos de câncer em todo o mundo, representando um aumento de aproximadamente 60% em relação ao número atual, além de 19,3 milhões de óbitos.

No Brasil, no ano de 2020, foram registrados 552.212 novos casos de câncer e ocorreram 259.949 mortes relacionadas a essa doença (Bray et al., 2018). Conforme dados do Instituto Nacional do Câncer (INCA, 2020), as estimativas para o Brasil nos anos de 2020 a 2022 previam cerca de 625 mil novos casos, com uma projeção de aumento de até 50% até 2025, principalmente devido ao envelhecimento e ao crescimento da população. Estimativas indicam que a incidência de câncer deverá aumentar em quase 80% até 2040, acompanhada por um crescimento de 83% nas taxas de mortalidade. Em geral, a incidência e a letalidade do câncer estão em ascensão globalmente, impulsionadas tanto pelo envelhecimento quanto pelo aumento populacional, bem como pelas mudanças

nos padrões de risco da doença, especialmente aqueles relacionados ao desenvolvimento socioeconômico (Rezende et al., 2019).

Ao se concentrar exclusivamente nos tumores que afetam o sistema nervoso central (SNC), os gliomas representam 28% de todos os tumores primários cerebrais e 80% dos tumores malignos deste sistema (Ostrom et al., 2015). De maneira geral, o termo "glioma" engloba uma variedade de tumores gliais, tanto benignos quanto malignos e, apesar de sua incidência relativa ser baixa, apresentam um prognóstico desfavorável e impacto negativo na qualidade de vida e função cognitiva dos pacientes (Guntuku et al., 2016). Estes tumores são categorizados com base em sua histopatologia, e os astrocitomas de grau IV, conhecidos como glioblastomas (GBM), são os mais agressivos (R. Chen et al., 2017), representando a maioria dos casos entre todos os gliomas e apresentando-se como a forma mais severa e com o prognóstico mais desfavorável (Bray et al., 2018).

### **1.1 Glioblastoma**

Os GB, também conhecidos como gliomas de grau IV, possuem uma alta prevalência, compreendendo 45% dos tumores malignos que afetam o SNC (Bush et al., 2017). Essa variante tumoral é histologicamente definida como astrocitoma e sua classificação molecular ocorre com base no status da enzima isocitrato desidrogenase (IDH) e na metilação do promotor da enzima O<sup>6</sup>-metilguanina-DNA metiltransferase (MGMT) (Louis et al., 2021; Yeon Nam & de Groot, 2017). A enzima IDH do tipo selvagem é frequentemente encontrada em níveis excessivos no GB (Calvert et al., 2017), e desempenha um papel significativo na geração de NADPH, exibindo uma atividade enzimática superior a outras enzimas envolvidas na produção deste cofator, principalmente através de sua ação de descarboxilação oxidativa. Essa característica impulsiona a progressão do tumor e promove a resistência à morte celular ao favorecer a síntese eficaz de ácidos graxos, bem como a participação em mecanismos de hipóxia mediados pela geração de espécies reativas de oxigênio (ROS) (Alzial et al., 2022).

O GB se caracteriza por sua natureza difusa, embora sua etiologia e fisiopatologia completas ainda não estejam plenamente compreendidas. Apesar de ser possível ocorrer em qualquer faixa etária, é importante destacar que a incidência aumenta com o envelhecimento, sendo a idade média do diagnóstico em torno dos 65 anos (Le Rhun et al., 2019). Após o diagnóstico, a taxa de sobrevida em longo prazo é baixa, com apenas 4 a 5% dos pacientes sobrevivendo por mais de 5 anos. Mesmo com os avanços recentes nas estratégias terapêuticas, a média de sobrevida após diagnóstico e com tratamento gira em torno de 15 meses (Batash et al., 2017). Por conseguinte, apesar da aplicação de todas as abordagens de tratamento disponíveis, essa doença continua sendo praticamente intratável.

Atualmente, a abordagem padrão de tratamento para pacientes com GB consiste em uma combinação de ressecção cirúrgica, radioterapia e quimioterapia adjuvante. Contudo, devido à alta capacidade de infiltração do tecido normal pelo tumor, a completa ressecção se torna praticamente inviável e, mesmo quando realizada, a taxa de recorrência é elevada uma vez que células invasivas remanescentes acabam originando novos tumores próximos à região original. Avaliar a extensão da ressecção e ponderar os benefícios em relação aos riscos da cirurgia são desafios complexos. Embora haja uma tendência geral de maiores taxas de ressecção estarem associadas a uma melhor sobrevida, é crucial uma análise criteriosa para entender como essa melhora na sobrevivência se compara aos potenciais déficits neurológicos que podem surgir após a cirurgia (Morás et al., 2021).

Neste contexto, as limitações da cirurgia sugerem a necessidade de abordagens terapêuticas combinadas, como a radioterapia por radiação ionizante (J. Wang et al., 2018) que gera quebras de fita simples e dupla e ligações cruzadas na molécula de DNA, além de danos indiretos por meio da geração de ROS (Morás et al., 2021). A radiação, no entanto, não apenas reduz a proliferação e metástase das células cancerosas, mas também pode geneticamente alterar os tecidos normais, causando prejuízos às células não tumorais (J. Wang et al., 2018). Além disso, as células tumorais podem adaptar suas respostas à radiação ao se utilizarem de sua condição ambiental de hipóxia, reduzindo o fornecimento de oxigênio e limitando a produção de ROS. Similarmente, as células tumorais podem

fortalecer suas defesas antioxidantes para neutralizar moléculas oxidativas antes que causem dano celular (Morás et al., 2021).

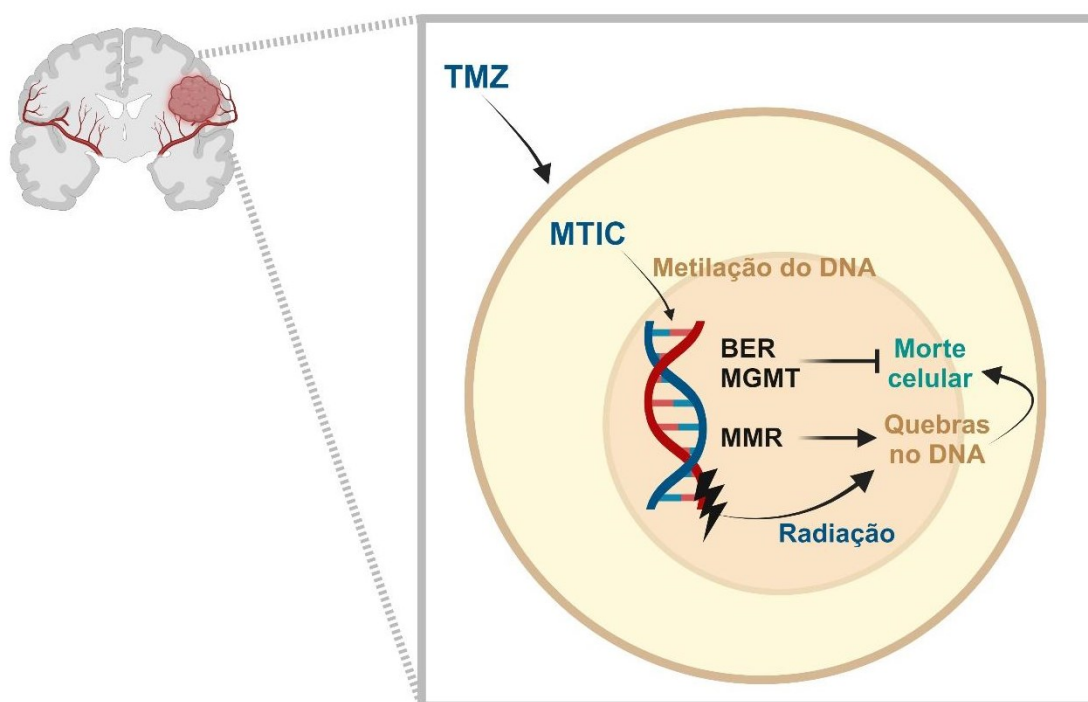
Por fim, a quimioterapia é o terceiro componente terapêutico empregado em conjunto com as abordagens já mencionadas. O fármaco mais comumente utilizado é a temozolomida (TMZ), um pró-fármaco da classe de agentes alquilantes, cujo mecanismo de ação baseia-se na transferência do seu grupo alquil eletrofílico para o sítio mais nucleofílico do DNA. A inclusão da TMZ no protocolo de tratamento de GB recém-diagnosticados, sem dúvida, melhorou a sobrevida dos pacientes. Entretanto, sua administração sistêmica apresenta desvantagens significativas, como efeitos adversos gastrointestinais e hematológicos. Ademais, sua eficácia clínica é limitada pela necessidade de doses sistêmicas mais altas para alcançar efeitos terapêuticos no cérebro, e diversos mecanismos de resistência estão associados ao fracasso do tratamento, incluindo mecanismos de reparo do DNA e a presença da barreira hematoencefálica (BHE) (Miranda et al., 2017; Morás et al., 2021; Strobel et al., 2019).

## 1.2 Temozolomida

A TMZ é um derivado imidazotetrazina, resultante da modificação do agente alquilante dacarbazina, sendo comumente conhecida pelo seu nome comercial Temodal<sup>®</sup>. A descoberta de sua ação antitumoral ocorreu em 1987 (Lee, 2016; Stevens et al., 1987) e desde sua aprovação em 2005 pela *Food and Drug Administration* (FDA) (Stupp et al., 2005) tem sido amplamente utilizada como tratamento quimioterápico de primeira linha para pacientes com GB.

A administração da TMZ é predominantemente oral, e sua absorção ocorre no intestino delgado, seguida de uma hidrólise espontânea no meio intracelular, gerando o metabólito reativo e alquilante 3-metil-(triazen-1-il)imidazol-4-carboximida (MTIC). O MTIC, por sua vez, penetra no núcleo das células e adiciona grupos metil às bases do DNA, especificamente nas posições N3 da adenina e N7 e O6 da guanina. As metilações na guanina levam à incorporação errônea de uma timina durante o processo de replicação, resultando em danos nas fitas do DNA e morte celular por apoptose.

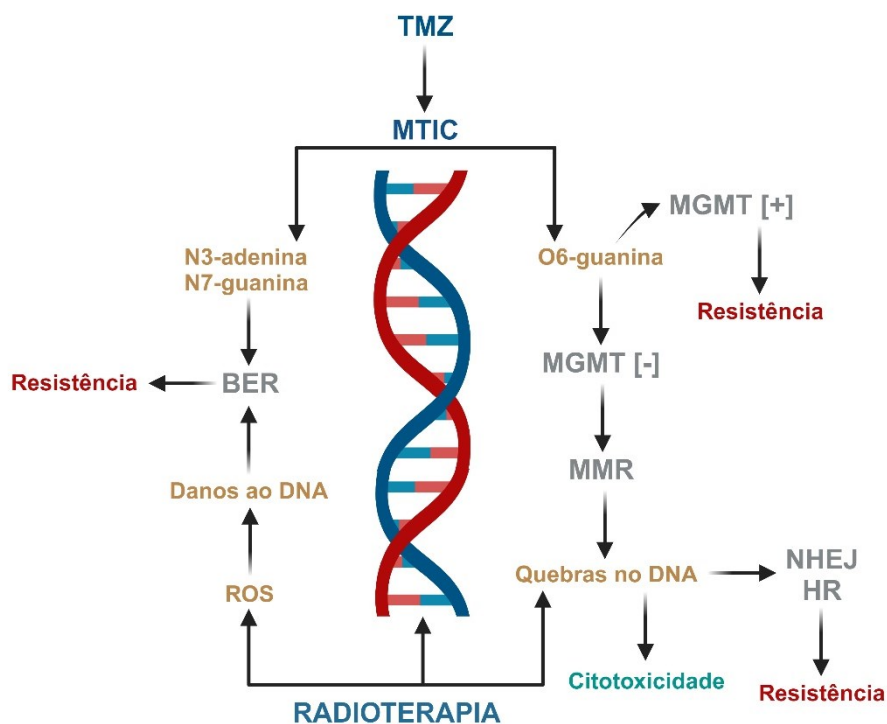
Entretanto, suas propriedades eletroquímicas levam a diferentes taxas de metilação, com maior frequência (cerca de 90%) e menor grau de citotoxicidade nas posições N3 da adenina e N7 da guanina, fato este relacionado à ação do mecanismo de reparo por excisão de bases (BER). Apenas 5 a 10% das metilações ocorrem na posição O6 da guanina, resultando no estabelecimento de uma O<sup>6</sup>-metilguanina (O<sup>6</sup>-MG) ao DNA, uma lesão altamente citotóxica e mutagênica. Neste caso, a via de reparo por mal pareamento do DNA (MMR) é ativada, mas ela só atua no reparo da fita de DNA recém-sintetizada, mantendo a metilação na fita molde. Isso acaba por gerar ciclos fúteis de substituição, aumento do gasto energético e a potencial parada da forquilha de replicação, levando a célula à morte por apoptose (Figura 1) (Erasmus et al., 2016; Morás et al., 2021; Ortiz et al., 2021; Wesolowski et al., 2010).



**Figura 1: Mecanismo de ação da temozolomida.** A temozolomida (TMZ) é convertida intracelularmente na sua forma ativa imidazólica (MTIC), que transfere de seu grupo alquil eletrofílico para o átomo mais nucleofílico do DNA. Na ausência e/ou ineficácia dos mecanismos de reparo de DNA, os danos gerados por esta metilação acabam levando a célula à morte por apoptose. **Fonte:** adaptado de Morás et al. (2021), com o auxílio da ferramenta BioRender. **Abreviaturas:** BER (reparo por excisão de bases); MGMT (O6-metilguanina-DNA metiltransferase); MMR (reparo por mal pareamento do DNA).

Não obstante, muitos pacientes não respondem ao tratamento com TMZ devido à influência de seu perfil genético, que afeta a resposta do organismo ao quimioterápico. Isso se relaciona à ação de diferentes vias de sinalização e reparo do DNA, que reconhecem e corrigem erros na molécula a fim de evitar o seu colapso e a parada do ciclo celular. Tais vias estão comumente superativadas em células tumorais, que as usam para sobreviver em condições de instabilidade genômica, conseqüentemente contribuindo para a resistência a diversos quimioterápicos e piora do prognóstico dos pacientes (Lee, 2016).

O GB se utiliza de várias vias para reparar os danos causados ao DNA pela TMZ: os já mencionados BER e MMR, além do reparo direto pela enzima O<sup>6</sup>-metilguanina-DNA metiltransferase (MGMT), o reparo por recombinação homóloga (HR) e o reparo por junção de extremidades não-homólogas (NHEJ). De um modo geral, as lesões menos citotóxicas são frequentemente reparadas pela via BER, que envolve uma série de etapas de quebras, clivagens e polimerização da fita de DNA. As lesões do tipo O<sup>6</sup>-MG são reparadas em um passo pela enzima MGMT, especialmente em pacientes com alta expressão do gene codificante desta enzima. Além do mais, as quebras duplas também podem ser reparadas pelas vias HR, uma via livre de erros, mas que depende da ressecção de extremidades do DNA e disponibilidade de uma fita molde para a ressíntese, ou via NHEJ, que repara o dano pela simples remoção e junção das extremidades, sendo por esta razão mais propensa a erros (Figura 2) (Erasmus et al., 2016).

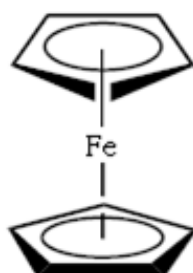


**Figura 2: Vias de reparo de DNA relacionadas ao tratamento com temozolomida e radioterapia.** Aproximadamente 90% das lesões causadas pela forma ativa imidazólica (MTIC) da temozolomida (TMZ) ocorrem nas posições N3 da adenina e N7 da guanina, enquanto apenas 5 a 10% atingem a porção O6 da guanina. A radioterapia causa danos ao DNA por meio de quebras ou da geração de espécies reativas de oxigênio (ROS). De um modo geral, cada uma destas lesões pode ser reparada por vias específicas de reparo (BER, MGMT, MMR, NHEJ e HR), induzindo resistência ao tratamento. **Fonte:** adaptado de Morás et al. (2021), com o auxílio da ferramenta BioRender. **Abreviaturas:** BER (reparo por excisão de bases); HR (recombinação homóloga); MGMT (O6-metilguanina-DNA metiltransferase); MMR (reparo por mau pareamento do DNA); NHEJ (reparo por junção de extremidades não-homólogas).

No contexto dos tumores cerebrais, a localização anatômica desempenha um papel significativo na resistência ao tratamento. A BHE, composta de uma complexa rede angiogênica e junções celulares extremamente apertadas e restritivas, dificulta a entrega de moléculas em níveis terapêuticos, desta forma restringindo a eficácia da quimioterapia (Morás et al., 2021; Ortiz et al., 2021). Logo, apesar da TMZ constituir o padrão-ouro para o tratamento do GB, diversos obstáculos como a natureza invasiva do tumor e sua resistência inerente e adquirida podem levar a resultados insatisfatórios. Tais complexidades ressaltam a urgência em se desenvolver novas estratégias terapêuticas para melhorar a eficácia do tratamento desta doença.

### 1.3 Ferroceno

O ferroceno (Fc) foi acidentalmente descoberto no início dos anos 1950, através de uma reação entre  $C_5H_5BrMg$  e  $FeCl_3$  (Peter & Aderibigbe, 2019). Este composto organometálico do tipo metalloceno apresenta uma estrutura constituída por dois anéis ciclopentadienil ligados em posições opostas a um átomo metálico central de ferro (Fe) (Figura 3) e, devido às suas propriedades eletrônicas favoráveis e fácil funcionalização, tem sido amplamente utilizado na ciência dos materiais. Ademais, sua estrutura única, aliada a propriedades de permeação de membrana, estabilidade em ambientes aquosos e aeróbicos, e baixa toxicidade, despertaram interesse da comunidade científica para possíveis aplicações biológicas. Desde então, tem sido incorporado em estruturas contendo compostos bioativos para potencializar suas atividades ou descobrir novas propriedades terapêuticas. Suas aplicações já demonstraram atividades antifúngica, antibacteriana, antimalárica, anticancerígenas e supressoras do vírus da imunodeficiência humana (Atmaca et al., 2017; Ornelas, 2011).

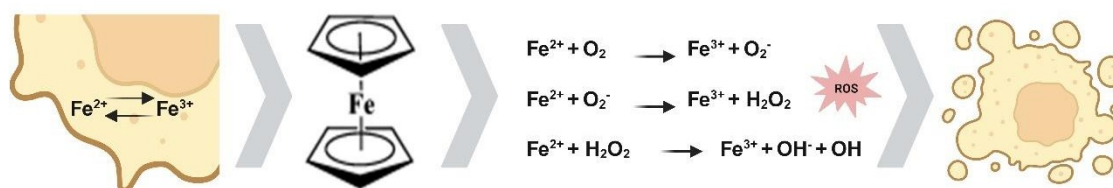


**Figura 3: Representação esquemática do composto ferroceno.** O ferroceno e seus derivados são compostos organometálicos do tipo metalloceno, e consistem em dois anéis ciclopentadienil opostamente ligados a um átomo central de ferro (Fe). **Fonte:** elaboração própria.

O mecanismo de ação do Fc e seus derivados parece estar centrado no átomo de Fe, que é transportado no plasma pela transferrina e armazenado nas células retículo-endoteliais do fígado, baço e da medula óssea sob as formas de ferritina e hemossiderina. Essa estocagem é fundamental para evitar a presença do Fe na sua forma livre, uma vez que esta, quando em contato com o oxigênio circulante, pode induzir a síntese anômala de ROS, que podem causar danos a proteínas, lipídios e ao DNA (Grotto, 2008). Por outro lado, sabe-se que células

tumorais frequentemente apresentam níveis elevados de ROS devido ao hipermetabolismo necessário à sua proliferação, especialmente o peróxido de hidrogênio ( $\text{H}_2\text{O}_2$ ), que gera radicais hidroxila ( $\cdot\text{OH}$ ) através da reação de Fenton, um processo em que o  $\text{Fe}^{2+}$  desempenha papel crucial. Neste contexto, o Fc pode ser utilizado como fonte exógena de  $\text{Fe}^{2+}$ , potencializando a catálise de  $\text{H}_2\text{O}_2$  e a geração de radicais  $\cdot\text{OH}$  que, devido ao curto tempo de meia-vida, acabam gerando danos específicos às células tumorais, poupando as células saudáveis adjacentes (Murillo et al., 2022).

O Fc exibe propriedades redox interessantes, e sua estabilidade é assegurada pela regra dos 18 elétrons. Entretanto, ao sofrer oxidação, percebe-se a formação de um cátion correspondente, que possui um elétron desemparelhado em um de seus orbitais. Desta forma, o equilíbrio intracelular entre  $\text{Fe}^{2+}$  e  $\text{Fe}^{3+}$  pode ser exogenamente modulado pelo Fc através de transferências eletrônicas rápidas e reversíveis, gerando radicais livres e, potencialmente, contribuir para a geração de mais danos às células tumorais (Figura 4) (Köpf-Maier et al., 1984; Murillo et al., 2022; Neuse, 2008). A nível molecular, este composto também já demonstrou a capacidade de suprimir a viabilidade celular e inibir as vias de sinalização PI3K/AKT e ERK1/2, que são cruciais para a promoção da tumorigênese (Atmaca et al., 2017; Tian et al., 2016).



**Figura 4: Representação esquemática do mecanismo de ação do ferroceno no ambiente intracelular.** Em situações fisiológicas,  $\text{Fe}^{2+}$  e  $\text{Fe}^{3+}$  encontram-se em equilíbrio no interior da célula. O ferroceno pode atuar como fonte exógena de  $\text{Fe}^{2+}$ , que via reação de Fenton gera o radical hidroxila, e eventualmente levando a célula à morte por apoptose. **Fontes:** elaboração própria com o auxílio da ferramenta BioRender; Ornelas, 2011.

Contudo, apesar dos resultados promissores evidenciando a atividade supressora tumoral do Fc, ainda há carência de dados concretos sobre sua interação com agentes quimioterápicos diversos, bem como seu comportamento em relação a tumores cerebrais devido à presença da BHE. Dada a contínua necessidade de se encontrar alternativas terapêuticas para o câncer e os desafios

clínicos associados, é crucial aprofundar o estudo deste composto, buscando novos caminhos de tratamento para diferentes tipos de câncer, especialmente os de alta letalidade, como o GB. Adicionalmente, os obstáculos impostos pelo microambiente tumoral, acrescidos da BHE no caso do GB, requerem o uso de sistemas de entrega de fármacos (DDS) diretamente ao tumor, com o intuito de aumentar a eficácia terapêutica e, ao mesmo tempo, reduzir os efeitos colaterais.

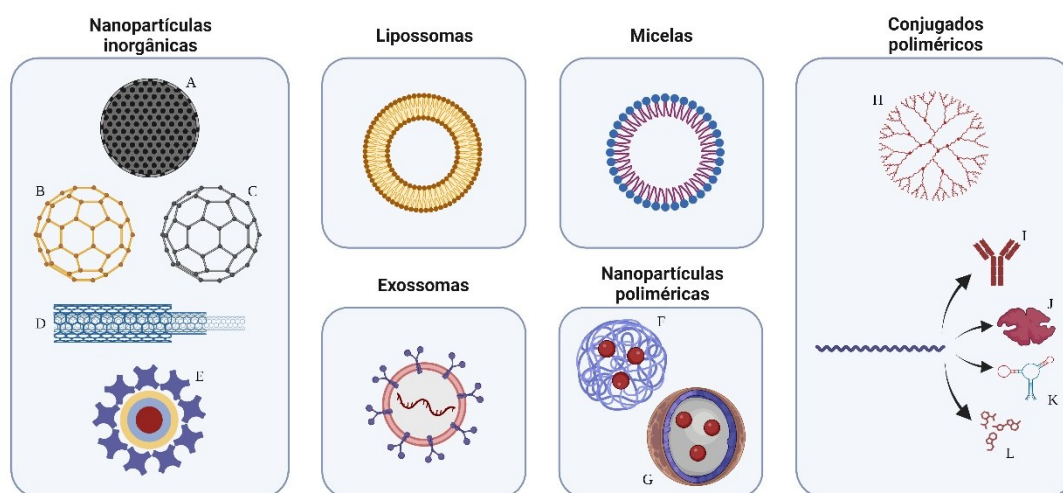
#### **1.4 Sistemas de entrega de fármacos para o tratamento do câncer**

Os DDS são empregados principalmente para obter controle preciso em termos de tempo e local durante a administração de fármacos e/ou agentes biológicos (Pillai & Panchagnula, 2001). De um modo geral, estes sistemas permitem que moléculas tenham seus perfis de liberação modulados e que sejam liberadas de maneira sítio-específica por meio de nanocarreadores com tamanhos variando entre 1 e 500 nm, melhorando a segurança e eficácia do tratamento, e a adesão do paciente em razão da minimização dos efeitos colaterais e do melhor manejo dos esquemas de administração (Wakaskar, 2018; Whittlesey & Shea, 2004). Tais modificações são atingidas por meio de alterações do perfil farmacocinético intrínseco das moléculas pelas propriedades da matriz do sistema, incluindo sua composição e suas características de superfície. Além disso, os DDS possibilitam a administração de fármacos potentes, mas de natureza instável, com mínimas flutuações de doses, garantindo a uniformização prolongada da sua concentração plasmática aliada a uma menor incidência de toxicidade sistêmica (Berkland et al., 2002).

Para uma aplicação eficaz destes sistemas, é essencial compreender as características tanto do fármaco quanto da matriz escolhida, uma vez que modificações nestes parâmetros serão determinantes na taxa de liberação de uma molécula. Além disso, o tecido-alvo no qual o DDS deve atuar influencia na escolha da matriz, dos fármacos e do sistema, evidenciando que uma abordagem multifacetada é fundamental para o sucesso na administração de moléculas por meio de DDS (Allen & Cullis, 2004; Hicks et al., 1985).

Ao permitirem a combinação de diferentes materiais e técnicas, estas nanoestruturas também toleram o carreamento e a conjugação de moléculas que são incompatíveis aos sistemas de administração convencionais, bem como as protegem da degradação precoce. Da mesma forma, os DDS facilitam o encapsulamento de moléculas de baixa solubilidade, a modificação dos seus alvos, o aumento de sua interação com membranas e sua passagem por barreiras celulares (Bertrand et al., 2014).

Recentemente, as estratégias de DDS vêm desempenhando um papel crucial na transformação de moléculas promissoras em terapias eficazes, e a versatilidade destes sistemas permite o acompanhamento da evolução do panorama de novas terapias contra o câncer (Allen & Cullis, 2004; Hicks et al., 1985; Vargason et al., 2021a). Atualmente, estas nanoestruturas podem se apresentar de diferentes formas e com os mais variados materiais, incluindo lipossomas, micelas, exossomas, nanopartículas (NPs) poliméricas, conjugados poliméricos e NPs inorgânicas (Figura 5), que serão brevemente discutidas a seguir (informações mais detalhadas podem ser consultadas na Seção 3.1 desta tese). Sistemas mais simples, como as nanoemulsões – foco principal deste estudo – também são amplamente utilizados, e serão abordados numa seção à parte.



**Figura 5: Representação esquemática dos diferentes tipos de nanoestruturas utilizados em sistemas de entrega de fármacos.** Legenda: sílica (A), ouro (B), prata (C), nanotubo de carbono (D), *quantum dots* (E), nanocápsula (F), nanoesfera (G), dendrímero (H), polímero-anticorpo (I), polímero-proteína (J), polímero-aptâmero (K), e polímero-fármaco (L). **Fonte:** elaboração própria com o auxílio da ferramenta BioRender.

Os lipossomas são formados por vesículas fosfolipídicas medindo a partir de 50 nm, e são amplamente utilizados em aplicações biomédicas em razão da habilidade em comportar moléculas hidrofóbicas na sua bicamada lipídica e encapsular compostos hidrofílicos no seu núcleo (Anselmo & Mitragotri, 2019; Chaturvedi et al., 2018). Lipossomas catiônicos também podem ser eletrostaticamente ligados a ácidos nucleicos, e sua composição semelhante à membrana celular garante um elevado grau de compatibilidade em comparação a nanocarreadores sintéticos, minimizando efeitos antigênicos e tóxicos. Entretanto, desafios como o tempo prolongado de circulação no plasma, a instabilidade por ação da oxidação fosfolipídica, o processo de esterilização e limitações no controle de liberação de moléculas constituem fatores limitantes no seu uso terapêutico (Li et al., 2017; Pérez-Herrero & Fernández-Medarde, 2015). Tais problemas podem ser contornados por modificações estruturais e físico-químicas, utilizando polímeros para aumentar e/ou estabilizar a taxa de liberação, modular o tempo de circulação no plasma, e permitir o encapsulamento de ácidos nucleicos para transfecção celular, bem como a ligação com anticorpos para imunoterapia (Pérez-Herrero & Fernández-Medarde, 2015; Torchilin, 2005).

Diversos estudos *in vitro* e *in vivo* têm demonstrado a eficácia de sistemas lipossomais no tratamento de diversos tumores, sendo os sistemas responsivos ao pH os mais promissores. Notavelmente, formulações como o Doxil<sup>®</sup>, o primeiro nanocarreador aprovado pelo FDA em 1995, têm sido ferramentas fundamentais na quimioterapia ao utilizarem brechas na vasculatura tumoral para atingir estas células de maneira mais eficaz que outras NPs (Chaturvedi et al., 2018; Qiu et al., 2017; Torchilin, 2005).

As micelas são nanocarreadores coloidais, anfifílicos, com tamanhos entre 5 e 200 nm, customizadas para a liberação controlada e lenta de fármacos. Sua estrutura compreende um núcleo hidrofóbico e uma camada externa com polaridade suficiente para se dispersar em meio aquoso (Bregoli et al., 2016; Lee Ventola, 2017; Rizwanullah et al., 2018). Desenhadas para melhorar a solubilidade e biodisponibilidade de fármacos, as micelas normalmente apresentam um núcleo composto de óxido de polifenileno ou lipídeos, sustentados por forças de van der

Waals, e uma superfície hidrofílica coberta de polímeros, garantindo proteção e circulação plasmática prolongada (Elkhodiry et al., 2016; Li et al., 2017).

Micelas contendo doxorrubicina já demonstraram atividade antitumoral *in vitro* para o tratamento de câncer oral, bem como micelas poliméricas carreando cisplatina se mostraram capazes de diminuir quadros de nefrotoxicidade, enquanto aumentavam a liberação linfática do fármaco. Além disso, este sistema encontrou aplicações na entrega de microRNAs para atingir tumores, modulando a autofagia, a proliferação e a invasão celular. Apesar de sua versatilidade, preocupações com a estabilidade deste sistema surgem devido a fatores como a baixa concentração micelar crítica, que pode ser resolvida pela conjugação com estruturas poliméricas, aumentando a estabilidade e o acúmulo tumoral por meio do *enhanced permeability and retention effect*, justificando assim seu uso na quimioterapia (Elkhodiry et al., 2016; Endo et al., 2013; Lasic & Needham, 1995; Saiyin et al., 2014).

Os exossomas compreendem um subtipo de vesículas extracelulares com tamanhos entre 30 e 150 nm, e originam-se da exocitose de endossomos para o meio extracelular (Couto et al., 2018; Greening et al., 2015). Estas estruturas são secretadas por diversos tipos celulares, incluindo células imunológicas, tumorais, neuronais, endoteliais e fibroblastos, e consistem em uma bicamada lipídica com a capacidade de carrear e transferir proteínas, ácidos nucleicos e outras moléculas, além de transmitir informações a células adjacentes e modular seu comportamento mediante modificações moleculares (Greening et al., 2015; Lim & Kim, 2019). Diferentemente de lipossomas e micelas, os exossomas oferecem vantagens únicas em razão da sua biocompatibilidade e origem natural. Tal característica reduz as chances de serem precocemente fagocitados, aumentando sua capacidade de penetração no ambiente tumoral, bem como permitindo a eles desempenharem importantes funções na modulação da resposta imune, reciclagem e comunicação celular (Greening et al., 2015; Kalluri, 2016).

As nanopartículas poliméricas são sistemas coloidais sólidos capazes de dissolver, apreender, encapsular ou adsorver agentes terapêuticos em uma matriz polimérica biodegradável e biocompatível. A versatilidade destas nanoestruturas permite a associação de moléculas de diferentes naturezas físico-químicas, e sua apresentação se dá particularmente em duas diferentes formas: as nanocápsulas,

compostas de uma vesícula com uma cavidade oleosa ou aquosa, contendo o fármaco e envolta por uma membrana polimérica, e as nanoesferas, uma matriz polimérica na qual o fármaco encontra-se amplamente disperso (Letchford & Burt, 2007; Parveen & Sahoo, 2008; Prabhu et al., 2015). Sua composição envolve tanto polímeros naturais, como a quitosana e a albumina, quanto sintéticos, como o álcool polivinílico, ácido poliacrílico, poli(ácido láctico-co-ácido glicólico) e a poli( $\epsilon$ -caprolactona), onde sua preparação se dá por métodos como evaporação do solvente, polimerização em emulsão, e diálise (Banik et al., 2016; El-Say & El-Sawy, 2017).

A grande variedade de polímeros que podem ser usados como veículos na produção de DDS requer um minucioso estudo de suas propriedades mecânicas e físico-química previamente à sua utilização. A exemplo, polímeros com perfis de resistência, rigidez e dureza bem definidos apresentam vantagens na modulação da cinética de degradação destes materiais, e conseqüentemente no perfil de liberação das moléculas carregadas, enquanto o caráter hidrofóbico ou hidrofílico destes materiais influenciará na solubilidade, toxicidade e no perfil de liberação (Bhatia, 2016). Atualmente, NPs poliméricas já são encontradas em medicamentos como o Abraxane<sup>®</sup>, que utiliza a albumina como carreadora do fármaco paclitaxel no tratamento de tumores metastáticos de mama, e o Eligard<sup>®</sup>, composto de um polímero sintético contendo acetato de leuprorrelina para o tratamento de tumores de próstata (Banik et al., 2016; Lee Ventola, 2017).

De maneira similar, polímeros hidrofílicos e anfifílicos também podem ser conjugados com diferentes moléculas através de ligações covalentes, com o intuito de melhorar a efetividade terapêutica e minimizar efeitos colaterais (Ekladius et al., 2019; Lomkova et al., 2016; Pang et al., 2014). Estas combinações podem se apresentar na forma de dendrímeros e polímeros ligados a fármacos, proteínas, anticorpos ou aptâmeros, e têm demonstrado efeitos positivos no prolongamento da circulação do conjugado no plasma, e na sua solubilidade e biodisponibilidade, evitando assim a liberação imediata nos tecidos-alvo (Chang et al., 2016; Lomkova et al., 2016). Polímeros como o polietilenoglicol (PEG) e o *N*-(2-hidroxipropil)-metacrilamida (HPMA) já foram conjugados a proteínas e doxorubicina, respectivamente. Enquanto o conjugado PEG-adenosina desaminase evoluiu para

o produto veterinário Adagen<sup>®</sup>, a combinação HPMA-doxorrubicina esbarrou em requisitos como eficácia terapêutica em razão do baixo acúmulo no ambiente tumoral (Banerjee et al., 2012; Seymour et al., 2009).

Por fim, o grupo das NPs inorgânicas engloba uma gama de estruturas que incluem NPs de ouro, prata, sílica e magnéticas, nanotubos de carbono e *quantum dots* (QDs), e têm recebido atenção especial no campo do tratamento do câncer em razão de suas propriedades físico-químicas e características de superfície peculiares (F. Wang et al., 2016; F. Yang et al., 2012; Yezhelyev et al., 2009). As NPs de ouro, com tamanhos e formas variados, exibem ressonância plasmônica de superfície, permitindo a conversão de luz em calor para hipertermia localizada e erradicação de células cancerígenas. Efeitos similares podem ser vistos no uso de NPs magnéticas, como as de óxidos de ferro, que geram efeitos térmicos quando expostas a um campo magnético. NPs de ferro responsivas à ablação termal já se encontram aprovadas para o tratamento de GB, sob o nome comercial de NanoTherm<sup>®</sup> (Mukerjee et al., 2012; Tran et al., 2017; Xu et al., 2018; Yezhelyev et al., 2009). As NPs de prata, conhecidas pela sua maior estabilidade, condutividade e propriedades catalíticas, possuem potencial terapêutico por meio de atividades antiangiogênicas e antiproliferativas, embora também tenham demonstrado citotoxicidade não-seletiva devido à sua capacidade de penetrar em diversos tecidos (Greulich et al., 2011; Jeyaraj et al., 2013). As NPs de sílica, particularmente aquelas de sílica mesoporosa, servem como reservatórios para a administração controlada de fármacos devido ao seu tamanho de poro personalizado (Vallet-Regi et al., 2001; Y. Yang & Yu, 2016).

Os nanotubos de carbono, hidrofóbicos e organizados em camadas com diâmetros de 1 a 4 nm, apresentam propriedades como baixo peso, estabilidade mecânica, elevada capacidade de carregamento, e boa condutividade termal e elétrica, permitindo a incorporação de fármacos, RNA e anticorpos, que por sua vez podem ser liberados diretamente no citoplasma graças à capacidade de penetração do 'tipo agulha' destas estruturas. Por outro lado, a baixa solubilidade dos nanotubos pode aumentar sua toxicidade (Chaturvedi et al., 2018; Markman et al., 2013; F. Yang et al., 2012). Por último, os QDs constituem nanocristais ou NPs semicondutoras de 2 a 10 nm, com características fluorescentes, e atuam como

transportadores teragnósticos versáteis, capazes de detectar proteínas e peptídeos superexpressos e aumentar a geração de ROS e consequente apoptose celular (Chaturvedi et al., 2018; Probst et al., 2013; Xu et al., 2018).

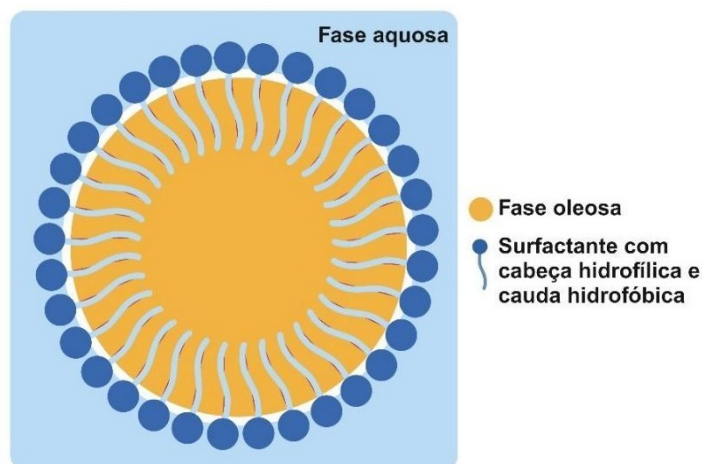
#### **1.4.1 Nanoemulsões**

As nanoemulsões (NE) são dispersões coloidais transparentes/translúcidas e heterogêneas de duas substâncias líquidas imiscíveis (óleo e água), onde um líquido está disperso no outro por meio da ação de agentes surfactantes, formando um sistema com tamanho de gotículas tipicamente entre 20 e 200 nm (Pavoni et al., 2020; Shaker et al., 2019). Suas principais características são a estabilidade termodinâmica e cinética (ausência de aparente floculação ou coalescência), e a distribuição uniforme do tamanho das gotículas, o que garante a elas propriedades físico-químicas e biológicas diferentes de outras emulsões com tamanho de gotículas superior a 500 nm (Rai et al., 2018).

Com base em sua composição, uma NE pode ser classificada em três diferentes tipos: óleo em água, onde a fase oleosa está dispersa numa fase aquosa contínua; água em óleo, onde a fase aquosa está dispersa numa fase oleosa contínua; e bicontínua, onde microdomínios compostos de fases oleosa e aquosa encontram-se interdispersos no sistema (Rai et al., 2018). Os componentes básicos da sua fase oleosa incluem triglicerídeos de cadeia média, ácidos graxos, solventes orgânicos, emulsificantes, enquanto a fase aquosa compõe-se de água e surfactante (Nastiti et al., 2017), este último de caráter comumente não-iônico e com considerável capacidade de reduzir a tensão superficial, evitando assim a agregação das gotículas e aumentando a permeação do sistema (Harwansh et al., 2019; Pavoni et al., 2020).

As NE do tipo óleo em água (Figura 6) são as mais comuns, e podem ser obtidas por meio de diversas metodologias, que incluem o uso de microfluidizador e ultrassom, a emulsão espontânea, inversão de fase, homogeneização por alta pressão, e o método por deslocamento de solvente (Beck-Broichsitter et al., 2010; Fathi et al., 2012; Nastiti et al., 2017; Singh et al., 2017). Este último método constitui-se de um processo rápido, reprodutível e econômico, e requer o uso de

solventes orgânicos anfifílicos e miscíveis em água, como a acetona. Sua metodologia consiste na adição progressiva da fase oleosa, contendo o solvente orgânico, à fase aquosa, sob agitação constante e temperatura apropriada, levando à formação de partículas coloidais em suspensão por meio de fenômenos hidrodinâmicos interfaciais entre as duas fases (Beck-Broichsitter et al., 2010).



**Figura 6:** Representação esquemática de uma gotícula de nanoemulsão do tipo óleo em água.  
**Fonte:** figura elaborada pelo autor com o auxílio da ferramenta BioRender.

Estudos pré-clínicos e clínicos têm demonstrado a alta eficácia do uso de NE no carreamento de diversas moléculas com atividade antitumoral, tanto naturais como sintéticas, principalmente contra tumores do trato gastrointestinal e sistema reprodutor, bem como de pele, cérebro, mama, pulmão e leucemia (Tabela 1).

**Tabela 1:** Ensaios pré-clínicos e clínicos utilizando nanoemulsões contendo moléculas para o tratamento do câncer.

Molécula carregada	Tipo de câncer	Fase do estudo	Resultados e/ou status	Referência
Campferol	Glioma	Pré-clínica	Redução do crescimento tumoral	(Colombo et al., 2018)
7-cetocolesterol	Melanoma	Pré-clínica	Redução de 50% dos tumores e aumento da área necrótica	(Natesan et al., 2017)
Curcumina	Próstata	Pré-clínica	Aumento da citotoxicidade; parada do ciclo celular e aumento da apoptose	(Guan et al., 2017)
DHA-SBT-1214	Pâncreas	Pré-clínica	Inibição do crescimento tumoral induzido por células-tronco	(Ahmad et al., 2017)

Didodecil-metotrexato	Leucemia	Pré-clínica	Aumento da ação do metotrexato quanto comparada à sua versão não esterificada	(Botchkina et al., 2010)
Licopeno	Cólon	Pré-clínica	Redução dos níveis de proteínas relacionadas à progressão do ciclo celular; aumento da apoptose e redução da migração celulares	(Sánchez-López et al., 2019)
Paclitaxel	Ovário	Pré-clínica	Redução dos níveis de proteínas relacionadas à progressão do ciclo celular; aumento do estresse mitocondrial	(Cronin et al., 2010)
Paclitaxel	Pulmão	Pré-clínica	Inibição do crescimento tumoral	(Sánchez-López et al., 2019)
Ácido 5-aminolevulínico	Células basais	Clínica	Ativo, sem recrutamento	(Sánchez-López et al., 2019)
Curcumina	Mama	Clínica	Ativo, em recrutamento	(Sánchez-López et al., 2019)
Paclitaxel	Mama	Clínica	Fase I	(Graván et al., 2023)

Em comparação a outras emulsões convencionais, as NE exibem uma série de vantagens como elevada estabilidade, rápida absorção via internalização nos enterócitos e a habilidade em melhorar a solubilidade de moléculas, consequentemente aumentando sua biodisponibilidade. Além disso, o incremento da área interfacial entre as fases oleosa e aquosa das NE confere a elas uma alta capacidade de solubilização de compostos, tanto hidrofóbicos quanto hidrofílicos, enquanto características como a carga superficial, o tamanho menor das gotículas e a estabilidade cinética agem contra efeitos indesejáveis, como sedimentação, floculação e coalescência (Rai et al., 2018). Por fim, NE capazes de carrear moléculas bioativas adsorvidas em sua interface podem oferecer rápida liberação e início da ação farmacológica, bem como ser combinadas com outras moléculas de liberação lenta numa abordagem sinérgica, permitindo assim esquemas de tratamento com dose de ataque, somados a uma ação mais prolongada, fator importante na terapia de tumores localizados em áreas de difícil acesso, como os tumores cerebrais (D. Chen et al., 2023; Heravi Shargh et al., 2021).

O câncer, uma enfermidade complexa e diversificada, continua a desafiar a eficácia terapêutica, mesmo com avanços notáveis. Diante do aumento previsto

dos casos e das taxas alarmantes de mortalidade, é evidente a necessidade de abordagens mais efetivas. O GB, notório por sua resistência a tratamentos e prognóstico desfavorável, destaca a urgência de soluções inovadoras, e a pesquisa com o Fc, explorando seu efeito na potencialização da quimioterapia e na entrega direcionada de medicamentos, desponta como uma promissora estratégia. Aliado a isto, os sistemas de entrega de fármacos, desde lipossomas a nanoemulsões, desempenham um papel crucial nesse cenário, oferecendo soluções multifacetadas para os desafios terapêuticos. Por fim, a versatilidade desses sistemas tem potencial para moldar terapias mais eficazes e inovadoras, abrindo caminho para novos avanços na luta contra o câncer.

## **2 OBJETIVOS**

### **2.1 Objetivo geral**

Desenvolver, caracterizar e avaliar a eficácia de uma nanoemulsão contendo temozolomida e o efeito de pré e cotratamentos com ferroceno em linhagens celulares de glioblastoma humano.

### **2.2 Objetivos específicos**

- 1 – Investigar o uso de sistemas de entrega de fármacos no tratamento do câncer, por meio da elaboração de um artigo de revisão.
- 2 – Desenvolver e caracterizar uma nanoemulsão do tipo óleo em água, contendo temozolomida.
- 3 – Avaliar a eficácia desta nanoemulsão e do ferroceno em esquemas de mono e cotratamento agudos em linhagens de glioblastoma humano.
- 4 – Avaliar a eficácia da nanoemulsão contendo temozolomida com e sem esquemas de pré e cotratamento com ferroceno em linhagens de glioblastoma humano, simulando uma abordagem clínica de tratamento.

### **3 ARTIGOS CIENTÍFICOS**

#### **3.1 Capítulo 1: Cancer nanomedicine: recent developments in drug delivery systems and strategies to overcome eventual barriers to achieve a better outcome**

Artigo científico submetido à revista *Journal of Drug Delivery Science and Technology* (fator de impacto 5).

Observação: as figuras foram inseridas junto ao texto para facilitação da leitura, e a paginação segue a ordem geral da tese.

**Cancer nanomedicine: recent developments in drug delivery systems and strategies to overcome eventual barriers to achieve a better outcome**

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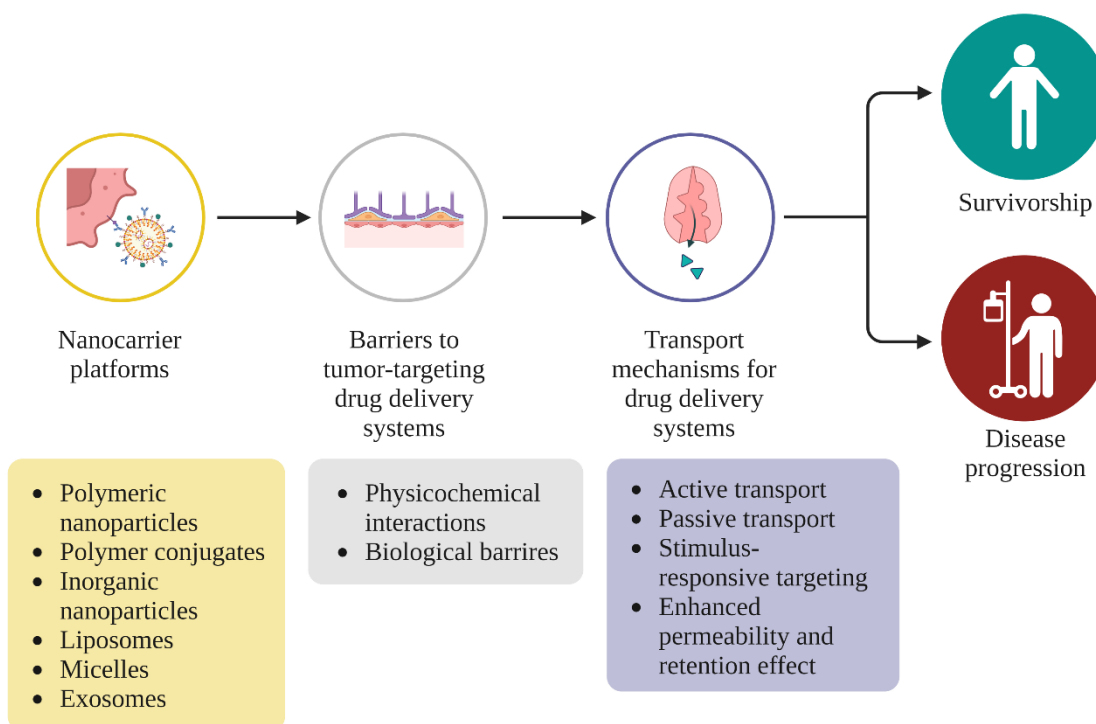
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## Graphical abstract



## ABSTRACT

Cancer has been a global challenge given the number of limitations of current therapies, such as multi-drug resistance, high levels of toxicity, side effects, the tumor microenvironment, and the lack of cell-targeted approaches. Over the past decades, several strategies using nanotechnological structures for drug delivery systems have been developed to provide targeted and controlled release of drugs for *in situ* anticancer therapies. These nanostructures are made of different types of biocompatible materials, ranging from polymeric to organic and inorganic nanoparticles, thus allowing them to interact with different cells, membranes, and receptors, as well as to conjugate molecules with the most diverse physicochemical characteristics. Moreover, these structures can potentially overcome the drawbacks of systemic therapy, taking advantage of the organism's biological mechanisms to increase therapeutic efficacy while reducing systemic toxicity. In this review, we focus on nanostructures as drug delivery systems for cancer treatment, how they can reach tumor cells and improve *in situ* toxicity, and the barriers they may face to achieve a positive outcome. Overall, several nanoproducts have been developed to treat different types of cancers, and most of the current nanomedical approaches focus on the ability to target tumor cells by improving drug delivery rates and combining different molecules, therefore potentially reducing side effects. On the other hand, concerns regarding individual characteristics of patients, tumor barrier mechanisms, and the potential toxicity of non-biological materials are still challenges to be addressed. Nonetheless, the rapid development of nanomedicine and the constant improvement of related techniques point to a promising future in the treatment of cancer and in improving the quality of life of patients.

**Keywords:** *cancer; nanotechnology; nanocarriers; drug resistance; local delivery; targeted therapy*

## 1. Introduction

Cancer is a multifactorial and complex set of diseases characterized by abnormal and uncontrolled cell growth, presenting tissue and organ parenchymal disorganization that leads to a physiological impairment of the affected organism [1]. Etiologically, this disease involves several features such as DNA damage and replicative stress – which may lead the cells to some genomic instability – as well as immunological disorders, increased angiogenic support, and recidivism due to tissue invasion and metastasis [2,3].

According to the World Health Organization, in 2020, there were around 19.2 million new worldwide cancer cases and 9.9 million deaths. For 2040, the estimated number of new cases is around 29.5 million, representing an increase of 63.4% [4]. This makes cancer the second leading cause of death, reinforcing it as an imminent public health problem and justifying the constant search for new therapies and treatment approaches [5].

Conventional cancer treatment usually includes a triad composed of surgical resection, chemotherapy, and radiotherapy. However, tumor resection is, in several cases, a dangerous procedure that may cause several damages to the patient, depending on the location and the extension of the tumor. Besides, radiotherapy also has its complications since it may cause skin irritation and adverse effects on healthy tissues adjacent to the tumor site. Finally, even with all the improvements that have been made in the last few years, chemotherapy drugs still have limited effects and poor pharmacokinetics, causing toxicity not only to nearby healthy cells but also to the entire organism due to their lack of specificity [5,6].

Recently, some of these flaws have been overcome by the advent of cancer immunotherapy. This approach harnesses the patient's immune system to target tumor cells by binding to specific tumor antigens, hence increasing the immune system's ability to identify, inhibit, and kill those neoplastic cells [7]. Although promising, immunotherapy still faces some challenges, such as the understanding of the dominant drivers of cancer immunity, primary versus secondary immune escape, and organ-specific tumor immune features, as well as the elucidation of the benefits of endogenous versus synthetic immunity and the need for highly patient-personalized approaches that lead to high-cost treatment [7,8].

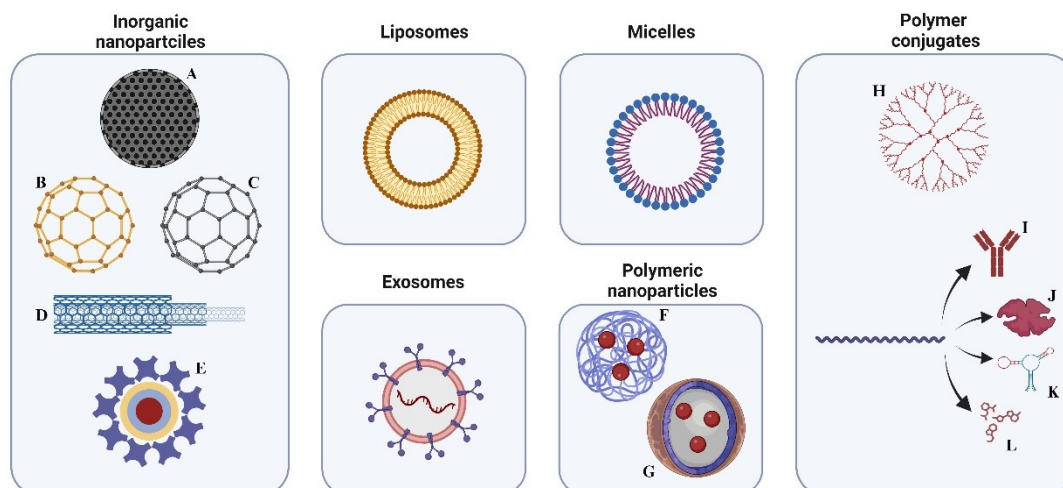
In addition, most drugs face other major problems related to chemoresistance, allowing cancer cells to evade and survive to different treatments. This can be achieved by

using molecular mechanisms that reduce drug availability through changes in the pH of the tumor microenvironment (TME), promoting epithelial-mesenchymal transition, and/or overexpressing oncogenic signaling pathways [9].

Furthermore, TME is by itself a significant physical barrier to cancer therapies. It includes subpopulations of malignant cells with different genetic backgrounds, healthy cells and blood, immune, and endothelial cells that work like scaffolds for the tumor, not to mention the high vascularization at the site, which gives extra oxygen supply to maintain the tumor's high metabolic rate [10]. Moreover, all these characteristics give the tumor a more rigid consistency, and this leads us to other important roles of oxygen supply on TME: the high replicative rate of peripheral tumor cells and the reduced oxygen rate in the central region of the tumor, generating a state of hypoxia that activates inflammatory pathways and downregulates tumor suppressor proteins such as p53 [9-11].

To overcome these issues, several nanotechnological approaches applied to medicine have been developed in the last few years, transforming nanomedicine into a wide-ranging field that comprehends physicochemical, biological, and engineering principles to obtain structures with a size range of 1-500 nm [12]. By joining different materials and techniques, these nanostructures may be able to facilitate the combination of different molecules and protect them from earlier degradation. These techniques may also facilitate the encapsulation of drugs that are insufficiently soluble, modification of their targets, enhancement of the interaction with cell membranes, circumventing organ barriers, and providing a drug delivery system (DDS) directly to the tumor site, thereby enabling the utilization of lower doses and avoiding adverse effects [13].

One of the most significant advantages of nanostructures is the wide range of different materials and approaches that can be used to obtain them. They may involve polymeric nanoparticles (PNPs), polymer conjugates, inorganic nanoparticles (INPs), liposomes, micelles, exosomes, carbon nanotubes, and quantum dots (QDs) (Figure 1). The choice of material to be used will depend on factors such as physicochemical interactions between the molecule of interest and the material, the route of administration, the location of the therapeutic target, and the mechanism of targeting [14,15]. Moreover, the development of these nanotechnologies has been supported over the past years by various strategies that enhance their functionalities, either by surface modifications, physical properties, or controlled release [16].



**Fig. 1:** Schematic representation of the different types of nanostructures for DDS. Inorganic nanoparticles: gold (A), silica (B), silver (C), carbon nanotube (D), and quantum dots (E). Polymeric nanoparticles: nanocapsule (F) and nanosphere (G). Polymer conjugates: polymer-antibody (H), polymer-protein (I), polymer-aptamer (J), polymer-drug (K), and dendrimer (L). Exosome (M). Liposome (N). Micelle (O).

In short, nanomedicine applied to cancer diagnosis, prevention, and treatment can be structured in three major aspects: the nanocarrier platforms, the common barriers that hamper cancer chemotherapy, and the transport and cell targeting mechanisms to overcome these barriers. Thus, this work proposes to review, update, and discuss all these features and demonstrate how to overcome them by using rational DDS approaches (as schematized in Supplementary Information Fig. S1).

## 2. Nanocarrier platforms

### 2.1 Polymeric nanoparticles

PNPs are solid colloidal systems that allow the dissolution, entrapment, encapsulation, or adsorption of therapeutic agents onto the polymer matrix. These drug carriers are biocompatible and biodegradable, as well as highly versatile in terms of the drug classes that can be associated with them. PNPs can be obtained in different structures depending on the process of formation, resulting in nanocapsules, a single vesicular polymeric membrane involving the drug confined in an oily or aqueous cavity, or nanospheres, a matrix in which the drug is dispersed all over the particles [17-19].

The production of PNPs involves several types of synthetic and/or natural polymers. Among the natural ones, chitosan and albumin-based PNPs are the most well-known. Synthetic polymers used to prepare PNPs include molecules such as poly(vinyl alcohol) (PVA), poly(lactide-co-glycolide) (PLGA), poly(acrylic acid) (PAA), polylactide (PLA),

polyglycolide, poly( $\epsilon$ -caprolactone) (PCL), poly(D,L-lactide), PLGA-polyethylene glycol (PLGA-PEG), polyamidoamine (PMAM), and polyethylene oxide (PEO) [18,19]. The preparation methods include solvent evaporation, emulsion polymerization, conventional emulsion polymerization, surfactant-free emulsion polymerization, and dialysis [20,21].

Numerous *in vitro* and *in vivo* studies have been carried out using PLGA systems. Moreno et al. formulated PLGA nanoparticles (NPs) containing cisplatin and verified their cytotoxicity in DHD/K12PROb rat cells obtained from colon adenocarcinoma. The results revealed that the formulation offered a controlled release of cisplatin, inducing more effective apoptosis when compared to the free-form drug [22]. In the same way, doxorubicin and tamoxifen-loaded PLGA NPs presented higher drug payloads to MDA-MB-231 human and C1271 murine breast cancer cells, respectively [23,24], and doxorubicin NPs had a synergistic effect along with photothermal treatment in HeLa human cervical carcinoma cells [25]. Regarding pancreatic carcinoma, Papa et al. used gemcitabine-loaded PLGA NPs in PANC1 human pancreatic carcinoma cells and observed increased amounts of cytotoxicity and apoptosis [26], and similar results were found in U87MG human glioma cells treated with 5-fluorouracil (5-FU) PLGA NPs [27].

Concerning *in vivo* models, Han et al. revealed that cisplatin PLGA-mPEG NPs administered in IRC and BALB/c mice were highly stable and showed a median lethal dose (LD<sub>50</sub>) of more than 100 mg/kg, which was much higher than its free-form [28], and the same formulation was also efficient in rat glioblastoma models, with satisfactory delivery across the blood-brain barrier (BBB) [29]. Cisplatin PLGA NPs injected in a DHD/K12PROb colon adenocarcinoma nude mouse model showed no toxicity signs and reduced tumor volume [30].

Chitosan and PEG were similarly used to obtain PNPs loaded with 5-FU. Studies using mouse hepatic cancer models revealed that the formulation was able to reduce the tumor growth rate via the p53 apoptosis pathway, as well as reduce the side effects and immunosuppression of the conventional treatment [31,32]. Using PBLG-PEG NPs containing 5-FU in a Balb/c nude mouse model implanted with LoVo colon cancer or Tca8113 oral squamous carcinoma cells, Li et al. displayed that both models presented slower tumor growth and prolonged tumor doubling times [33].

Currently, PNPs are being used in several preclinical assays and clinical trials for cancer therapy; however, only a few of them have completed all requirements for Food and

Drug Administration (FDA) approval for commercialization. Nevertheless, most of them are in the advanced stages of clinical studies or already approved, offering a variety of future possibilities in the chemotherapy field (Table 1).

**Table 1:** Examples of current clinical trials using polymeric nanoparticles for cancer therapy.

PNP	Commercial drug (sponsor)	Generic name	Indications	Status	Ref.
Albumin	ABI-008 (Abraxis/Celgene)	Docetaxel	Metastatic breast and prostate cancer	Phase II	[34]
Albumin	ABI-009 (Abraxis/Celgene)	Nab-sirolimus	Solid tumors, bladder cancer	Phase II	[34]
Albumin	ABI-011 (Abraxis/Celgene)	Thiocolchicine dimer	Solid tumors, lymphoma	Phase I	[34]
Albumin	Abraxane (Abraxis/Celgene)	Paclitaxel	Metastatic breast cancer and various other tumors	Approved	[21]
Polyamino acid-PEG	NC-6004 Nanoplatin (Nanocarrier)	Cisplatin	Advanced solid tumors, lung, biliary, bladder, and pancreatic cancers	Phase II	[37]
Polyamino acid-PEG	NC-4016 DACH-Platin micelle (Nanocarrier)	Oxaliplatin	Advanced solid tumors or lymphomas	Phase I	[37]
PIHCA	Transdrug (Onxeo)	Doxorubicin	Hepatocellular carcinoma	Phase III	[21]
PLGA-PEG	BIND-014 (Bind Therapeutics)	Docetaxel	Metastatic castration-resistant prostate and non-small cell lung cancers	Phase II	[35]
PLGH	Eligard (TOLMAR Pharmaceuticals)	Leuprolide acetate	Prostate cancer	Approved	[38]

PNP: polymeric nanoparticle; PIHCA: poly(isohexylcyanoacrylate); PLGA: poly(lactic-co-glycolic acid); PEG: polyethylene glycol; PLGH: DL-lactide-co-glycolide.

## 2.2 Polymer conjugates

The conjugation of macromolecular polymers with drugs, proteins, and their derivatives is an interesting platform for DDS as it allows therapeutic agents to be covalently bound to hydrophilic or amphiphilic polymers instead of being encapsulated as in a PNP [39-41]. This nanoscale system may be able to prolong drug circulation time in the blood

and improve its solubility and bioavailability, reducing the occurrence of side effects and burst release (expect at the target tissue) [40,42].

Most polymer conjugates use *N*-(2-hydroxypropyl)-methacrylamide (HPMA) copolymers, polyethylenimine (PEI), polyglutamic acid (PGA), PAA, PCL, PEG, PLA, PLGA dextran, or hyaluronic acid (HA), and they can be bound to one or more drugs, proteins, antibodies, or aptamers, acquiring different structures such as dendrimers, polymer-drug, polymer-protein, polymer-antibody, and polymer-aptamer conjugates, with many of them already launched in the market [39,42-44].

Proteins and antibodies conjugated to PEG have been well-known in the pharmaceutical industry since the 1990s, when Adagen<sup>®</sup>, a PEG-adenosine deaminase conjugate, was approved by the FDA for the treatment of ADA-SCID (severe immunodeficiency caused by hereditary deficiency of the adenosine deaminase enzyme) [45]. In the cancer field, this strategy allowed several companies to start different clinical developments, including drug-polymer conjugates. The first drug-polymer conjugate to progress in clinical trials was a HPMA-doxorubicin formulation, which in preclinical assays showed a higher improvement in plasma half-life and antitumor activity and a lower toxicity. However, clinical trials failed after only a few patients presented tumor drug accumulation, with minimal efficacy [46].

Lastly, dendrimers are unimolecular, three-dimensional, and highly branched polymeric structures. They present a central core with radiating blocks in branched units, which give them a precise shape with low dispersity [39,47]. One of the main advantages of dendrimers is their ability to penetrate through intestinal membranes, which is frequently a limitation to oral drug administration due to the permeability barriers in the gastrointestinal tract [41]. The first dendrimer to receive commercial approval was SPL7013 (VivaGel), a poly(L-lysine) topical microbicide to treat bacterial vaginosis. However, the most widely used polymer is poly-amidoamine (PMAM), a cationic amine-terminated and non-biodegradable molecule, which makes it difficult to use in medicine [39]. Despite this, some drug-dendrimer formulations are currently under study (Table 2).

**Table 2:** Examples of current clinical trials using polymer conjugates for cancer therapy.

Conjugate	Commercial drug (sponsor)	Generic name	Indications	Status	Ref.
PEG-protein	Calaspargase pegol (Shire)	Asparaginase	Acute lymphoblastic	Phase II	[48]

			leukemia, lymphoblastic lymphoma		
PEG-protein	Pegilodecakin (ARMO BioSciences)	IL-10	Pancreatic cancer	Phase I	[50]
PEG-protein	Pegargininase (Polaris Group)	Arginine deiminase	Mesothelioma	Phase I	[51]
PEG-protein	BCT-100 (Bio- Cancer Treatment International)	Arginase-1	Acute myeloid leukemia	Phase II	[52]
PEG-protein	NKTR-214 (Nektar Therapeutics)	IL-2	Solid tumors	Phase II	[53]
PEG-aptamer	Olaptesed pegol (Noxxon Pharma)	Anti-CXCL12 aptamer	Colorectal and pancreatic cancers	Phase I/II	[54]
SMA-drug	SMANCS (Astellas Pharma)	Neocarzinostatin	Liver and renal cancers	Approved	[55]
PGA-drug	Opaxio (CTI BioPharma)	Paclitaxel	Ovarian, peritoneal, and fallopian tube cancers	Phase III	[56]
Dextran-drug	OsteoDex (DexTech Medical)	Alendronate	Prostate cancer	Phase II	[57]
PEG-drug	NKTR-262 (Nektar Therapeutics)	TLR7/TLR8 agonist	Solid tumors	Phase I/II	[39]
PEG-drug	DFP-13318 (ProLynx)	SN-38	Solid tumors	Phase I	[58]
PEG-polylysine dendrimer	DEP docetaxel (Starpharma)	Docetaxel	Solid tumors	Phase II	[39]
PEG-polylysine dendrimer	DEP cabazitaxel (Starpharma)	Cabazitaxel	Solid tumors	Phase II	[39]

PEG: polyethylene glycol; IL-10: interleukin-10; IL-2: interleukin-2; SMA: poly(styrene-co-maleic acid); PGA: polyglutamic acid; TLR7: toll-like receptor 7; TLR8: toll-like receptor 8.

### 2.3 Inorganic nanoparticles

INPs comprise structures such as gold, silver, gadolinium, hafnium, magnetic, and silica NPs, as well as carbon nanotubes and QDs. In common, all of them are quickly developed, and they have physicochemical properties and surface engineering that guarantee exceptional biocompatibility [59]. In contrast with PNPs, inorganic nanomaterials with biomedical applications were recently developed and have already shown significant impacts on cancer therapy [60,61] (Table 3).

**Table 3:** Examples of current clinical trials using inorganic nanoparticles for cancer therapy.

INP	Commercial drug (sponsor)	Generic name	Indications	Status	Ref.
Gd-chelate-based NP	AGuIX (National Cancer Institute, France)	Polysiloxane	Advanced cervical cancer	Phase I	[37]
Gold NP	NU-0129 (Northwestern)	Nucleic acids	Glioblastoma	Phase I	[37]
Gold NP	CYT-6091 (National Institutes of Health Clinical Center)	Tumor necrosis factor- $\alpha$	Pancreatic, melanoma, soft tissue sarcoma, ovarian, and breast cancers	Phase I	[94]
Hafnium oxide NP	NBTXR3 (PEP503) (Nanobiotix)	NPs stimulated with external radiation to enhance tumor cell death via electron production	Advanced squamous cell carcinoma	Phase I/II	[95]
Iron NP	Magnablate (University College London Hospitals)	Iron NP. Magnetic-field responsive to thermal ablation	Prostate cancer	Phase I	[96]
Iron NP	NanoTherm (MagForce Nanotechnologies)	Iron NP responsive to thermal ablation	Glioblastoma	Approved	[97]
Silica NP	AuroLase (Nanospectra Biosciences)	Silica-gold nanoshells coated with PEG, laser-responsive	Thermal ablation of head / neck cancer and metastatic lung tumors	Finished	[96]

NP: nanoparticle; Gd: gadolinium; PEG: polyethylene glycol.

Gold NPs are synthesized under different substrates and methodologies, with sizes ranging from 1 to 100 nm and shapes going from nanospheres and nanocages to nanorods and nanostars. These NPs are very interesting due to their peculiar surface plasmon resonance, which converts light into heat, causing local hyperthermia and hence killing cancer cells [62,63]. Moreover, gold is a metal that can be conjugated with a large variety of molecules, and along with the fact that it shows low toxicity and high stability [64], several *in vitro* and *in vivo* antitumor studies have been carried out, especially using phytochemical molecules. Chen et al. synthesized gold NPs conjugated with epigallocatechin-3-gallate (EGCG) to test their activity against B16F10 murine melanoma cells, and the results revealed a 4.9-fold increased efficacy when compared to EGCG free-form. Comparable results were observed in tumor growth rate in a murine melanoma model [65]. In the breast cancer field, Kondath et al. and Raghavan et al. tested the anticancer effects of morin and kaempferol in MCF-7 human cell line models, respectively. Morin-gold NPs revealed high cytotoxicity levels when administered in MCF-7 cells and showed a biocompatible profile

when tested in HBL-100 normal human blood cells. Analogous results were found in kaempferol-gold NPs administration, where cell viability was reduced in a dose and time-dependent manner, probably by inducing apoptotic pathways [66,67].

Similarly, silver NPs have interesting properties of stability, conductivity, and catalysis, making them a real focus on cancer treatment since they can internalize into mammalian cells by endocytosis and distribute their content to the cytoplasm and nucleus. Additionally, their small size also makes them able to cross the BBB, although some physicochemical properties may cause cytotoxicity to both normal and cancer cells [68,69]. *In vitro* antitumor activity of NPs has been analyzed against numerous types of cancer, with remarkable antiangiogenic and anti-proliferative properties [70,71]. Jeyaraj et al. and Gurunathan et al. carried out studies using biologically synthesized silver NPs from *Sesbania grandiflora* and *Bacillus funiculus* against MCF-7 and MDA-MB-231 human breast cancer cells, respectively. Results showed increased cytotoxicity in both cell lines, with noticeable effects on DNA damage, apoptosis pathways, and oxidative stress [69,72]. Also, Vasanth et al. tested the anticancer activity of *Moringa oleifera* silver NPs on HeLa human cervical carcinoma cells. They showed outstanding anticancer activity, probably due to oxidative stress followed by cell growth inhibition due to apoptosis induction [73].

Silica-based NPs, mainly mesoporous silica NPs, have been investigated as promising candidates for tumor target systems due to their ability to accept organic molecules and to act as a suitable reservoir for controlled DDS. As their main characteristics, they can be very strictly pore-sized and have a specific pore volume and surface area that support a high drug payload [74,75].

Mesoporous silica NPs containing doxorubicin and L-arginine as a nitric oxide donor were used, respectively, by Huang et al. and Zhang et al., in *in vitro* and *in vivo* pancreatic carcinoma models. The first study observed that MiaPaCa-2 human pancreatic carcinoma cells treated with the NPs had higher cytotoxicity levels than the free drug treatment. The formulation was fully functional to effectively deliver the drug in the SCID mouse model. The second one revealed that PANC-1 human pancreatic carcinoma cells treated with the NPs together with ultrasound-triggered release had increased levels of p53 and caspase-3 protein levels, indicating DNA damage followed by apoptosis. *In vivo* experiments also showed an interesting inhibition of tumor growth in nude mice [76,77]. Giménez et al. loaded safranin-O and doxorubicin into PEG-capped mesoporous silica NPs to test them against

HeLa human cervical carcinoma cells in a glutathione (GSH)-dependent model (which has a higher concentration and more reductive properties inside tumors) and exposed that the system can be retained closed at low GSH levels, allowing the drug release only at high GSH concentrations [78].

Magnetic materials are well known and have been functionally introduced in several areas. The interest in using them with biomedical prospects is because they can generate significant thermal effects in a magnetic field [61]. To date,  $\text{Fe}_3\text{O}_4$  and other iron oxides are the main components of magnetic NPs due to their low toxicity and well-known metabolism routes. Despite being more common as contrast agents, they have been lately investigated as potential antitumor target systems [60].

Singh et al. used PLGA-magnetic NPs for the co-delivery of hydrophilic (carboplatin) and hydrophobic (paclitaxel and rapamycin) drugs in *in vitro* models using MCF-7 human breast cancer and PANC-1 human pancreatic carcinoma cells. Their results displayed improved cellular uptake and an increased synergistic effect of the conjugated system compared to free drugs [79]. Kohler et al. treated 9L rat glioma cells with methotrexate-PEG magnetic NPs and observed increased cytotoxicity compared to empty NPs or free-drug, along with augmented cytoplasm retention, allowing extended tumor imaging over the therapeutic time course [80]. *In vivo* assays were conducted by Alexiou et al. using VX-2 squamous carcinoma cells implanted on a New Zealand white rabbit model and intra-arterially treated with magnetic NPs bound to mitoxantrone, resulting in a complete and permanent remission of the tumor in comparison with the negative control and intravenous administration groups [81].

Carbon nanotubes can be classified into single- and multiwalled versions and display inherent properties such as ultralight weight, mechanical strength, large internal volume, and pronounced electrical and thermal conductivities. These nanosystems have acquired increased attention in cancer nanomedicine in the last twenty years because of their versatile profiles, allowing the incorporation and establishment of several DDS containing from drugs and small interfering RNA to proteins and antibodies [15,60,64]. Structurally, carbon nanotubes are hydrophobic self-assembling sheets of atoms organized in a tube shape with a diameter between 1 and 4 nm, giving them the ability to cross cells using “needle-like penetration”, and therefore deliver their content into the cytoplasm. On the other hand, these nanostructures are non-dispersible in all solvents, which increases cytotoxicity levels and

requires structural chemical modifications to convert them into water-dispersible carriers, allowing the inclusion of different chemotherapeutic drugs [35,82].

Two *in vitro* studies using A549 human lung carcinoma cells looked for the phytochemical anticancer properties of carbon nanotubes containing betulinic acid and silibinin, and in both cases the cytotoxicity effects were higher than the free-drug treatments and showed no toxicity against normal cell lines [83,84]. Paclitaxel was also PEG-functionalized into carbon nanotubes and tested in HeLa human cervical carcinoma and MCF-7 human breast cancer cells, showing high efficacy to kill both cell lines with a lower IC<sub>50</sub> than free-drug [85]. Similar effects were observed *in vitro* and *in vivo* by using cisplatin carbon nanotubes bound to the specific receptor ligand EGF (epidermal growth factor) against HNSCC neck squamous carcinoma cells and mice xerographically implanted with the same cell line overexpressing the EGF receptor. In both experiments, the nanosystems could selectively and efficiently target cells overexpressing the receptors, killing most of the tumor cells [86].

Finally, QDs are 2-10 nm-sized semiconductors and fluorescent NPs or nanocrystals, composed of groups II-VI or III-V elements such as sulfides, selenides, tellurides, and carbon (silicon). By carrying properties such as small size, versatile surface chemistry, narrow emission profile, high photostability and brightness, and sensitivity to the microenvironment, QDs are worthy candidates as DDS in biological systems [15,63,87]. Their fluorescent characteristics allow them to act as probes with intense signal emission, making these NPs an emerging theragnostic system. Besides, they can also act as photosensitizers and, once combined with a specific wavelength of light and oxygen, generate reactive oxygen species inside tumors, leading the cells to trigger the apoptotic pathway [88]. For example, QDs have been used to target specific overexpressed proteins, peptides, and receptors and therefore detect early stages of pancreatic, ovarian, and breast cancer [89-91].

Nurunnabi et al. conducted *in vitro* and *in vivo* studies using QDs micelles loaded with herceptin and tested them against SK-BR3 human breast cancer and KB human nasopharyngeal epidermoid cancer cells, as well as in SKH1 mice injected with MDA-MB-231 human breast cancer cells. Results revealed an increased cytotoxicity dose and time-dependent effect in cell lines and an *in vivo* antitumor effect, with shrinkage of the tumor volume and inhibition of tumor growth [92]. Bagalkot et al. carried out assays with QD-

aptamer conjugates containing doxorubicin (which is fluorescent) and capable of recognizing the extracellular domain of the prostate-specific membrane antigen to treat LNCaP human prostate adenocarcinoma cells. They showed that the formulation was not only able to specifically detect and bind to the tumor cells but also intracellularly release the drug and, as a result, provide images of the tumor cells [93].

## 2.4 Liposomes

Liposomes are  $\geq 50$  nm-sized phospholipidic vesicles and the most common form of lipid-based nanostructure with biomedical applications, being able to contain hydrophobic therapeutic agents at their lipid bilayer and hydrophilic agents inside them. Consequently, cationic liposomes can also be electrostatically bound to anionic nucleic acids. They can often be classified as multilamellar vesicles, small unilamellar vesicles, large unilamellar vesicles, long-circulating liposomes, and immunoliposomes [15,98].

As a main advantage, liposomes have a composition that assembles to the cell membrane, making them more biocompatible than other nanocarriers with synthetic materials, avoiding antigenic and toxic effects, therefore being one of the most common nanocarriers. Also, their structure protects drugs from early degradation and other microenvironmental hazards, not to mention that they are a suitable way to carry hydrophobic agents. On the other hand, these features may lead to some limitations, such as long-time circulation in the blood, poor stability due to phospholipid oxidation, difficulties in sterilization, and limited drug release control [35,99].

These problems can be easily solved by making structural and physicochemical modifications using polymers to enhance stability and drug release rates [35]. In detail, liposome surface modifications can range from the attachment of specific ligands for targeted purposes to the attachment of hydrophilic polymers for long-circulation profiles, the attachment of label carriers for drug delivery monitoring or diagnostic imaging, the incorporation of positively charged polymers or lipids for DNA binding to allow cell transfection, and the attachment of antibodies and antigens for immunoassays [100].

Several *in vitro* and *in vivo* studies have been carried out using liposome systems for chemotherapeutic encapsulation. One of the most promising approaches is pH-sensitive liposomes, which can release drugs only inside tumors due to their particular pH environment, avoiding early degradation and side effects [101]. Banerjee et al. developed

poly(styrene-co-maleic acid)-based liposomes for 5-FU cytosolic delivery and applied them in HT-29 colon cancer cells. As a main result, they increased intracellular drug availability, resulting in enhanced apoptosis [102]. Another study using folate receptor-targeted liposomes containing cytarabine showed efficient intracellular drug and gene delivery in KB human oral cancer cells, enhanced cytotoxicity, and a decreased IC<sub>50</sub> value [103].

Mo et al. tested liposomes based on zwitterionic oligopeptide lipids containing temsirolimus in both *in vitro* and *in vivo* models against renal carcinoma, and they revealed a significantly higher antiproliferative and apoptotic induction in A498 human carcinoma cells, which was confirmed in the xenograft cancer model [104]. Looking for doxorubicin-triggered release, Ishida et al. and Paliwal et al. established pH-sensitive liposomes to treat lymphoma and breast cancer, respectively, *in vitro* and *in vivo*. Narnalwa human Burkitt's lymphoma cells presented higher cytotoxicity when treated with liposomes targeted to their CD19 epitope. The same model applied to the murine model corroborated their efficacy, even though rapid drug release and clearance were observed. In the breast cancer models, estrogen-anchored liposomes containing the drug showed increased intracellular uptake in ER-positive MCF-7 cancer cells, with nuclear drug localization and cytotoxicity by reactive oxygen species generation. Balb/c mice implanted with DMBA cancer cells and intravenously treated with the formulations exhibited tumor suppression by intracellular drug trafficking [105,106].

Among all nanomedicines, the liposomal formulation Doxil<sup>®</sup> became the first nanosystem approved by the FDA in 1995 and consisted of doxorubicin for the treatment of Kaposi's sarcoma [36]. Other liposomal systems have been developed and extensively used in chemotherapy and immunotherapy (Table 4), since they can take advantage of the leaked character of tumor blood vessels and therefore target cancer cells more easily than other NPs [15,100,107].

**Table 4:** Examples of current clinical trials using liposomes for cancer therapy.

Commercial drug (sponsor)	Generic name	Indications	Status	Ref.
Aroplatin (L-NDDP) (Aronex)	DACH platin	Colorectal cancer, solid malignancies	Phase I/II	[108]
ATI-1123 (Azaya Therapeutics)	Docetaxel	Solid tumors	Phase I	[34]
DaunoXome (Galen)	Daunorubicin	Kaposi's sarcoma	Approved	[34]
2B3-101 (to-BBB)	Doxorubicin	Brain metastasis of breast cancer	Phase I/II	[109]

Doxil / Caelyx (Johnson & Johnson)	Doxorubicin	Kaposi's sarcoma, ovarian, breast cancers, multiple myeloma	Approved	[110]
EGFR antisense DNA liposomes (University of Pittsburgh)	EGFR antisense DNA	Head / neck cancer	Phase I	[111]
LEM-ETU (Insys Therapeutics)	Mitoxantrone	Leukemia, breast, stomach, liver, and ovarian cancers	Phase I	[112]
Lipo-Dox (Taiwan Liposome)	Doxorubicin	Kaposi's sarcoma, ovarian cancer, breast cancer	Approved	[113]
Lipo-MERIT (BioNTech SE)	Naked RNA-drug products	Cancer vaccine for advanced melanoma	Phase I	[37]
Lipoplatin (Regulon)	Cisplatin	Non-small-cell lung cancer	Phase III	[114]
Liposomal Annamycin (Moleculin Biotech)	Annamycin	Soft-tissue sarcomas with pulmonary metastases	Phase I/II	[37]
Marqibo (Talon)	Vincristine	Acute lymphoid leukemia	Approved	[115]
Mepact (Takeda)	Mifamurtide MTP-PE	Osteosarcoma	Approved	[116]
Myocet (Cephalon)	Doxorubicin	Breast cancer	Approved	[117]
ThermoDox (Celsion)	Doxorubicin	Unresectable hepatocellular carcinoma	Phase III	[118]
Vyxeos CPX-351 (Jazz Pharmaceuticals)	Cytarabine / daunorubicin	Acute myeloid leukemia	Approved	[37]

DACH: diaminocyclohexane; MTP-PE: muramyl tripeptide phosphatidylethanolamine; EGFR: epidermal growth factor receptor; DNA: deoxyribonucleic acid; RNA: ribonucleic acid.

## 2.5 Micelles

Micelles are colloidal amphiphilic nanocarriers, 5-200 nm-sized and finely customized to allow slow and controlled drug release. They are structurally designed with an internal hydrophobic core and an external shell with enough polarity to dissociate in aqueous solutions. The development of these NPs is a usual technique to overcome poor drug solubility and therefore increase their bioavailability [36,38,64].

Usually, the core contains polyphenylene oxide or lipids in its composition, which are maintained by van der Waals forces, while the external hydrophilic surface is sustained by hydrogen bonds and covered by PEG; nonetheless, PLA, PGA, and other polymers may be used, all of them affording protection and prolonged blood circulation [99,119]. Two of the most important and crucial factors in micelle formation are the critical micelle temperature and the critical micelle concentration (CMC), which are the specific temperature

and concentration at which micelles spontaneously assemble. CMC has a quite narrow range of concentrations that delimits changes in the physicochemical properties of amphiphilic dispersion, a central key for micelle stability. Therefore, concentrations below the CMC tend to dissolve the nanostructure, causing the premature release of the drug, whereas at the CMC, the micelle formation is viable [119,120].

Micelles have been used in *in vitro* studies, with a special focus on oral cancers. Saiyin et al. developed doxorubicin-loaded polymeric nanomicelles to treat HN-6 and CAL-27 human oral squamous carcinoma cell lines, and the outcomes indicated that the NPs could rapidly enter the cells and release the drug under the local acidic environment, inhibiting cell proliferation [121]. Similarly, Endo et al. used cisplatin-loaded polymeric nanomicelles against OSC-19, OSC-20, HSC-3, and HSC-4 human oral squamous carcinoma cell lines, with further *in vivo* application. Considering their potential antitumor activity, the authors showed and supported increased lymphatic drug delivery and a notable reduction in nephrotoxicity, extenuating the application of platinum-complexed NPs in cancer therapy [122].

Another important field for micelle application involves the incorporation of microRNAs in these nanosystems. Liao et al., Shao et al., and Wang et al. combined miRNA-32, miRNA-519a, and miRNA503 in micelles to treat prostate, hepatocellular, and osteosarcoma tumors, respectively. As results, DU145 and PC3 prostate cancer cells with downregulated miRNA-32 showed high autophagy activity; SMMC-7721 and Huh7 hepatocellular carcinoma cells with downregulated miRNA-519a presented lower proliferation rates; and U2OS, Saos-2, MG63, and SW1353 osteosarcoma cell lines with overexpressed miRNA-503 significantly inhibited their proliferation and invasion, probably via IGF-1R downregulation [123-125].

Despite their apparent versatility and functionality, micelles often suffer from occasional issues, such as low stability. To increase their stability, the already mentioned CMC factor plays an important role, since if NPs are diluted below their CMC, they will dissolve and release the drug right after entering the bloodstream [119]. One of the avoidable ways to overcome these problems is to conjugate the nanocarriers to polymer structures that improve their time circulation, such as PEG and PEO, and therefore not only resist to opsonization and low stability but also enhance tumor accumulation by the enhanced

permeability and retention (EPR) effect [126], which justifies micelles application in the chemotherapy field (Table 5).

**Table 5:** Examples of current clinical trials using micelles for cancer therapy.

<b>Commercial drug (sponsor)</b>	<b>Generic name</b>	<b>Indications</b>	<b>Status</b>	<b>Ref.</b>
CriPec (Cristal Therapeutics)	Docetaxel	Solid tumors, ovarian cancer	Phase I/II	[37]
Cynviloq IG-001 (Sorrento)	Paclitaxel	Breast Cancer	Phase I/II	[37]
Docetaxel-PM (Samyang Biopharmaceuticals)	Docetaxel	Head / neck cancer, advanced solid tumors	Phase II	[37]
Genexol-PM (Samyang Biopharmaceuticals)	Paclitaxel	Brest, lung, and ovarian cancers	Approved	[127]
Imx-110 (Immix Biopharma Australia)	Stat3 / NF- $\kappa$ B / poly-tyrosine kinase inhibitor and low-dose of doxorubicin	Advanced solid tumors	Phase I/II	[37]
Lipotecan (TLC388) (Taiwan Liposome)	Camptothecin derivate	Liver and renal cancers	Phase I	[128]
Nanoxel (Fresenius Kaby Oncology)	Paclitaxel	Advanced breast cancer	Phase I	[129]
NC-4016 (NanoCarrier)	Oxaliplatin	Solid tumors, lymphoma	Phase I	[130]
NC-6004 (NanoCarrier)	Cisplatin	Pancreatic cancer	Phase II	[131]
NC-6300 (K-912) (NanoCarrier)	Epirubicin	Solid tumors, soft tissue sarcoma	Phase I/II	[37]
NK-012 (Nippon Kayaku)	Active metabolite of irinotecan (SN-38)	Solid tumors, small cell lung, and breast cancers	Phase II	[132]
NK-105 (Nippon Kayaku)	Paclitaxel	Gastric and breast cancers	Phase III	[133]
NK-911 (National Cancer Institute Japan / Nippon Kayaku)	Doxorubicin	Solid tumors	Phase I/II	[134]
ONM-100 (OncoNano Medicine)	Indocyanine green	Intraoperative detection of cancer	Phase II	[37]
Paclical (Oasmia Pharmaceutical)	Paclitaxel	Ovarian cancer	Phase III	[37]
SP1049C (Supratek Pharma)	Doxorubicin	Advanced adenocarcinoma	Phase II/III	[135]

Stat3: signal transducer and activator of transcription 3; NF- $\kappa$ B: nuclear factor kappa-light-chain-enhancer of activated B cells.

## 2.6 Exosomes

Exosomes are a type of extracellular vesicle ranging in size from 30 to 150 nm and derived from the exocytosis of intraluminal endosomal vesicles, which are therefore released into the extracellular space once they are fused with the plasma membrane [136,137]. They

can be secreted by almost all cell types, including endothelial, epithelial, and neuronal, as well as fibroblasts, immune cells, and tumor cells. Structurally, they are formed by a lipid bilayer, and their multicellular origin makes them able to interact, carry, and transfer a wide range of proteins, molecules, mRNA, and miRNA, delivering multifaceted sets of biological information to neighboring cells and modulating their behaviors by molecular modifications [136,138].

Although the already mentioned liposomes and micelles can well play the function of drug-loaded synthetic NPs and be opsonized in the bloodstream, these two DDS must face peculiar problems: notable toxicity and fast clearance by the mononuclear phagocyte system (MPS). Even though MPS activity can be partially circumvented or decreased by NP PEGylation, immune responses to the PEG corona can occur, and this structure may also reduce the interaction with membranes and targets, resulting in less tissue biodistribution [139].

While their complete function remains unspecified, exosomes are physiologically highly heterogeneous and typically exhibit phenotypic characteristics that resemble those of the cell that generates them. Consequently, they often end up showing roles in cell recycling, immune response modulation, enhanced tumor-cell invasion, and cell communication [136,140], and this diversity, added to their intracellular crosstalk abilities, makes them worthy candidates to surmount cell barriers, making them very useful for cancer treatment and immune regulation [141,142] (Table 6).

**Table 6:** Examples of current clinical trials using exosomes for cancer therapy.

Exosome origin	Sponsor	Generic name	Indications	Status	Ref.
Ascites-derived exosomes	Guangxi Medical University	Granulocyte-macrophage colony-stimulating factor	Colorectal cancer	Phase I	[143]
Dendritic cells-derived exosomes	Gustave Roussy Institute	MHC class II peptides	Melanoma	Phase I	[144]
Dendritic cells-derived exosomes	Gustave Roussy Institute	MAGE cancer antigens	Non-small cell lung cancer	Phase I	[145]
IFN- $\gamma$ -dendritic cells-derived exosomes	Gustave Roussy Institute	MHC class I- and class II-restricted cancer antigens	Non-small cell lung cancer	Phase II	[146]
Mesenchymal stromal cells-derived exosomes	M.D. Anderson Cancer Center	KrasG12D siRNA (iExosomes)	Metastatic pancreatic cancer	Phase I	[147]
Plant exosomes	University of Louisville	Curcumin loaded	Colon cancer	Phase I	[147]

MHC: major histocompatibility complex; MAGE: melanoma-associated antigen; IFN- $\gamma$ : interferon gamma; KrasG12D: Kirsten rat sarcoma gene (substitution – missense, position 12, G $\rightarrow$ D); siRNA: small interfering RNA.

### **3. Active barriers to tumor-targeting drug delivery systems**

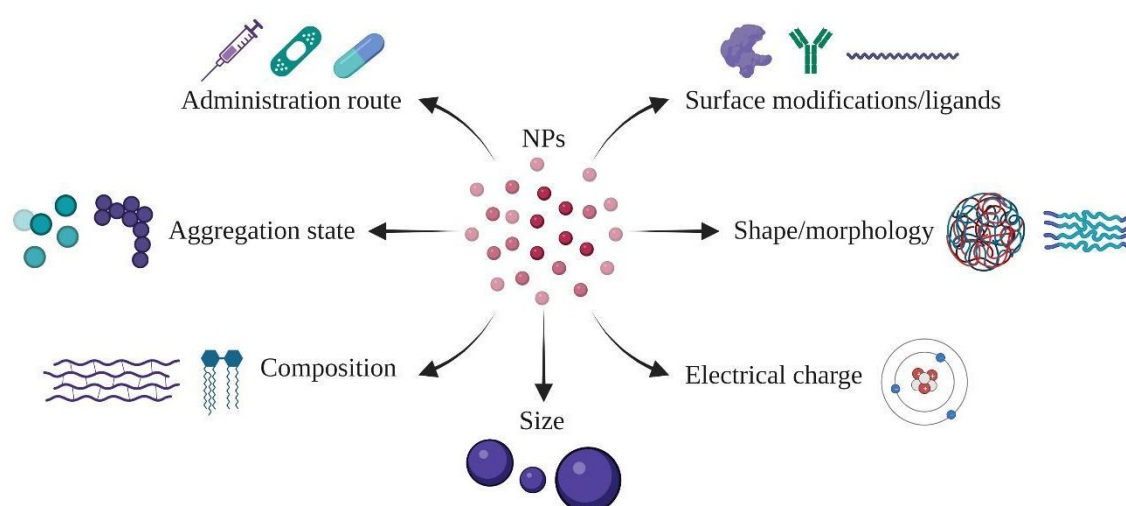
In the last few decades, several molecular cancer mechanisms have been disclosed, increasing the understanding of this disease and establishing different and important associations with external aspects and other pathophysiological conditions [148]. On the other hand, advances in chemotherapeutic drug development have slowly been converted into major advances for patients' therapy [34], especially due to the presence of active barriers that prevent most of the drugs to reach the tumor site at therapeutic levels. One of the most well-known active barriers in cancer cells is the overexpression of ATP-binding cassette (ABC) transporters, such as P-glycoprotein. These transporters actively pump chemotherapy drugs out of cancer cells, reducing drug accumulation and effectiveness [149]. Cancer cells can also exhibit enhanced DNA repair mechanisms, which help them recover from DNA damage caused by chemotherapy and radiation therapy, as well as develop mechanisms to resist apoptosis, which is a natural process of programmed cell death [150,151]. The intratumoral heterogeneity found in the TME, which refers to the presence of various cancer cell subpopulations with different genetic and molecular characteristics, can create active barriers to treatment because not all cells respond the same way [152]. Lastly, most cancer cells possess stem cell-like properties, allowing them to self-renew and differentiate. These tumor-initiating cells can resist treatment and drive cancer progression [153].

Besides issues such as the high hydrophobicity of oral drugs (tending them to bioaccumulate and cause toxicity), non-selective targeting molecules, and tumor resistance mechanisms, some important limiting barriers to tumor DDS have been proven to be central keys for successful therapies [148,154]. Basically, they can be grouped into physicochemical, anatomical / physiological, and tumor intrinsic barriers, ranging from cellular membrane characteristics to metabolization and charge interactions [155].

#### **3.1 Physicochemical interactions**

Among all types of cancer, there are numerous possibilities for physicochemical interactions between the nanocarriers and a specific tumor. Depending on the stage of the disease and the particularities of the physiological processes and TME of each patient, these interactions may be different. To effectively deliver the chemotherapeutic drug to the tumor and have a chance to kill cancer cells, there is a long pathway through which the nanocarriers

must go. If a nanoformulation is administered by injection, this path will start at the injection site. The NP carrying the drug must follow the bloodstream to reach the tumor site and then pass through stages of extravasation from the relatively leaky regions of the tumor vasculature into the TME. Once the NPs are in the TME, they must undergo accumulation, distribution, and intracellular localization, limited by the tumor's extracellular matrix content and cell density [156-158]. The number of NPs that reach the tumor, their degree of penetration into the tissue, the uniformity of distribution, the release profile of the drug from the NP, and the binding affinity of the drug are key factors affected by physicochemical interactions. They are determinants of the success of a new nanoformulation [157]. This section intends to give an overview of how the physicochemical characteristics of nanocarriers, such as size, shape, and charge, may reflect on interactions with tumors and further uptake (Figure 2).



**Fig. 2:** Schematic representation of the different characteristics that modulate the physicochemical interactions between nanoparticles (NPs) and the target system. The administration route plays a key role in the onset of action, whereas characteristics such as surface, shape, electrical charge, size, composition, and aggregation state are determinants of a successful *in situ* drug delivery.

All physicochemical characteristics evaluated will also depend on the route of administration of nanocarriers. In general, after particles are in the bloodstream, those with sizes above 100 nm will remain in circulation for longer than smaller ones. They may use the EPR effect to enable accumulation in tumor tissue. Particles with more than 200 nm may be cleared by the liver, spleen, and bone marrow, primarily by the MPS. Tiny NPs with diameters  $\leq 5-6$  nm are likely to be cleared by the kidney. Reduced clearance of particles will increase their chances of reaching and penetrating the tumor tissue. It was suggested

that a better penetration ratio into a tumor is seen for particles smaller than 12 nm. Thus, as there are multiple physiological barriers that a drug must overcome, some technologies exploit a responsive delivery system for solid tumors with particles that change their sizes or other characteristics to present a better penetration and accumulation profile once they enter the tumor site [159].

The platform iCluster is a polymeric clustered NP with a size of ~100 nm that undergoes a structural change to dendrimers of ~5 nm by endogenous stimuli of the TME [160]. These smaller particles extravasate into the lymphatic system and migrate into lymph nodes, inhibiting tumor metastasis [161]. NPs that reach the lymphatic system before systemic circulation can have some advantages in specific immunotherapeutic applications. Wong et al. proposed a strategy to covalently conjugate amino-PEG QDs onto a gelatine NP surface. This system initially has 100 nm NPs, and when in contact with matrix metalloproteinase-2 (MMP-2 or gelatinase A) in the TME, it is triggered by enzymatic degradation to release 10 nm QDs in tumor tissue. These QDs were used to allow easy visualization, and the authors refer to the possibility of incorporating 10 nm NPs as drug carriers [162]. Complementary studies demonstrated that a system composed of primary NPs with 20 nm that release secondary NPs with 5 nm containing the drug would be ideal for effective transport across the tumor, considering the size of the pores of the vessel wall in different tumors [157]. Another smart size-switchable nanoplatform was prepared using high-molecular-weight polymers conjugated to an MMP-2-sensitive peptide containing doxorubicin. The primary NPs have 100 nm, which release NPs with ~30 nm after extravasation from the tumor vessels in response to MMP-2 in the TME. This multistage system presented superior deep tumor accumulation results and led to more potent suppression of tumor growth and metastasis in 4T1 tumor-bearing mice compared to only primary NPs containing the same drug [163].

The size of nanocarriers is usually measured using dynamic light scattering (DLS), laser diffraction (LD), or transmission electron microscopy (TEM) techniques, individually or often combined. Except for TEM, by which the shape can be elucidated for some nanocarriers, the size measured by the other techniques usually considers structures to be spherical. The shape and structural organization of the nanocarriers can also be elucidated by other techniques, such as small-angle X-rays (SAXS), for example. The nanostructure shape strongly influences how the interaction with the cancer cell will occur, such as the

altered binding kinetics and dynamics of ligand-receptor interactions and the retention profile of drugs inside a tumor. Usually, studies compare spherical nanostructures to alternative shapes. However, it is interesting to maintain similar sizes to ensure that differences are due to shape only. Research converges on explanations based on the area of contact between the nanostructure and cells to explain the differences observed. A spherical NP may have limited contact; on the other hand, other structures can have multiple areas of contact and binding sites [159].

Tubular polymersomes (TP, 60 nm diameter and 240 nm length) were compared to spherical polymersomes (SP, 60 and 240 nm), both formed of the amphiphilic diblock copolymer PMPC-PDPA. The kinetics of cellular internalization on a cancer-cell line (FaDu) were different among these structures, being delayed for TP [164]. Furthermore, SP entered the cell cytosol, while TP were found within the cytosol and associated with the cellular surface. Cancer-cells activate different molecular pathways depending on the nanostructure's shape. This difference led to a higher toxicity of TP towards FaDu cells when compared to SP, both containing doxorubicin [165]. Another study compared two nanostructures formed by the DNA aptamer AS1411 conjugated to ~44 nm gold nanostars (AS1411-AuNS) or to ~50 nm spherical gold NPs (AS1411-50NPs) in terms of targeted ligand-receptor interactions on cancer cells in an in vitro model [166,167]. AS1411 is a tumor-targeting ligand that binds to nucleolin at the cell surface and is then transported to a perinuclear region to induce cell apoptosis. AS1411-AuNS exhibited better results in terms of velocity under directed diffusion and translational motion during restricted diffusion compared to AS1411-50NPs on nucleolin-expressing cells. Therefore, the shape of nanostructures influenced the dynamics of targeting nucleolin [166].

Similar to size switchable platforms, shape transformable NP systems that can change from one format to another may be interested in improving blood circulation time and tumor penetration. One example is a NP formed by the self-assembling of a linear triblock molecule containing a photosensitizer for photodynamic therapy, a chemotherapeutic drug, and an MMP-2-responsive peptide. Further, coating with polyethylene glycol-histidine was carried out to give a negative charge to the system. The final nanostructures were spherical ( $76.7 \pm 5.2$  nm) and could transform into nanofibers (~2000 nm) following the enzymolysis by MMP-2 at an acidic pH. Compared with control spherical NPs, the particles that changed to nanofibers increased tumor retention and had the

best capacity to promote tumor apoptosis in a BALB/c nude mouse 4T1 breast cancer model. The same work proposed a switch on NPs' charge from negative to positive. Once in the presence of the acidic pH of the TME, the histidine present in the coating is protonated, and the cationic primary NP is exposed. Positively charged NPs demonstrated a 1.33-fold increase in tumor penetration measured in the multicellular spheroids model compared to negatively charged NPs [168].

Positively charged nanostructures could have higher internalization and cellular uptake than negatively charged ones. The positive charge also promotes deeper penetration in tumors through caveolae-mediated endocytosis mechanisms. Most proteins in the blood are negatively charged at the physiological pH; therefore, negatively charged nanostructures tend to have a longer blood circulation than positive ones [168,169]. Therefore, in view of obtaining a balance among the best physicochemical characteristics and biological outcomes, researchers have invested in these switchable charged nanocarriers. Nanotechnology applied to cancer immunotherapy holds promise for treating several cancer types and can show improved results with combined engineered characteristics. Poly( $\epsilon$ -caprolactone)-poly(L-arginine) micelles loaded with indoleamine 2,3-dioxygenase 1 siRNA (IDO1 siRNA) and mitoxantrone have a positive charge. These NPs were coated with poly(ethylene glycol)-poly(L-lysine)-2,3-dimethylmaleic anhydride through electrostatic interactions and acquired a negative charge. Within the TME acidic pH, the large-sized NPs with a negative charge switched into smaller and positive particles to enhance tumor penetration and cellular uptake, showing an antitumor immune response in an *in vivo* animal model [170].

### 3.2 Biological barriers

Tumors possess a range of physiological defensive mechanisms that allow them to resist conventional treatments and, in combination with the complexity of the biological system, form an obstacle to approaches aiming to the delivery of drugs to specific sites. Hence, the ability to design nanocarriers layered with specific attributes is a key to a successful DDS, as this would allow them to execute sequential functions to cross these defensive barriers one at a time [171]. In this section, we discuss the different types of biological barriers and their influence when it comes to DDS.

The extracellular matrix (ECM) is a complex network of proteins and other molecules that provides structural support to cells and tissues. It plays an important role in cell adhesion, migration, proliferation, and differentiation. However, the ECM can also act as a barrier to drug penetration, as its composition and density can limit the diffusion of molecules into the tumor tissue, thus reducing the effectiveness of certain therapies [172]. As an example, Heldin et al. investigated the effect of the ECM on the delivery of paclitaxel, and they found that the ECM significantly reduced the penetration of paclitaxel into tumor cells, which could explain the resistance of some tumors to this drug [173], and Tiwari et al. revealed a similar loss of delivery effectiveness of topical drugs for the treatment of skin diseases [174]. On the other hand, strategies such as the use of ECM-degrading enzymes or the modification of drugs to improve their penetration through the ECM have already been studied. They could be deemed approaches to enhance drug delivery ratios [175]. Opsonisation is also a major obstacle characterized by the binding of specific blood serum proteins (opsonins) to nanocarriers, which become enclosed, signaled, and more easily detected by phagocytes, leading the NPs to early degradation [176].

Tumor blood vessels can also play a significant role in limiting drug penetration into solid tumors. The abnormal and disorganized architecture of tumor blood vessels can lead to poor perfusion and altered blood flow, creating a barrier to drug delivery. In addition, tumor-associated macrophages and other immune cells can accumulate around blood vessels, further restricting drug access to tumor cells [177]. Such a finding has already been shown by Cassetta et al. when they studied the influence of tumor-associated macrophages on drug delivery in a mouse model of breast cancer, revealing that the macrophages accumulated around tumor blood vessels and created a physical barrier to the penetration of chemotherapeutic drugs [178]. In another study, Friedrich et al. used a combination of imaging and mathematical modeling to study drug distribution in solid tumors. The results showed that tumors' chaotic and disordered blood vessel networks created non-uniform drug distributions, with some regions of the tumor receiving little or no drug exposure [179]. The importance of understanding the complex interactions between tumor blood vessels, tumor cells, and the surrounding microenvironment in developing effective cancer therapies is evident. Thus, developing strategies to overcome these barriers, such as improving blood vessel function or using combination therapies to target both tumor cells and the surrounding microenvironment, may be necessary to improve treatment outcomes.

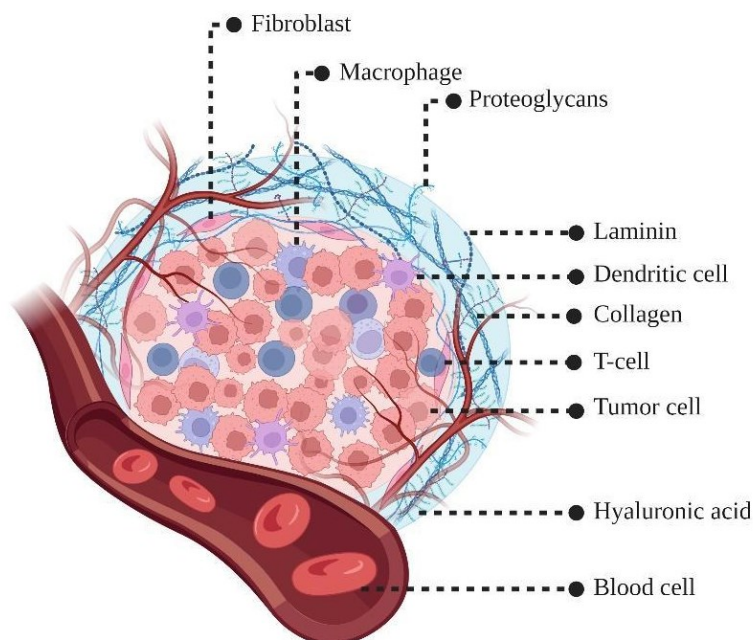
Another barrier to DDS is the presence of drug efflux transporters on the cell surface. They play an important role in removing drugs and other molecules from cells and are often overexpressed in tumors, where they can act as a barrier to drug penetration by actively pumping drugs out of cells before they can exert their therapeutic effects [149]. As an alternative, the development of strategies to overcome these barriers, such as using efflux transporter inhibitors or modifying drugs to reduce their susceptibility to efflux transporters, has already been considered [180].

Because of their ability to self-renew, differentiate into various cell types, and resist chemotherapy and radiation, cancer stem cells (CSCs) – a subset of cancer cells that are thought to be responsible for tumor initiation, progression, and resistance to therapy – are also a significant barrier to drug penetration in cancer treatment [181]. This resistant profile is supported by elevated levels of drug efflux transporters and DNA repair enzymes [182], turning CSCs into a frontline defensive mechanism, reinforcing the importance of targeting these cells with novel drug combinations to improve overall treatment outcomes.

The immune system can act as a barrier to drug penetration in cancer treatment, particularly in the case of immunotherapies that rely on activating the patient's own immune system to fight cancer cells. It can not only recognize and eliminate cancer cells but also develop resistance to immunotherapies and limit their effectiveness, allowing cancer cells to evade immune surveillance and proliferate unchecked [151]. This has already been reported in studies involving immunotherapy in melanoma, in which the presence of regulatory T cells was associated with reduced response to the treatment [183], and in chemotherapy in breast cancer, where macrophages were recruited to tumors in response to the treatment, promoting drug resistance by producing signaling molecules that protected cancer cells from chemotherapy-induced cell death [184]. Understanding the complex interactions between cancer cells and the immune system to develop more effective treatments and possibly using combination therapies to target these cells may be crucial for developing more effective therapies that can overcome this barrier.

Lastly, tumors also take advantage of their interactions with surrounding areas, creating an environment with a particular composition. This structure, known as the tumor microenvironment (TME), plays a critical role in cancer progression and response to treatment. It is composed of various non-cancerous cells, such as immune cells, fibroblasts, endothelial cells, and extracellular matrix components (Figure 3). It can either promote or

inhibit cancer growth, invasion, and metastasis, depending on its composition and interactions with cancer cells [185,186].



**Fig. 3:** Schematic representation of the tumor microenvironment and its composition. This ecosystem that surrounds the cancer cells constantly interacts and influence the tumor growth, invasion, and metastasis.

When promoting cancer growth, the TME can create a hostile environment for drug delivery with low pH, hypoxia, and high interstitial fluid pressure, contributing to drug resistance [187]. Also, cancer cells can interact with the immune system within the TME, which inhibits the infiltration and activation of immune cells, particularly T cells [188,189]. Therefore, cancer treatments that target the TME have shown promise in preclinical and clinical studies. These treatments aim to modify the TME to enhance the efficacy of conventional therapies, such as chemotherapy and radiation therapy, or to directly target the TME components that promote cancer growth and metastasis.

Currently, numerous DDS strategies and cancer treatments are under investigation to address the diverse biological barriers that hamper effective therapy. As examples, NPs and liposomes have demonstrated a high ability to evade ECM, TME, and tumor blood vessels through their unique physicochemical properties such as size, geometry, compositions and / or stiffness [190], whereas antibody-drug conjugates containing brentuximab vedotin and trastuzumab emtansine have shown promise in targeting a wide range of cancer types via immune system [191,192]. Likewise, the field of immunotherapy has witnessed remarkable

advancements, with therapies like immune checkpoint inhibitors (e.g., pembrolizumab and nivolumab) and adoptive cell therapies, including CAR-T cell therapy [193,194]. These treatments reinvigorate the immune system to recognize and attack cancer cells, effectively overcoming immunosuppressive barriers within the tumor microenvironment. Furthermore, advances in gene editing and RNA-based therapies hold potential to target genetic and molecular barriers associated with cancer, offering a personalized and highly precise approach to treatment [195,196]. The next section will give more details on the transport mechanisms and targeted strategies involved in chemotherapy DDS and how they can be used to improve treatment outcomes.

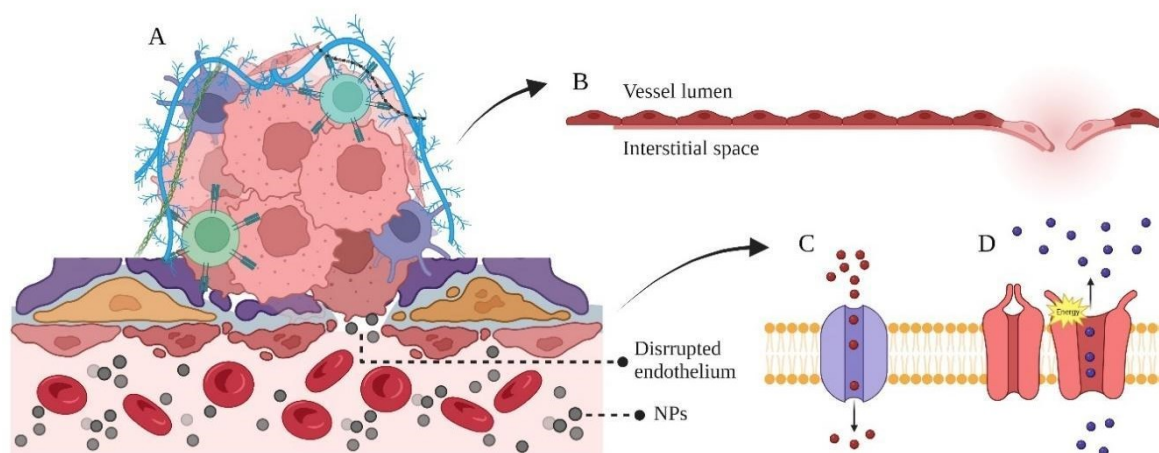
#### **4. Targeted strategies and transport mechanisms for chemotherapy drug delivery systems**

Cancer is a complex and challenging disease, and drug delivery strategies that specifically target cancer cells while minimizing toxicity to healthy tissues are essential for effective treatment. Generally, a tumor-targeting DDS needs to be functionalized to allow the increase of molecule adsorption, tumor-site delivery rates, cellular uptake, and controlled release [197], and most of these outcomes depend on the transport mechanisms they use to reach the tumor site and the way they will bind to the tumor cell, which generally involves overexpressed cell receptors.

Many different types of receptors can be overexpressed in tumor cells, and these receptors can be targeted for cancer therapy. The epidermal growth factor receptor (EGFR) is often overexpressed in a variety of cancers, including lung, colorectal, and breast cancer. Drugs like erlotinib and cetuximab target EGFR to inhibit its signaling and slow cancer growth [198,199]. The human Epidermal growth factor receptor 2 (HER2) overexpression is common in some breast and gastric cancers, and drugs such as trastuzumab are already being used to target HER2-positive tumors [200,201]. The vascular endothelial growth factor receptor (VEGFR) is often upregulated in tumors, and drugs such as bevacizumab target this receptor to inhibit tumor angiogenesis [202,203]. The programmed death-1 (PD-1) and programmed death Ligand-1 (PD-L1) are overexpressed in various cancers and are targeted by immune checkpoint inhibitors such as pembrolizumab and nivolumab, which help the immune system recognize and attack cancer cells [204-206]. The androgen receptor (AR), estrogen receptor (ER), and progesterone receptor (PR) are overexpressed in prostate cancer and / or breast cancer, and drugs such as enzalutamide, abiraterone, tamoxifen, and / or

aromatase inhibitors target these receptors to block hormone-driven tumor growth [207-210]. Tyrosine kinase receptors are also targeted in cancer therapy, especially by using drugs such as imatinib and vandetanib for chronic myeloid leukemia and thyroid cancer, respectively [211-212]. Finally, folate and transferrin receptors, as well as integrins can also be found upregulated in cancer cells and may be used as targets for a more effective therapy outcome [213-215].

Transport mechanisms are crucial for drug delivery systems because they determine how drugs are delivered to their target sites in the body, and their effectiveness enables drugs to reach their intended targets while avoiding other areas of the body where they may cause harm [216]. These mechanisms can be intrinsic to the organism, such as the active and passive transports, and target-related, such as stimulus-responsive targeting and the EPR effect. This section aims to give an overview of the different cell transport mechanisms that can affect and/or interact with DDS (Figure 4) and how they can be used to increase *in situ* drug delivery rates.



**Fig. 4:** Schematic representation of the different transport mechanisms for chemotherapy drug delivery systems. The EPR effect tends to enhance the accumulation of nanocarriers in the tumor tissue due to abnormal vessels architecture (A), and this delivery can be also improved by stimulus-responsive mechanisms causing a transient disruption of the endothelium (B), or passive (C) and active (D) transports.

#### 4.1 Active transport

Active transport is a mechanism by which substances are transported across biological membranes against their concentration gradients, requiring energy expenditure. It is a critical process for many physiological functions in the body, including the uptake and elimination of drugs. Tumor cells often exhibit altered expression or activity of active

transporters, leading to increased uptake or efflux of drugs and altered pharmacokinetics. This can affect the efficacy of anticancer drugs and contribute to drug resistance [217,218].

One example of a drug that uses active transport is the tyrosine kinase inhibitor imatinib, which is transported into cells by the organic cation transporter 1 (OCT1). Reduced expression or activity of OCT1 has been associated with resistance to imatinib in chronic myeloid leukemia and gastrointestinal stromal tumors [219]. Similarly, the use of P-glycoprotein (an overexpressed protein in many cancer cells and responsible for pumping chemotherapy drugs out of the cell, leading to drug resistance) inhibitors, such as verapamil, can prevent the efflux of chemotherapy drugs and increase their accumulation in cancer cells, leading to improved therapeutic efficacy [220,221].

Finally, the use of antibody-drug conjugates (ADCs) can also take advantage of the active transport system. ADCs are composed of a monoclonal antibody that recognizes a specific antigen on cancer cells linked to a cytotoxic drug. The antibody targets the cancer cell, and the drug is transported into the cell via endocytosis. Once inside the cell, the drug is released and kills the cancer cell. ADCs have shown promising results in clinical trials for the treatment of various types of cancer, such as breast cancer and lymphoma. Finally, the multidrug resistance-associated protein family, breast cancer resistance protein, and the solute carrier family member SLC22A18 have also been implicated in tumor drug resistance [222,223].

#### **4.2 Passive transport**

Passive transport is a process by which substances are transported across biological membranes down their concentration gradients without the requirement of energy expenditure, playing a significant role in the distribution of drugs to tumors and their subsequent pharmacokinetics [217,218]. In cancer treatment, passive transport is often exploited to deliver drugs to cancer cells. This is because cancer cells have a higher demand for nutrients and oxygen compared to normal cells, leading to a higher concentration of these molecules in their immediate vicinity. By exploiting the passive transport process, drugs can be designed to specifically target cancer cells and enter them more easily, leading to more effective treatment [224,225].

For example, the liposomal formulation of doxorubicin can accumulate in tumor tissues due to its EPR effect, where it preferentially extravasates and accumulates in the

tumor tissue through passive diffusion. Doxorubicin is released from the liposomes and can exert its cytotoxic effects on tumor cells [226,227]. Similar approaches have been used to deliver other drugs, such as cisplatin and methotrexate [228,229].

Passive transport can also play a role in the uptake of small molecule tyrosine kinase inhibitors (TKIs) in tumors. Many TKIs are hydrophobic and can passively diffuse into cells, although their specificity for target kinases can be affected by transporter expression [230]. Finally, another example of passive transport in cancer treatment is the use of NPs. They can be designed to selectively accumulate in cancer cells due to their EPR effect (which will be further discussed). This effect is because tumor blood vessels have large pores that allow for the accumulation of NPs, while normal blood vessels do not. Once inside the cancer cells, NPs can release drugs or be used for imaging or therapy [231].

### **4.3 Stimulus-responsive targeting**

Also known as triggered drug transport, stimulus-responsive targeting is a promising approach for targeted drug delivery to tumors, where drug release is controlled by a specific stimulus in the TME. This approach can enhance drug efficacy while reducing off-target toxicity [232].

One example of a stimulus for triggering drug release in tumors is pH. The TME is typically more acidic than healthy tissues due to the production of lactic acid by tumor cells and poor perfusion. This pH difference can be exploited to design pH-responsive drug delivery systems, such as polymeric NPs and liposomes. These systems can be engineered to release their payload in response to the lower pH in the TME, resulting in targeted drug delivery to tumor cells [233].

Another stimulus that can be used to activate drug transport is light. Light-responsive NPs can be designed to release their drug payload upon exposure to a specific wavelength of light, allowing for localized drug delivery to tumors. Photodynamic therapy (PDT) is an example of a light-triggered drug delivery approach used to treat cancer. In PDT, a photosensitizing agent is administered to the patient, which accumulates in tumor tissues. Upon exposure to light of a specific wavelength, the photosensitizer is activated, generating reactive oxygen species that can kill tumor cells [234,235].

Magnetic fields can also be used as a stimulus for triggering drug delivery to tumors. Magnetic NPs can be functionalized with a drug and targeted to tumor tissues using an

external magnetic field. The magnetic field can then be used to control the release of the drug at the tumor site [236].

#### **4.4 The enhanced permeability and retention effect**

The EPR effect is observed in tumors where leaky blood vessels and a lack of lymphatic drainage lead to the accumulation of macromolecules and NPs in the tumor tissue. This effect has been exploited for the development of tumor-targeted drug delivery systems [237].

Several studies have demonstrated the potential of the EPR effect for delivering various types of therapeutics to tumors. For example, a study by Maeda et al. showed that the EPR effect could be utilized to selectively deliver liposomes loaded with doxorubicin to solid tumors in mice [237]. Similarly, a study by Peer et al. demonstrated the selective accumulation of polymeric NPs in tumors due to the EPR effect, resulting in improved efficacy and reduced toxicity of the loaded drug [238].

In addition to liposomes and PNPs, other drug delivery systems have also been shown to take advantage of the EPR effect. For example, PEGylated gold NPs have been shown to accumulate in tumors due to the EPR effect and can be used for photothermal therapy and drug delivery. While the EPR effect has shown promise for the targeted delivery of drugs to tumors, several limitations exist, such as heterogeneity in the EPR effect across different tumor types and individuals [239]. Nonetheless, ongoing research is focused on optimizing the design of drug delivery systems to maximize the potential of the EPR effect for tumor targeting.

### **5. Prospects, benefits, and limitations in the clinical application of nanomaterials for cancer treatment**

The clinical potential of nanomaterials in the treatment of cancer is undeniably promising. Nanomedicine has revolutionized the field of oncology by offering innovative strategies to improve drug delivery, enhance therapeutic efficacy, and reduce the adverse effects associated with conventional cancer treatments, mainly due to the development of NPs that have emerged as key players in the development of targeted DDS [240,241]. Their ability to encapsulate and transport chemotherapeutic agents to specific tumor sites while minimizing exposure to healthy tissues has the potential to transform cancer therapy.

Moreover, functionalized nanocarriers can enable precise control over drug release kinetics, ensuring a sustained therapeutic effect [242].

Several of these DDS have already been used to deliver and target tumor cells, hence giving us a good overview of their benefits. As examples, liposomes have shown to be very versatile in terms of biocompatibility, allowing for the encapsulation of both hydrophilic and hydrophobic drugs, which enhances drug solubility and bioavailability [243]. PNPs such as PLGA-based systems, offer controlled and sustained drug release, improved drug stability, and the potential to target specific tissues or cells, whereas INPs such as gold nanoparticles, offer unique properties for applications in cancer therapy, such as hyperthermia-based treatment and imaging [244,239]. Lastly, carbon nanotubes and QDs provide versatility for cancer therapy, allowing for drug delivery, imaging, and potential early cancer detection, and exosomes are natural carriers that can be engineered for cancer therapy. They offer the potential for cell recycling, immune modulation, and communication between cells for targeted therapy [245,246].

Despite the remarkable progress, several limitations must be addressed to fully harness the clinical potential of nanomaterials in cancer treatment. Challenges such as scalability, reproducibility of manufacturing processes, and regulatory hurdles remain significant barriers to clinical translation [247,248]. Achieving regulatory approval for any medical application is a complex and lengthy process that involves addressing several critical factors, such as demonstrating safety and efficacy through rigorous clinical trials. Ensuring patient safety is paramount, and robust clinical data is essential to confirm that the product's benefits outweigh its risks. Additionally, navigating the regulatory pathways, which include rigorous preclinical and clinical testing, manufacturing consistency, and documentation requirements, can be a challenge [259,250]. Lastly, long-term safety concerns, including potential toxicities and immunological reactions, need careful evaluation to ensure patient well-being. Furthermore, the heterogeneous nature of tumors and the development of drug resistance mechanisms necessitate ongoing research into more sophisticated and personalized approaches [251].

To realize the prospects of nanomaterials in cancer therapy, concerted efforts are needed to address these limitations. Research should focus on optimizing nanomaterial design, streamlining scalable manufacturing processes, and navigating the complex regulatory landscape [252]. Lastly, the integration of advanced imaging and diagnostic

modalities with therapeutic nanocarriers holds great promise for real-time monitoring of treatment responses and personalized medicine [253].

## **6. Conclusions**

Cancer is a complex and multifactorial global health challenge, requiring exploration beyond traditional treatments such as surgery, chemotherapy, and radiotherapy due to their limitations and side effects. The potential of cancer immunotherapy, which harnesses the immune system to combat tumors, is promising but faces obstacles related to tumor diversity, personalized care, and cost. Additionally, the development of chemoresistance and the complex tumor microenvironment pose significant barriers to effective cancer therapy, complicating drug delivery and efficacy.

Nanotechnology has emerged as a promising solution to these challenges by allowing precise engineering of nanostructures for drug delivery. These nanocarriers, utilizing various materials and techniques, offer improved drug stability, solubility, and target specificity, potentially reducing adverse effects. Moreover, surface modifications and controlled release strategies enhance their functionality, offering a multifaceted approach to advance cancer diagnosis, prevention, and treatment. As examples, PNPs can effectively encapsulate and deliver drugs, even crossing barriers such as the BBB. While many have reached clinical studies, challenges like FDA approval, personalized medicine, scalability, and cost-effectiveness persist. Polymer conjugates have mixed success, needing refinement for better tumor accumulation. INPs show potential for hyperthermia-based cancer therapy but need more research for safety and stability. Carbon nanotubes and QDs offer versatility and early cancer detection. Liposomes face stability challenges, while micelles solve solubility but face dilution issues, and exosomes hold promise in cell recycling, immune modulation, and communication.

In summary, the field of nanomedicine presents exciting prospects for revolutionizing cancer therapy by confronting numerous daunting challenges. While our understanding of cancer mechanisms and the development of innovative chemotherapeutic drugs are advancing, we must not underestimate the critical barriers that hinder the efficacy of tumor-targeting DDS. These barriers are complex, encompassing physicochemical, anatomical/physiological, and intrinsic tumor factors that pose substantial impediments to the success of DDS.

Although transport mechanisms like active and passive transport, stimulus-responsive targeting, and the EPR effect offer exciting possibilities for targeted drug delivery, formidable challenges in scalability, manufacturing consistency, regulations, and long-term safety persist. Success hinges on comprehensive efforts to optimize nanomaterial design, refine manufacturing, and navigate complex regulations to ensure the benefits of nanomedicine outweigh the risks. Furthermore, integrating advanced imaging and diagnostics with therapeutic nanocarriers holds potential for personalized cancer treatment. Overcoming these multifaceted barriers is imperative for realizing the full clinical potential of nanomaterials in the battle against cancer.

Overall, the evolution of nanomedicine for cancer treatment has been characterized by a progression from drug delivery to imaging and diagnosis to targeted therapy. As the field continues to advance, we will likely see even more sophisticated and effective nanoscale devices and therapies for cancer treatment. Despite the potential benefits of nanomedicine, there are also challenges to be addressed, such as toxicity, regulatory issues, and the need for more robust clinical trials to demonstrate efficacy. However, with continued research and development, nanomedicine has the potential to revolutionize cancer treatment and improve patient outcomes.

#### **Declaration of competing interest**

None.

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#### **CRedit authorship contribution statement**

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analysis, investigation, validation, and writing – review & editing. **Michael Nugent:** resources, validation, and writing – review & editing. **Dinara Jaqueline Moura:** funding acquisition, project administration, resources, supervision, validation, and writing – review & editing.

All authors reviewed the final manuscript.

### **Declaration of Generative AI and AI assisted technologies in the writing process**

The authors declare that no Generative AI and AI assisted technologies were used in the writing process.

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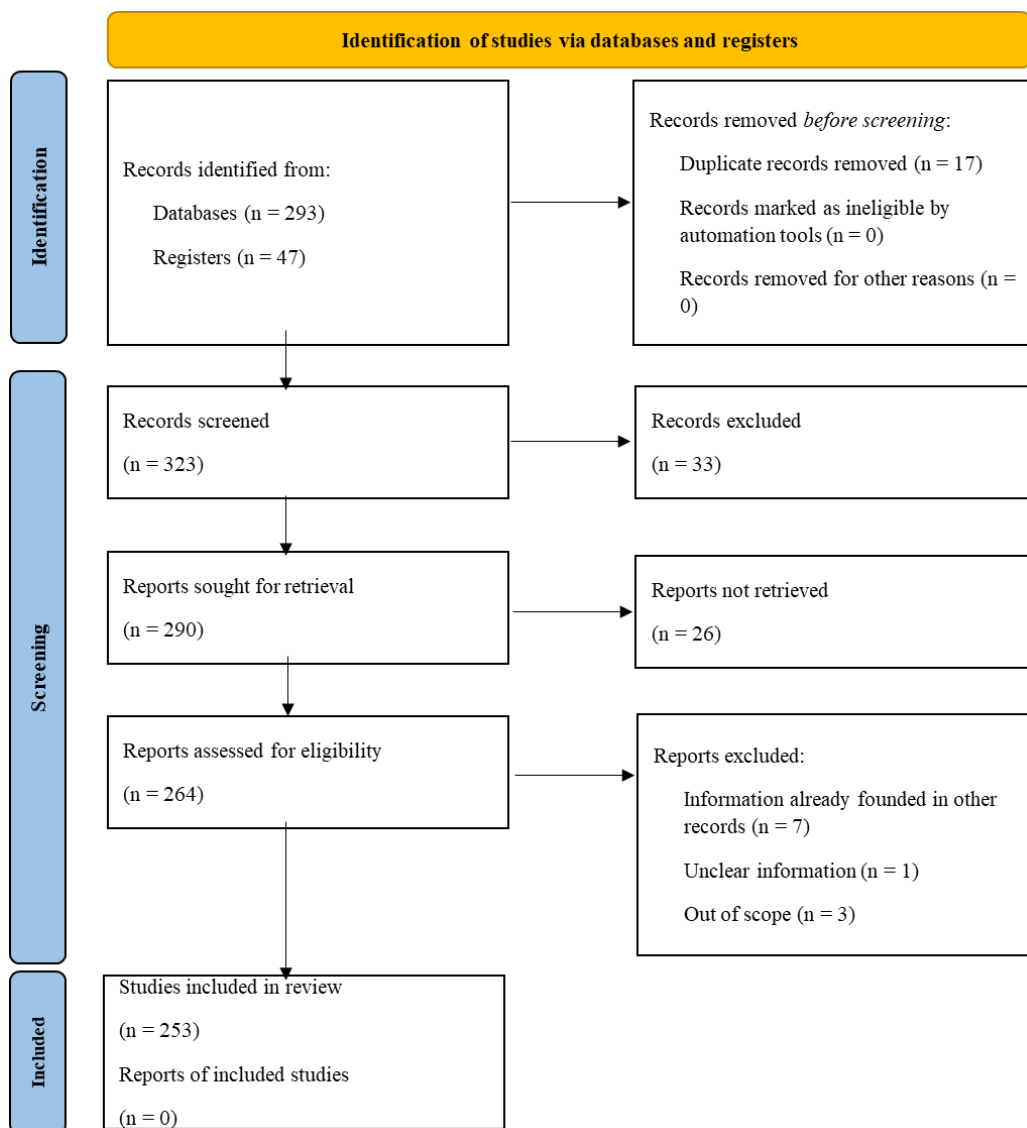
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**Fig. S1:** PRISMA flow diagram showing the selection process of records.

### **3.2 Capítulo 2: Development and characterization of a temozolomide-loaded nanoemulsion and the effect of ferrocene pre and co-treatments in glioblastoma cell models**

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## Development and characterization of a temozolomide-loaded nanoemulsion and the effect of ferrocene pre and co-treatments in glioblastoma cell models

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### Abstract

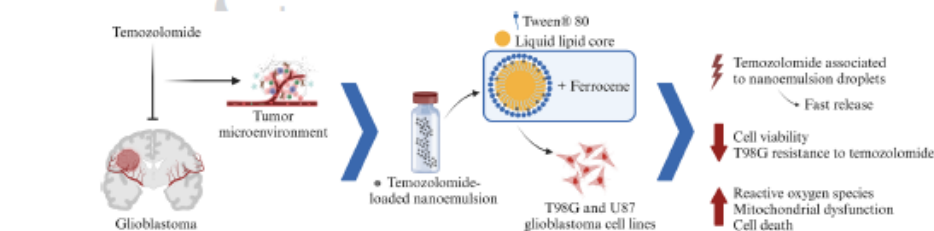
**Background** Glioblastoma is a severe brain tumor that requires aggressive treatment involving surgery, radiotherapy, and chemotherapy, offering a survival rate of only 15 months. Fortunately, recent nanotechnology progress has enabled novel approaches and, alongside ferrocenes' unique properties of cytotoxicity, sensitization, and interaction with reactive oxygen species, have brought new possibilities to complement chemotherapy in nanocarrier systems, enhancing treatment results.

**Methods** In this work, we developed and characterized a temozolomide-loaded nanoemulsion and evaluated its cytotoxic potential in combination with ferrocene in the temozolomide-resistant T98G and temozolomide-sensitive U87 cell lines. The effects of the treatments were assessed through acute assays of cell viability, cell death, mitochondrial alterations, and a treatment protocol simulation based on different two-cycle regimens.

**Results** Temozolomide nanoemulsion showed a z-average diameter of  $173.37 \pm 0.86$  nm and a zeta potential of  $-6.53 \pm 1.13$  mV. Physicochemical characterization revealed that temozolomide is probably associated with nanoemulsion droplets instead of being entrapped within the nanostructure, allowing a rapid drug release. In combination with ferrocene, temozolomide nanoemulsion reduced glioblastoma cell viability in both acute and two-cycle regimen assays. The combined treatment approach also reversed T98G's temozolomide-resistant profile by altering the mitochondrial membrane potential of the cells, thus increasing reactive oxygen species generation, and ultimately inducing cell death.

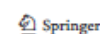
**Conclusions** Altogether, our results indicate that using nanoemulsion containing temozolomide and combination with ferrocene is an effective approach to improve glioblastoma therapy outcomes.

### Graphical abstract



**Keywords** Glioblastoma · Temozolomide · Ferrocene · Nanoemulsion · Drug delivery system

Extended author information available on the last page of the article



## 32 Abbreviations

33	BBB	Blood–brain barrier
34	DMEM	Dulbecco's Modified Eagle Medium
35	DDS	Drug delivery system
36	EE%	Encapsulation efficiency
37	FBS	Fetal bovine serum
38	Fc	Ferrocene
39	FITC	Fluorescein isothiocyanate
40	GB	Glioblastoma
41	LOD	Limit of detection
42	LOQ	Limit of quantification
43	MCT	Medium chain triglycerides
44	NE	Nanoemulsion
45	NRU	Neutral red uptake
46	PBS	Phosphate-buffered saline
47	PDI	Polydispersity index
48	PI	Propidium iodide
49	ROS	Reactive oxygen species
50	TEM	Transmission electron microscopy
51	TMRE	Tetramethylrhodamine ethyl ester
52	TMZ	Temozolomide

## 53 Introduction

54 Glioma is a common type of brain tumor that comprises  
55 four different grades of malignancy, with glioblastoma (GB)  
56 being the most common brain tumor in adults, accounting  
57 for 15% of all central nervous system tumors and 45% of  
58 primary malignant brain tumors. GB has an extremely poor  
59 prognosis, with a median overall survival of 15 months and  
60 progression-free survival of merely 6 months, therefore gener-  
61 ating a negative impact on patients' life quality [1–5].

62 Its current standard of care consists of maximally safe  
63 surgical resection followed by radiation with concurrent and  
64 adjuvant chemotherapy with temozolomide (TMZ). How-  
65 ever, despite the recent improvements in cancer therapy, GB  
66 treatment has proved to be a challenge since most tumors  
67 are surgically unresectable due to the brain complexity,  
68 and TMZ, although well-tolerated, usually presents both  
69 low bioavailability and efficacy [6–8]. Its mechanism of  
70 action consists of transferring an electrophilic alkyl group  
71 to nucleophilic DNA atoms. However, these lesions can be  
72 easily repaired by different DNA repair mechanisms, which  
73 are commonly overexpressed in GB patients, consequently  
74 contributing to TMZ resistance [9, 10].

75 As an alternative, a special look has been given to the  
76 ferrocenes (Fc), a group of metal–organic compounds with  
77 electrochemical properties that allow them to interact with  
78 biological molecules; therefore, they have already shown  
79 antifungal, antimicrobial, anticancer, and HIV-suppressing  
80 properties [11, 12]. Cancer cells typically present high lev-  
81 els of reactive oxygen species (ROS), especially hydrogen

peroxide ( $H_2O_2$ ), which may generate hydroxyl radical  
( $OH^\cdot$ ) via the Fenton reaction, where  $Fe^{2+}$  plays a key role.  
Fc can be used as an exogenous source of  $Fe^{2+}$ , increasing  
 $H_2O_2$  catalysis to generate  $OH^\cdot$ , which has a short lifetime  
and consequently damages the tumor cells without reaching  
adjacent cells [13, 14]. Additionally, modifications on Fc  
structures, such as their pegylation, may increase their blood  
circulation time, preventing early opsonization and subse-  
quently increasing their concentration at the tumor site [15].

90 Additional aspects involved in GB therapy, such as its  
91 high infiltrative capacity into normal tissue and the lack of  
92 drug effectiveness caused by the blood–brain barrier (BBB)  
93 impermeability, have been key barrier to treatment success  
94 [16–21]. Therefore, developing drug delivery systems (DDS)  
95 to deliver molecules straight to the tumor site at therapeutic  
96 concentrations may constitute an important alternative to  
97 improve therapeutic outcomes and reduce side effects and  
98 systemic toxicity.

99 Although many of these systems are costly, some options  
100 are easier to produce and versatile, allowing the insertion  
101 of several molecules into the carrier. For instance, oil-in-  
102 water nanoemulsions (NE) comprise heterogeneous systems  
103 formed by an oily phase dispersed as droplets in an aqueous  
104 phase. These systems have advantages such as kinetic stabil-  
105 ity and reproducible properties, making them more stable to  
106 aggregation and gravitational separation than other macro-  
107 emulsions [22]. Moreover, NE surface-adsorbed drugs can  
108 offer rapid onset of action, enhancing cytotoxic effects, as  
109 well as they can be combined with other molecules with low  
110 release profile for synergistic purposes [23, 24]. Finally, the  
111 encapsulation of unstable drugs may increase their half-time  
112 in plasma [25–27], allowing them to infiltrate inaccessible  
113 areas, a key event in TMZ's success in treating GB. There-  
114 fore, in this study, we present the development, characteriza-  
115 tion, and in vitro efficacy evaluation of nanocarrier systems  
116 containing TMZ. Furthermore, we explore novel combina-  
117 tions of TMZ-loaded nanocarriers (TMZ-NE) with Fc as a  
118 potential therapeutic approach for treating GB.  
119

## 120 Materials and methods

### 121 Materials

122 Ferrocene (087202.A1) (Fig. S1A) was acquired from  
123 Thermo Fisher Scientific (Waltham, USA), and their modi-  
124 fied monopegylated (Fig. S1B) and dipegylated (Fig. S1C)  
125 versions using triethylene glycol were synthesized by  
126 R.G.R.'s Laboratory (unpublished results). T98G and U87  
127 human GB cells were acquired from the American Type Culture  
128 Collection (Manassas, USA). Acetic acid (V800018),  
129 acetone (270,725), acetonitrile (34,851), caprylic/capric  
130 triglyceride, medium chain triglycerides (MCT, W367000),

ethanol (09-0851), methanol (34,860), neutral red (N4638), Span<sup>®</sup>-60 (840,121), Tween<sup>®</sup>-80 (P1754), and temozolomide (T2577) were purchased from Merck (Darmstadt, Germany). Dulbecco's Modified Eagle Medium (DMEM, 12,100,046), fetal bovine serum (FBS, 16,000,044), L-glutamine (25,030,081), MitoSOX kit (M36008), penicillin/streptomycin (15,140,122), phosphate-buffered saline (PBS, 21,600,010), and trypsin-EDTA (25,200,056) were obtained from Thermo Fisher Scientific (Waltham, USA). Dead Cell Apoptosis Kit with annexin-V FITC and propidium iodide (PI) (V13242) was obtained from Invitrogen (Carlsbad, USA), and the Mitostatus TMRE kit (564,696) was purchased from BD Biosciences (San Diego, USA).

#### 144 Preparation of NE containing TMZ

TMZ-NE were prepared using the solvent displacement method as shown elsewhere but omitting the presence of the polymer in the organic phase [28], with an aqueous phase composed of 53 mL ultrapure water (Milli-Q<sup>®</sup>, Merck, Darmstadt, Germany) and 70  $\mu$ L Tween<sup>®</sup>-80, and the organic phase being a mixture of 27 mL acetone, 38 mg Span<sup>®</sup>-60, 160  $\mu$ L MCT, and TMZ (2 mg). Both phases were stirred and heated to 40 °C. After pouring the organic phase into the aqueous phase through a specific funnel, the formulations formed were left under stirring and heating at 40 °C for 10 min. Finally, they were taken to a rotary evaporator to reduce their volume to 10 mL. After volume reduction, the theoretical concentration of TMZ was 0.2 mg/mL, and this concentration was used as the starting point for all experiments. A blank NE without TMZ was also prepared as described for comparison purposes.

#### 161 TMZ quantification

TMZ quantification method was developed and performed by HPLC-DAD in a Shimadzu Prominence (Kyoto, Japan) chromatograph equipped with a quaternary, low-pressure mixing pump, and inline vacuum degassing, controlled by a CBM-20A interface module, an automatic injector (SIL-20A) and diode array detector (SPD-M20A). The separation was carried out by an isocratic system, using a reverse-phase Phenomenex Luna 3  $\mu$ m C18(2) 100 (Å) (250  $\times$  4.0 mm) column, kept at 35 °C. TMZ was identified by comparing the retention times of samples with its authentic standard. The mobile phase consisted of 0.5% (v/v) acetic acid in ultrapure water (solvent A) and acetonitrile (solvent B) (1:1), the injection volume was 20  $\mu$ L, the flow rate was 0.7 mL/min, and the samples were monitored at 330 nm.

The HPLC-DAD methodology was validated for specificity, linearity, intraday and interday precisions, and accuracy. Briefly, 2 mg TMZ were weighed in triplicate and dissolved in 80 mL acetonitrile:ultrapure water (v/v, 1:1, 25  $\mu$ g/mL),

each generating a calibration curve with the concentrations of 0.5, 1, 2.5, 5, 7.5 and 10  $\mu$ g/mL. Three other calibration curves were prepared at the same concentrations using phosphate buffer at pH 6.8 as diluent. After the intraday and interday precisions assay, six 5  $\mu$ g TMZ/mL samples were prepared and were analyzed twice, at the time of dilution and 24 h later. Finally, in the accuracy assay, each 25  $\mu$ g/mL solution was diluted in triplicate to generate solutions of 1, 5, and 10  $\mu$ g/mL, followed by the addition of corresponding amounts of blank NE to simulate the formulation matrix. All solutions were sonicated and filtered before assays. Limit of detection (LOD) and limit of quantification (LOQ) were calculated using the following formulas:

$$LOD = \frac{3.3x\sigma}{IC} \text{ and } LOQ = \frac{10x\sigma}{IC},$$

where IC is the calibration curve inclination, and  $\sigma$  is the standard deviation obtained from the standard deviation of the intercept with the Y axis of at least three calibration curves containing analyte concentrations close to the supposed LOD.

The quantification of TMZ was performed and expressed in concentration (m/v), interpolating the area of the analyte in the sample with a linear equation obtained for each correspondent media.

#### 204 TMZ-NE characterization

##### 205 Physicochemical characterization

Volume-weighted mean diameters ( $D_{w,3}$ ) and polydispersity (Span) were evaluated by dynamic light scattering laser diffraction (Mastersizer<sup>®</sup> 2000, Malvern Panalytical, UK). Briefly, the samples were mixed with ultrapure water in the dispersion unit of the equipment to achieve the minimal obscuration index of 2%. Span values, which denote the polydispersity of the system, were calculated as follows:

$$Span = \frac{d(0.9) - d(0.1)}{d(0.5)},$$

where  $d(0.9)$ ,  $d(0.1)$ , and  $d(0.5)$  are the diameters at 90%, 10%, and 50% of the cumulative distribution of the diameter curve, respectively.

Nanodroplet morphology was analyzed by transmission electron microscopy (TEM) operating at 100 kV (JEOL 1400 ExII, Akishima, Japan). The aqueous formulations were diluted in ultrapure water (1/10, v/v), deposited on a 400-mesh Formvar carbon film-coated copper grid, and negatively stained with 2% (w/v) uranyl acetate aqueous solution.

The polydispersity index (PDI) and particle size (z-average hydrodynamic diameter) were evaluated by photon

227 correlation spectroscopy (Zetasizer Nanoseries, Malvern  
228 Panalytical, UK). An aliquot of each formulation was diluted  
229 in ultrapure water (500x). Zeta potential was determined  
230 based on electrophoretic mobility after formulations were  
231 diluted 500x in a 10 mM NaCl aqueous solution.

232 Formulation drug content was determined by diluting 250  
233  $\mu\text{L}$  of the samples in 10 mL of acetonitrile:ultrapure water  
234 (v/v, 1:1), followed by 15 min sonication. Samples were  
235 filtered in a 0.45- $\mu\text{m}$  membrane, and their contents were  
236 analyzed by the validated HPLC–DAD method.

237 Drug concentration in the ultrafiltrate (non-encapsu-  
238 lated drug) was separated by ultrafiltration/centrifugation  
239 (Microcon<sup>®</sup>; MC Millipore 10 kDa, 10,000 rpm for 5 min)  
240 from each formulation, and analyzed by HPLC–DAD  
241 method. Encapsulation efficiency (EE%) was assessed by  
242 the difference between the total drug content and the drug  
243 concentration in the ultrafiltrate divided by the drug content  
244 and multiplied by 100.

#### 245 Drug release studies

246 In vitro release profiles of TMZ-NE and the drug dissolved  
247 in ultrapure water (control) were studied under sink condi-  
248 tions using cellulose dialysis bags (25  $\times$  16 mm, cut-off of  
249 12–14 kDa, Merck, Darmstadt, Germany). Samples (2 mL  
250 containing 200  $\mu\text{g}/\text{mL}$  TMZ) were transferred to the dialysis  
251 bags and placed in closed glass flasks containing 50 mL of  
252 the release medium (phosphate buffer at pH 6.8) and kept  
253 under magnetic stirring at  $37 \pm 0.5$  °C. Aliquots of 1 mL  
254 were collected at predetermined time intervals (0.08, 0.17,  
255 0.25, 0.33, 0.42, 0.5, 0.75, 1, 1.25, and 1.5 h) and replaced  
256 with an equal volume of fresh medium. The samples were  
257 analyzed by HPLC–DAD.

#### 258 Cell viability evaluation

259 T98G and U87 cells were maintained in DMEM supple-  
260 mented with FBS (10%) and L-glutamine at 37 °C in a  
261 humidified 5%  $\text{CO}_2$  incubator. Neutral red uptake (NRU)  
262 assay was performed in T98G and U87 cells using only the  
263 three synthesized Fc, TMZ, TMZ-NE, or co-treatments of  
264 Fc and TMZ-NE. Cells were seeded in a 96-well tissue cul-  
265 ture microplate at  $1.3 \times 10^5$  cells/mL in complete media and  
266 grown for 24 h before treatment. Cells were treated with  
267 Fc, TMZ, TMZ-NE or a combination of Fc and TMZ-NE  
268 in concentrations ranging from 0.5 to 100  $\mu\text{M}$ , as well as  
269 with blank NE or vehicle (negative control; DMEM supple-  
270 mented with FBS 5%). The assay was carried out according  
271 to Borenfreund & Puermer (1985) [29], with some modifica-  
272 tions. In brief, after being treated, cells were washed with  
273 250  $\mu\text{L}$  of PBS before the addition of 250  $\mu\text{L}$  neutral red dye  
274 (25  $\mu\text{g}/\text{mL}$ ) dissolved in serum-free media and incubated  
275 for 3 h at 37 °C in a humidified 5%  $\text{CO}_2$  incubator. Cells

276 were washed with PBS, and 125  $\mu\text{L}$  of a desorb solution  
277 (ethanol:acetic acid:water, 50:1:49) was added, followed by  
278 gentle shaking for 30 min for complete dissolution. Absorb-  
279 ance was measured at 540 nm using a microtiter plate reader.  
280 Cell viability was calculated as a percentage of the negative  
281 control, and according to these results, one of the cell lines  
282 was selected for the following assays.

#### Cell death evaluation

283  
284 After 24-h treatments with Fc, TMZ, TMZ-NE, or a com-  
285 bination of Fc and TMZ-NE in concentrations ranging  
286 from 0.5 to 100  $\mu\text{M}$ , T98G-cell pellets were incubated with  
287 100  $\mu\text{L}$  of binding buffer 1x added by 3  $\mu\text{L}$  Annexin-V FITC  
288 and 1  $\mu\text{L}$  of PI (100  $\mu\text{g}/\text{mL}$ ) at room temperature for 15 min.  
289 After this period, 400  $\mu\text{L}$  of binding buffer was added. Data  
290 collection and analysis were performed by using a FACS  
291 Calibur flow cytometer (Becton Dickinson, San Diego,  
292 USA) combined with CellQuest software. 10,000 events  
293 per sample were collected at least, and the percentage of  
294 dead cells was determined and compared to the negative  
295 control. Blank NE-treated cells were also used for matrix  
296 effect evaluation purposes.

#### Cell oxidative stress evaluation

297  
298 Mitochondrial membrane potential changes were evaluated  
299 by MitoStatus TMRE assay, following manufacturer recom-  
300 mendations. Briefly, MitoStatus is a cationic and lipo-  
301 philic fluorescent dye that is promptly sequestered by active  
302 mitochondria. When the membrane is hyperpolarized, the  
303 fluorescence shows higher levels, while when the mitochon-  
304 drial membrane depolarizes, the fluorescence diminishes its  
305 levels. After 24-h treatments with Fc, TMZ, TMZ-NE, or a  
306 combination of Fc and TMZ-NE in concentrations ranging  
307 from 0.5 to 100  $\mu\text{M}$ , an aliquot of T98G-suspension cell was  
308 incubated with MitoStatus TMRE (50 nM) for 30 min at  
309 37 °C, protected from light, followed by centrifugation and  
310 resuspension in PBS. The samples were afterwards analyzed  
311 in a FACS Calibur flow cytometer, in the order of 10,000  
312 events per sample, and the percentage of mitochondrial  
313 depolarization and hyperpolarization were determined and  
314 compared to the negative control. Blank NE-treated cells  
315 were also used for matrix effect evaluation purposes.

316 To assess mitochondrial oxidative stress levels, cells were  
317 incubated after the same previous treatments with 5  $\mu\text{M}$   
318 MitoSOX, which is a superoxide-reacting dye, at 37 °C for  
319 10 min. The samples were then analyzed in a FACS Calibur  
320 flow cytometer. At least 10,000 events were collected per  
321 sample, and the results are presented as the mean percentage  
322 normalized to the negative control.

### 323 Treatment protocol simulation based on two-cycle 324 regimens

325 To better evaluate the formulation when in contact with  
326 T98G cells, a treatment protocol simulation based on dif-  
327 ferent two-cycle regimens has been established. Cells were  
328 seeded in a 96-well tissue culture microtiter plate at  $1.3 \times 10^4$   
329 cells/mL in complete media and grown for 24 h before dif-  
330 ferent treatment schemes, according to Han et al. (2014)  
331 [30] and Wick et al. (2009) [31], with some modifications.  
332 Briefly, cells were treated for two cycles with Fc or TMZ on  
333 their own and their combination, TMZ-NE on its own and in  
334 combination with Fc, or Fc in a 2-day pretreatment followed  
335 by TMZ or TMZ-NE treatments. Each cycle was carried out  
336 for 5, 7, or 9 days (according to the combinations), and cells  
337 were kept under a 2-day recovery period (in complete media)  
338 between the cycles and before cell viability evaluation by  
339 NRU assay (Fig. S2).

### 340 Statistical analysis

341 NE characterization and quantification were analyzed in trip-  
342 licate of batches and expressed as mean  $\pm$  SD. All in vitro  
343 assays were independently repeated at least three times,  
344 and results are expressed as mean  $\pm$  SEM (Figs. 4, 5, and 6)  
345 or SD (Fig. 7). Statistical significance was determined by  
346 unpaired *t* test (zeta potential, Span, droplets size, hydro-  
347 dynamic diameter, and PDI), two-way ANOVA followed  
348 by Sidak's (Fig. 3), Tukey's (Figs. 5, 6A, C, and 7 – when  
349 compared between groups), or Bonferroni's (Fig. 7 – when  
350 compared to the negative control) multiple comparison tests,  
351 and one-way ANOVA followed by Tukey's (Figs. 4 and 6B)

multiple comparison test. Data were checked for normal  
352 distribution whenever possible, and a *p* value  $< 0.05$  was  
353 considered statistically significant.  
354

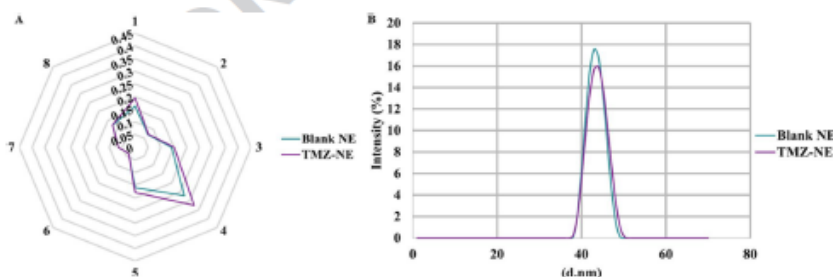
## 355 Results

### 356 TMZ-NE physicochemical characterization

357 In our experiments, laser diffraction analysis revealed  
358 mean droplet sizes of  $163 \pm 7$  and  $195 \pm 20$  nm for blank  
359 and TMZ-NE formulations, respectively ( $t = 2.69$ , no sta-  
360 tistical difference) (Fig. 1A), and the hydrodynamic mean  
361 diameters (z-average diameter, calculated using the method  
362 of cumulants) for blank and TMZ-NE were  $165.07 \pm 2.79$   
363 and  $173.37 \pm 0.86$  nm, respectively ( $t = 4.917$ ,  $p = 0.0079$ )  
364 (Fig. 1B).

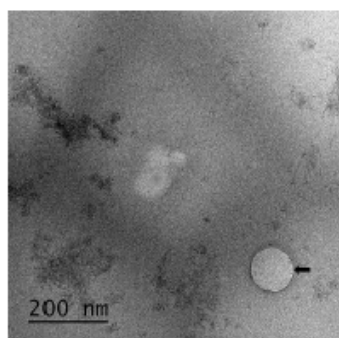
365 TEM analysis showed spherical droplets in our TMZ-  
366 NE, with the surfactant covering its entire surface (Fig. 2).  
367 Span values for blank and TMZ-NE were  $1.42 \pm 0.05$  and  
368  $1.66 \pm 0.14$ , respectively ( $t = 2.756$ , no statistical differ-  
369 ence). PDI measured the formulation's heterogeneity, and  
370 the results corroborated with the laser diffraction technique,  
371 showing values of  $0.09 \pm 0.01$  and  $0.10 \pm 0.02$  for blank and  
372 TMZ-NE, respectively ( $t = 1.158$ , no statistical difference).

373 Zeta potential values of our formulations were found to be  
374  $-6.53 \pm 1.13$  and  $-7.28 \pm 0.84$  mV for blank and TMZ-NE,  
375 respectively ( $t = 0.929$ , no statistical difference), leading to  
376 the possibility of incipient stability considering electrostatic  
377 stabilization. Even though TMZ loading in NE was close to  
378 the expected value of 0.2 mg/mL ( $92.62 \pm 5.93$  or  $0.19$  mg/  
379 mL  $\pm 0.01$ ), the quantification of the ultrafiltrate for EE%

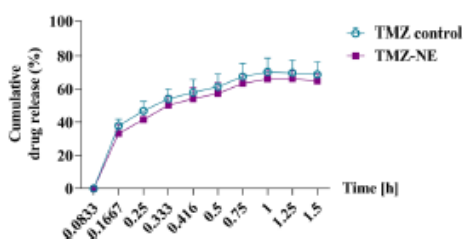


**Fig. 1** Blank NE and TMZ-NE characterization. Data were statistically analyzed by unpaired *t* test. **A** Laser diffraction radar chart plot for blank and TMZ-NE (in  $\mu\text{m}$ ). [Axes: 1 – volume-weighted mean diameter by volume of particles,  $d[4,3]v$ ; 2 – diameter by volume at percentile 10 under the distribution curve,  $d(0.1)v$ ; 3 – diameter by volume at percentile 50 under the distribution curve,  $d(0.5)v$ ; 4 – diameter by volume at percentile 90 under the distribution curve,  $d(0.9)v$ ; 5 – volume-weighted mean diameter by number of particles,

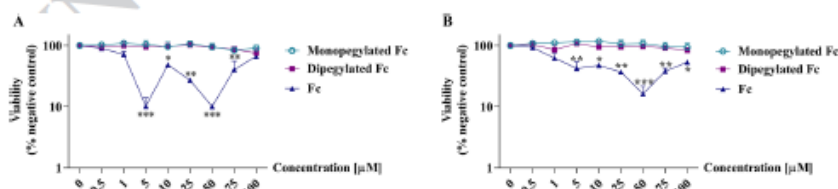
$d[4,3]n$ ; 6 – diameter by number at percentile 10 under the distribution curve,  $d(0.1)n$ ; 7 – diameter by number at percentile 50 under the distribution curve,  $d(0.5)n$ ; 8 – diameter by number at percentile 90 under the distribution curve,  $d(0.9)n$ ]. **B** Dynamic light scattering for blank and TMZ-NE (in diameter values in nanometers, d.nm). Experiments were performed in triplicate ( $p = 0.0079$ ). NE nanoemulsion. TMZ temozolomide



**Fig. 2** Morphological characterization of developed nanoemulsion: TEM image of TMZ-NE at 60,000 $\times$  (at the arrow), scale bar 200 nm. TEM transmission electron microscopy, TMZ temozolomide, NE nanoemulsion



**Fig. 3** Drug release profiles for TMZ control and TMZ-NE. The study was carried out under sink conditions using 25 $\times$ 16 mm cellulose dialysis bags (cut-off of 12–14 kDa). Samples (2 mL containing 8  $\mu$ g/mL TMZ-NE or 200  $\mu$ g/mL TMZ control) were transferred to the dialysis bags and placed in closed glass flasks containing 50 mL of the release medium (phosphate buffer at pH 6.8) and maintained under magnetic stirring at 37.0 $\pm$ 0.5  $^{\circ}$ C. Results are shown as mean $\pm$ SD, followed by two-way ANOVA and Sidak's multiple comparisons test. Experiments were performed in triplicate. TMZ temozolomide, NE nanoemulsion



**Fig. 4** Fc reduces GB cell viability. In vitro cell viability evaluation. **A** U87 cells. **B** T98 cells. Both cells were treated for 24 h with 0.5 to 100  $\mu$ M of monopegylated Fc, dipegylated Fc or Fc, followed by the NRU assay. Results are shown as the mean $\pm$ SEM of three biological replicates. Statistical analysis was carried out using one-way

calculation showed a mean result close to the initial amount of drug used, suggesting that the drug is not entrapped in the droplet.

### TMZ-NE drug release profile

It is well known that the GB site presents a pH beyond physiological values, typically around 6.8 [32], mainly because these cells usually release high amounts of lactate and H<sup>+</sup> as part of their metabolic route change from oxidative phosphorylation to glycolysis, and this feature is highly associated with tumor progression and resistance behaviors [33]. Taking this into account, we investigated TMZ release from our formulations using a phosphate buffer solution simulating this tumor site environment. Our results showed that TMZ-NE has a similar release profile when compared to its control ( $F_{9,39} = 0.078$ , no statistical difference), with a fast release within the first hour, reaching its maximum values between 1 and 1.25 h (70.32 $\pm$ 8.40 and 69.72 $\pm$ 7.71% for TMZ control, and 66.16 $\pm$ 5.47 and 66.24 $\pm$ 4.84% for TMZ-NE, respectively), then decreasing to initial values in 24 h (Fig. 3).

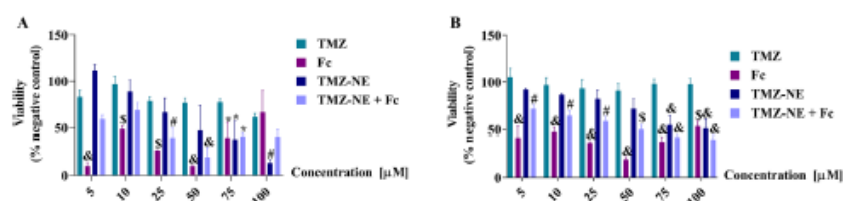
### TMZ quantification

HPLC–DAD quantification method was validated for specificity, linearity, intraday and interday precision, and accuracy, following ICH Harmonised Tripartite Guideline (2005) [34] and Resolution 166/2017 (Brasil, 2017) [35]. LOD and LOQ were calculated as per Resolution 166/2017 (Brasil, 2017) [35].

Linearity was evaluated on a calibration curve obtained from TMZ standard solutions ranging from 0.5 to 10  $\mu$ g/mL. A linear model was adjusted according to the peak area and concentration by applying the linear regression technique with 95% confidence, and the model was found to be appropriate to establish a relationship between area and concentration in all concentrations tested ( $r^2 = 0.9994$ ). The

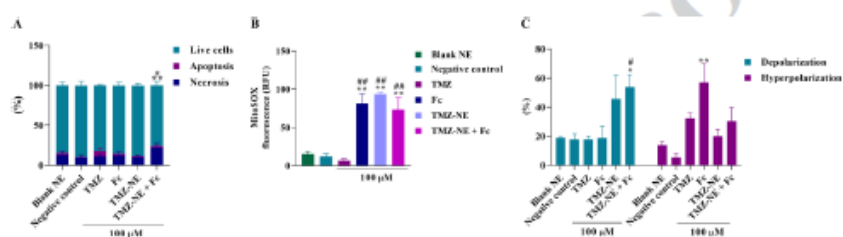
ANOVA and Tukey's multiple comparisons test. A  $p$  value < 0.05 was considered statistically significant. \* $p = 0.0332$ , \*\* $p = 0.0021$  and \*\*\* $p = 0.0002$  when compared to the negative control. Fc ferrocene, GB glioblastoma, NRU neutral red uptake

Development and characterization of a temozolomide-loaded nanoemulsion and the effect of...



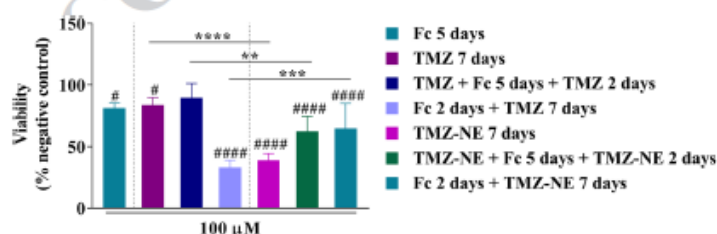
**Fig. 5** The combined treatment of TMZ-NE and Fc is effective in reducing GB cell viability. In vitro cell viability evaluation. **A** U87 cells. **B** T98G cells. Both cells were treated for 24 h with 5 to 100  $\mu\text{M}$  of TMZ, Fc, TMZ-NE, and TMZ-NE+Fc (same concentration for both), followed by the NRU assay. Results are shown as the mean  $\pm$  SEM of three biological replicates. Statistical analysis

was carried out using two-way ANOVA and Tukey's multiple comparisons test. A  $p$  value  $< 0.05$  ( $F_{18,47} = 5.896$  for **A**;  $F_{18,49} = 4.232$  for **B**) was considered statistically significant. \* $p = 0.0332$ ,  $^{\#}p = 0.0021$ ,  $^{\ddagger}p = 0.0002$  and  $^{\&}p = 0.0001$ , when compared to TMZ treatment. Blank NE did not reduce cell viability (data not shown). TMZ temozolomide, NE nanoemulsion, Fc ferrocene, NRU neutral red uptake



**Fig. 6** Fc and TMZ-NE treatments alter mitochondrial polarization status, leading to high ROS generation and cell death via necrosis **A** cell death profile in T98G cells under annexin-V FITC and PI labeling. **B** superoxide anion generation in T98G cells under MitoSOX labeling. **C** mitochondrial membrane depolarization in T98G cells under Mitostatus labeling. In **A**, results are shown as the mean  $\pm$  SEM of three biological replicates. Statistical analysis was carried out using two-way ANOVA and Tukey's multiple comparisons test. A  $p$  value  $< 0.05$  was considered statistically significant. \* $p = 0.0032$  for TMZ-NE+Fc necrosis when compared to TMZ, Fc and TMZ-NE, and \*\* $p = 0.0021$  for TMZ-NE+Fc necrosis when compared to the

negative control. For **B** and **C**, results are shown as the mean  $\pm$  SEM of three biological replicates. Cells were treated with 100  $\mu\text{M}$  of both compounds for 24 h. Statistical analysis was carried out using one-way (**B**) and two-way (**A**, **C**) ANOVA, respectively, and Tukey's multiple comparisons test.  $p$  value  $< 0.05$  ( $F_{8,20} = 3.878$  for **A**);  $F_{4,8} = 1.001$  for **B**);  $F_{4,18} = 4.841$  for **C**) was considered statistically significant. \* $p = 0.0332$  and \*\* $p = 0.0021$  when compared to the negative control;  $^{\#}p = 0.0332$ , and  $^{\&}p = 0.0021$  when compared to TMZ. Fc ferrocene, TMZ temozolomide, NE nanoemulsion, ROS reactive oxygen species, PI propidium iodide



**Fig. 7** Fc acts as a sensitizer for further treatment with TMZ. In vitro clinical protocol simulations. T98G cells were treated for different days with different combinations of Fc, TMZ, and TMZ-NE in 2 cycles separated by a 2-day recovery period under fully supplemented culture media. After the second recovery period, the NRU assay was performed. Results are shown as the mean  $\pm$  SD of three biological replicates. Cells were treated with 100  $\mu\text{M}$  of both compounds. Sta-

tistical analysis was carried out using two-way ANOVA and Bonferroni's multiple comparisons test when compared to the negative control (\*) and Tukey's multiple comparisons test when compared between groups (\*). A  $p$  value  $< 0.05$  ( $F_{6,25} = 15.40$ ) was considered statistically significant.  $^{\#}p = 0.0032$ ,  $^{\&}p = 0.0001$ , \*\* $p = 0.0021$ , \*\*\* $p = 0.0002$ , and \*\*\*\* $p = 0.0001$ . Fc ferrocene, TMZ temozolomide, NE nanoemulsion, NRU neutral red uptake

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414 precision of the method was performed at two levels using  
415 a 5 µg/mL TMZ solution: firstly, intraday repeatability was  
416 carried out to evaluate the concordance between results on  
417 day 0 with the same analyst and instrumentation. Secondly,  
418 inter-day repeatability analyzed the correlation between  
419 results obtained after 24 h of the first analysis. Mean values  
420 showed appropriate repeatability, with a low relative stand-  
421 ard deviation value (4.77%). Accuracy was carried out by  
422 using three TMZ solutions of 1, 5, and 10 µg/mL, with the  
423 addition of corresponding amounts of blank NE for matrix  
424 simulation purposes. The LOD and LOQ concentrations  
425 were 0.09 and 0.29 µg/mL, respectively. Recovery values  
426 (measured concentration/nominal concentration × 100) were  
427 107%, 108.8%, and 102.4%, respectively. It is important to  
428 mention that this method is specific for TMZ as no other  
429 substance in formulations absorbs at the same retention time  
430 at the same wavelength.

#### 431 Cell viability screening for Fc, monopegylated Fc, 432 and dipegylated Fc

433 The effects of the Fc products or Fc on cell viability were  
434 evaluated using U87 (Fig. 4A) and T98G (Fig. 4B) cell  
435 lines. The results revealed that a 24-h treatment with mono-  
436 pegylated Fc and dipegylated Fc concentrations ranging  
437 from 0.5 to 100 µM did not induce significant cytotoxicity  
438 in both cell lines. On the other hand, Fc significantly reduced  
439 cell viability ( $F_{8,14} = 10.36$ ,  $p < 0.05$ ) (Fig. 4A, B).

#### 440 Cell viability assays

441 To evaluate the influence of Fc and the formulation on  
442 decreasing GB cell viability, different combinations of treat-  
443 ments were performed using two GB cell lines: T98G, which  
444 has a resistant profile to TMZ, and U87, which is sensitive to  
445 TMZ (Lee, 2016). Cells were tested for 24 h with TMZ, Fc,  
446 TMZ-NE, and TMZ-NE + Fc in concentrations ranging from  
447 5 to 100 µM, followed by the NRU assay (Fig. 5).

448 When compared to the negative control, the U87 cell  
449 line revealed a slight decrease in cell viability at the highest  
450 TMZ concentration, with better results when the drug was  
451 adsorbed on the NE, and significant results in all treatments  
452 with Fc and TMZ-NE + Fc. T98G also exhibited a decrease  
453 in cell viability in all treatments with Fc and TMZ-NE + Fc,  
454 as well as at the highest concentrations of TMZ-NE.

455 We also compared the treatment schemes with a standard  
456 TMZ treatment. In the U87 cell line, Fc on its own showed  
457 the highest decrease in cell viability, with better results at  
458 the lowest treatment concentrations. TMZ-NE had significant  
459 results only at the highest concentrations, and the combi-  
460 nation TMZ-NE + Fc significantly reduced cell viability at  
461 the concentrations of 25, 50, and 75 µM (Fig. 5A). Surpris-  
462 ingly, in the T98G cell line, the combination of TMZ-NE

463 and Fc significantly reduced cell viability in a concentration-  
464 dependent manner, reaching about 40% at 100 µM (Fig. 5B).

#### 465 Cell death and mitochondrial status assays

466 As our previous results showed a decrease in T98G cell vi-  
467 ability under our proposed treatments, we decided to investi-  
468 gate the possible mechanisms behind these findings. Thus,  
469 we performed three assays to evaluate how cells respond  
470 to our treatments regarding their death mechanisms and  
471 mitochondrial functioning status. T98G cells were tested  
472 for 24 h with 100 µM of TMZ, Fc, TMZ-NE, and TMZ-  
473 NE + Fc, followed by incubation with annexin-V FITC + PI  
474 for cell death studies, MitoSOX for mitochondrial super-  
475 oxide anion generation purposes, and Mitosatus TMRE for  
476 mitochondrial membrane potential studies. Cells treated  
477 with TMZ-NE + Fc showed significantly higher necrotic  
478 levels when compared to the negative control, TMZ, and  
479 TMZ-NE (Fig. 6A).

480 Although no remarkable levels of cell death were  
481 observed for the other treatments, mitochondrial status  
482 assays exhibited interesting outcomes. Apart from the TMZ  
483 group, all other treatments revealed high values of superox-  
484 ide anion generation when compared to the negative control  
485 and TMZ (Fig. 6B). The mitochondrial membrane potential  
486 significantly changed to a depolarized status when cells were  
487 treated with TMZ-NE + Fc, in comparison to the negative  
488 control and TMZ groups. On the other hand, Fc treatments  
489 statistically hyperpolarized mitochondrial membranes when  
490 compared to the negative control group (Fig. 6C).

#### 491 Fc pre and co-treatments with TMZ-NE 492 under a protocol simulation based on two-cycle 493 regimens

494 To better understand the performance of T98G cells under  
495 our treatments and to get closer to clinical reality, we pro-  
496 posed the establishment of a clinical protocol simulation  
497 based on preceding studies [30, 31], with some modifica-  
498 tions regarding experimental procedures, cell line behavior,  
499 and the use of a molecule not yet described for this purpose  
500 (Fig. S2). Cells were treated for two cycles of five, seven, or  
501 nine days, depending on the molecules' combination, and  
502 between each cycle, cells were kept in fully supplemented  
503 culture media to simulate a recovery period. In the end, the  
504 NRU assay was performed to evaluate cell viability.

505 Comparisons in-between treatments performed for the  
506 same number of days showed a significant reduction in  
507 cell viability in groups TMZ-NE 7 days, TMZ-NE + Fc  
508 5 days + TMZ-NE 2 days, and Fc 2 days + TMZ-NE 7 days.  
509 When compared to the negative control, all treatments except  
510 TMZ + Fc 5 days + TMZ 2 days significantly decreased cell  
511 viability, especially in those where TMZ-NE treatments

were involved. Moreover, the Fc 2 days+TMZ 7 days group showed the highest cell viability reduction (Fig. 7), and this finding is very interesting since it involved a pretreatment with Fc for 2 days before a 7-day treatment with TMZ.

## Discussion

In this study, we developed, characterized, and investigated the *in vitro* efficacy of a TMZ-NE in different combinations with Fc for treating GB. Firstly, formulation analysis must be carried out after each new batch of production to ensure a satisfactory DDS. Particle size analysis of both blank and TMZ-NE by laser diffraction demonstrated that they have a monomodal and narrow size distribution. The Span values corroborated these results since, generally, the smaller the value, the narrower the distribution of particles/droplets, with values near 1 being adequate for pharmaceutical applications [36]. Also, TEM analysis confirmed the spheric shape and that the surfactant well covers the NE. Additionally, PDI measured the formulations' heterogeneity, and the results corroborated with the laser diffraction technique, showing that all NE presented narrow particle sizes and unimodal distributions, as their PDI values were < 0.2 [37]. Overall, our results indicated that we obtained a uniform TMZ-NE formulation with a suitable mean droplet size.

To some extent, zeta potential also provides an idea about whether charged molecules are adsorbed onto the nanostructure surface rather than encapsulated, with values narrowing to zero related to surface adsorption [38, 39]. Moreover, the particle surface charge is a determining factor for biological interactions between different compounds and environments, and system stability is generally optimized the more negative or positive the zeta potential value is [40, 41]. As the surfactant surrounds NE droplets adsorbed at the surface, the relatively low zeta potential values may also be related to the amount of Tween<sup>®</sup>-80—a non-ionic surfactant, used in TMZ-NE. On the other hand, steric repulsion caused by the hydrophilic head groups of Tween<sup>®</sup>-80 molecules acts by stabilizing droplets, allowing TMZ to also be located on this interface [42, 43]. This prospect corroborates the absence of encapsulation of our TMZ-NE observed by ultrafiltration/centrifugation analysis. Furthermore, the TMZ *in vitro* release profile showed no statistical difference from the control, likely due to a lack of encapsulation. The change in zeta potential for TMZ-NE compared to blank NE may reinforce the idea that the drug could be adsorbed onto the droplet surface. The nature of the colloidal system of TMZ-NE reduces the probability of the drug being dispersed into the continuous phase. Altogether, our data suggest that the formulation is suitable for pharmaceutical purposes and that TMZ is associated with the droplet's surface due to

interfacial phenomena, leading to a fast release due to the lack of encapsulation.

TMZ is a well-known hydrophilic molecule extensively used for GB treatment. However, this characteristic acts as a restriction factor for clinical application due to its fast clearance and unfavorable distribution across different tissues, therefore requiring a multiple-dose regimen, which increases the risk of side effects [44, 45]. Besides, its low molecular weight and log *P* value of -1.1 turn it into a compound with weak interactions with numerous conventional nanocarriers [46]. Therefore, the system organization obtained here is not surprising due to the hydrophilicity of TMZ and the hydrophobicity of the NE core. Overall, NE are formulations that are easy to prepare, reproducible, and possible to scale up to an industrial batch, and therefore were chosen for this study as a carrier for TMZ.

Some studies have already shown the role of molecules adsorbed on the surface of nanoparticles in cancer cell models. Maiti et al. (2016) [47] developed ZnFe<sub>2</sub>O<sub>4</sub> nanoparticles for doxorubicin delivery, and their results revealed that the adsorbed molecule was able to induce significant death in HeLa carcinoma cells. According to Chenthamara et al. (2019) [48], this surface interaction is mainly possible because of peculiar electrostatic interactions between the drug and the surface composition. Similar outcomes were found by Singh et al. (2012) [49], where a surface-adsorbed NE of olmesartan medoxomil successfully delivered the drug in a capsular dosage form. Regarding TMZ, a previous study using the drug and its (N)3-propargyl analog, both partially encapsulated and partially adsorbed on the nanoliposomes surface, revealed that the non-encapsulated portion played an important role in the rapid onset of action of the molecules, enhancing cytotoxic effects against tumor cells [23].

GB treatment remains a challenge, mainly because of biological barriers such as the BBB and its tight cell junctions and complex angiogenesis [50, 51]. Several drugs have been tested against this cancer; however, most of them failed to achieve reasonable concentrations at the tumor site, leaving TMZ as one of the few standard therapeutic approaches [7]. Notwithstanding, methylations caused by TMZ are easily repaired by different DNA repair pathways, which are commonly upregulated in patients, allowing the tumor to survive and progress to an untreatable stage [52].

Taking this into account, the discovery of new molecules that can add some effect to TMZ treatment is a promising path to improve therapy outcomes and patients' life quality. For this purpose, three different Fc compounds were screened in this study: Fc on its own, and its monopegylated and dipegylated synthesized versions. The 24-h treatments followed by cell viability assays revealed that only Fc on its reduced cell viability in both U87 and T98G cell lines. It is important to mention that iron is stored in the body both as

614 ferritin and hemosiderin. This prevents it from being found  
615 in its free form, which in contact with oxygen could promote  
616 abnormal synthesis of toxic ROS, which can damage mac-  
617 romolecules [53]. On the other hand, tumor cells normally  
618 have high levels of ROS due to the hypermetabolism neces-  
619 sary for its growth and proliferation [54]. Within cells,  $\text{Fe}^{2+}$   
620 and  $\text{Fe}^{3+}$  tend to be found in constant equilibrium; however,  
621 this balance can be exogenously influenced by Fc through a  
622 fast and reversible electronic transfer, generating more ROS  
623 [54, 55]. This behavior can be used as an enhancer for can-  
624 cer treatments, and therefore Fc on its own was selected for  
625 further experiments.

626 Next, we performed 24-h treatments with different com-  
627 binations and concentrations of TMZ, Fc, and/or TMZ-  
628 NE. NRU assays revealed that TMZ-NE + Fc decreased  
629 cell viability in both GB cell lines. T98G cell line, which  
630 so far has a TMZ-resistant profile, exhibited a significant  
631 dose-dependent decrease in cell viability when treated with  
632 TMZ-NE, especially when combined with Fc at its highest  
633 concentration. This could be interpreted as a possible rever-  
634 sion of this profile, which led us to choose the T98G cell  
635 line and the concentration of 100  $\mu\text{M}$  for both compounds  
636 for further mechanistic investigation.

637 To better explore T98G internal behavior under 24-h  
638 treatment schemes, we analyzed the cell death profile via  
639 annexin-V FITC + PI labeling, and the results indicated that  
640 the combination of TMZ-NE + Fc significantly increased  
641 cell necrotic levels. Furthermore, we used MitoSOX and  
642 Mitostatus assays to respectively measure the amount of  
643 mitochondrial superoxide anion generated and eventual  
644 changes in mitochondrial polarization status. All treat-  
645 ments showed increased levels of ROS generation, whereas  
646 treatments with Fc and TMZ-NE + Fc also changed mito-  
647 chondrial polarization status. Mitochondria are one of the  
648 major sources of ROS, and despite the large ROS genera-  
649 tion outside the mitochondrial environment, the correct use  
650 of the MitoSOX protocol allows the selective detection of  
651 superoxide anions inside the organelle [56]. Also, mito-  
652 chondrial membrane potential maintenance is essential for cell  
653 metabolism, ROS production, intracellular ion homeostasis,  
654 and cell death mechanisms regulation [57]. Our findings are  
655 in accordance with the cell viability ones, especially for the  
656 Fc and TMZ-NE + Fc groups, as the observed cytotoxicity  
657 may be related to increased cell death, ROS generation, and  
658 membrane destabilization. Likewise, MitoSOX and Mitosta-  
659 tus outcomes reinforce previous studies regarding the influ-  
660 ence of Fc on ROS generation [13, 14], again highlighting  
661 its use as a chemotherapy adjuvant.

662 Although the TMZ-NE group has also shown high levels  
663 of ROS generation, this result did not reflect on either apo-  
664 ptotic or necrotic levels. This may be related to T98G's intrin-  
665 sic resistance mechanism to TMZ [58], and because part of  
666 the superoxide anions detected could have been generated

667 from a source outside the mitochondrial environment [56],  
668 which justifies the low changes in mitochondrial polariza-  
669 tion status. Altogether, our results revealed that the appar-  
670 ent reversal of the T98G's resistance profile to TMZ occurs  
671 as a result of changes in mitochondrial polarization status,  
672 leading to high ROS generation and cell death via necrosis.

673 Finally, to better understand the performance of T98G  
674 cells under our treatments and to get closer to clinical reality,  
675 we proposed the establishment of a clinical protocol simu-  
676 lation (Fig. S2). Overall, pre-treatments with Fc followed  
677 by treatments with TMZ-NE showed a significant reduction  
678 in cell viability, and these results are in accordance with  
679 previous works describing Fc as a tumor cell sensitizer,  
680 particularly by increasing ROS generation and inhibiting  
681 pathways related to tumorigenesis progression in breast  
682 cancer cell lines pretreated with Fc before radiation and  
683 chemotherapy [59, 60]. Similarly, studies with ferrocene, a  
684 Fc analogue, showed increased metabolic stress in prostate  
685 cancer cell models via mitochondrial function impairment  
686 [61], while ferrocene-cinchona hybrids presented selective  
687 activity towards multidrug-resistant cancer cells, as well as  
688 the potential to overcome paclitaxel resistance in non-small  
689 cell lung, colorectal, and GB models [62]. To the best of  
690 our knowledge, our results are the first to describe a similar  
691 effect on a GB TMZ-resistant cell line, offering new per-  
692 spectives for the design of antitumor drugs for GB treatment.

## 693 Conclusions

694 Our study successfully developed and characterized nano-  
695 carriers (NE) containing temozolomide (TMZ), resulting in  
696 rapid drug release and remarkable outcomes. Combining  
697 these nanocarriers with ferrocenes (Fc), both individually  
698 and as a pretreatment, significantly reduced the viability of  
699 glioblastoma (GB) cell lines in acute and clinical protocol  
700 simulations. Notably, our research is the first to investi-  
701 gate the correlation between TMZ organization at the NE  
702 interface and its enhanced effectiveness, both in isolation  
703 and when combined with Fc. Furthermore, our findings  
704 revealed that these improvements in efficacy were attributed  
705 to alterations in mitochondrial membrane potential, leading  
706 to increased generation of reactive oxygen species (ROS)  
707 and subsequent cell death. The introduction of Fc in our  
708 experiments played a crucial role as an exogenous source  
709 of  $\text{Fe}^{2+}$ , thereby promoting enhanced ROS catalysis and the  
710 generation of more toxic byproducts, effectively damag-  
711 ing the tumor site. The developed NE formulation and its  
712 combination with Fc hold promising potential as pioneering  
713 therapeutic approaches for GB. However, it is imperative  
714 to conduct further studies to extend the drug release pro-  
715 file and evaluate their application in *in vivo* models. These

716 investigations will provide valuable insights for advancing  
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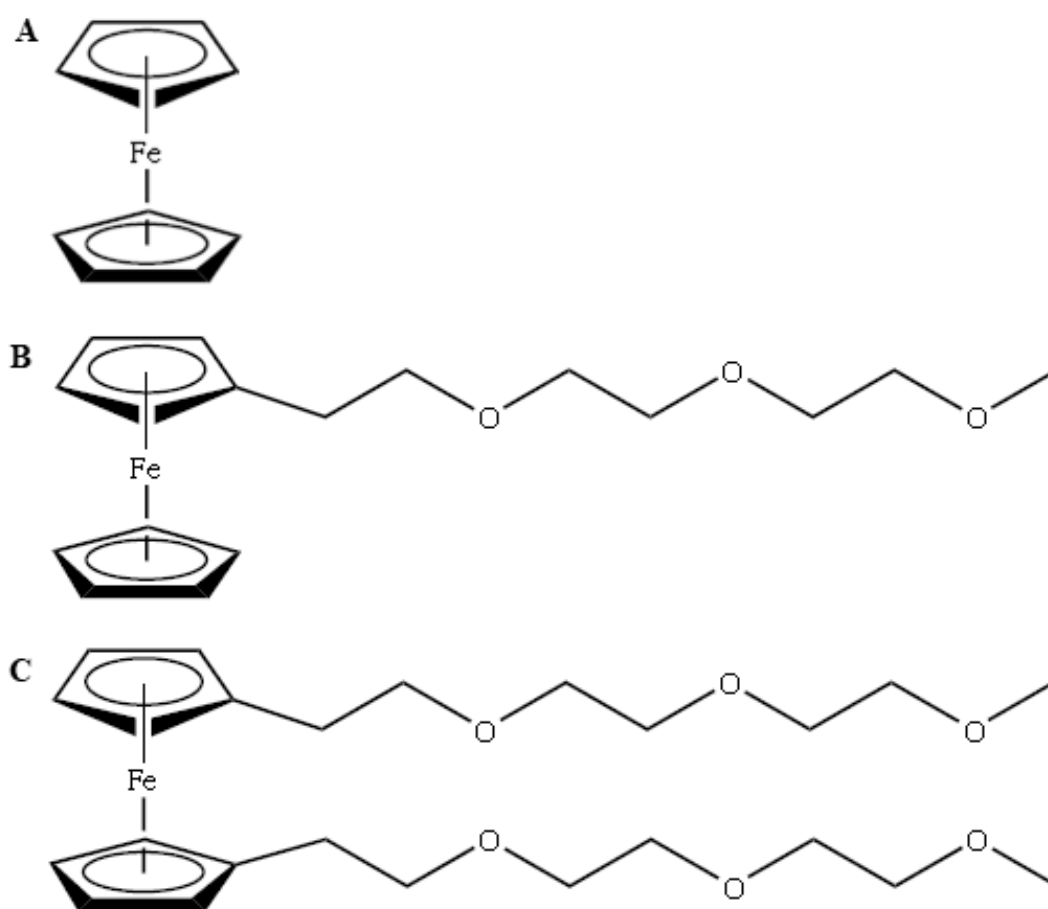
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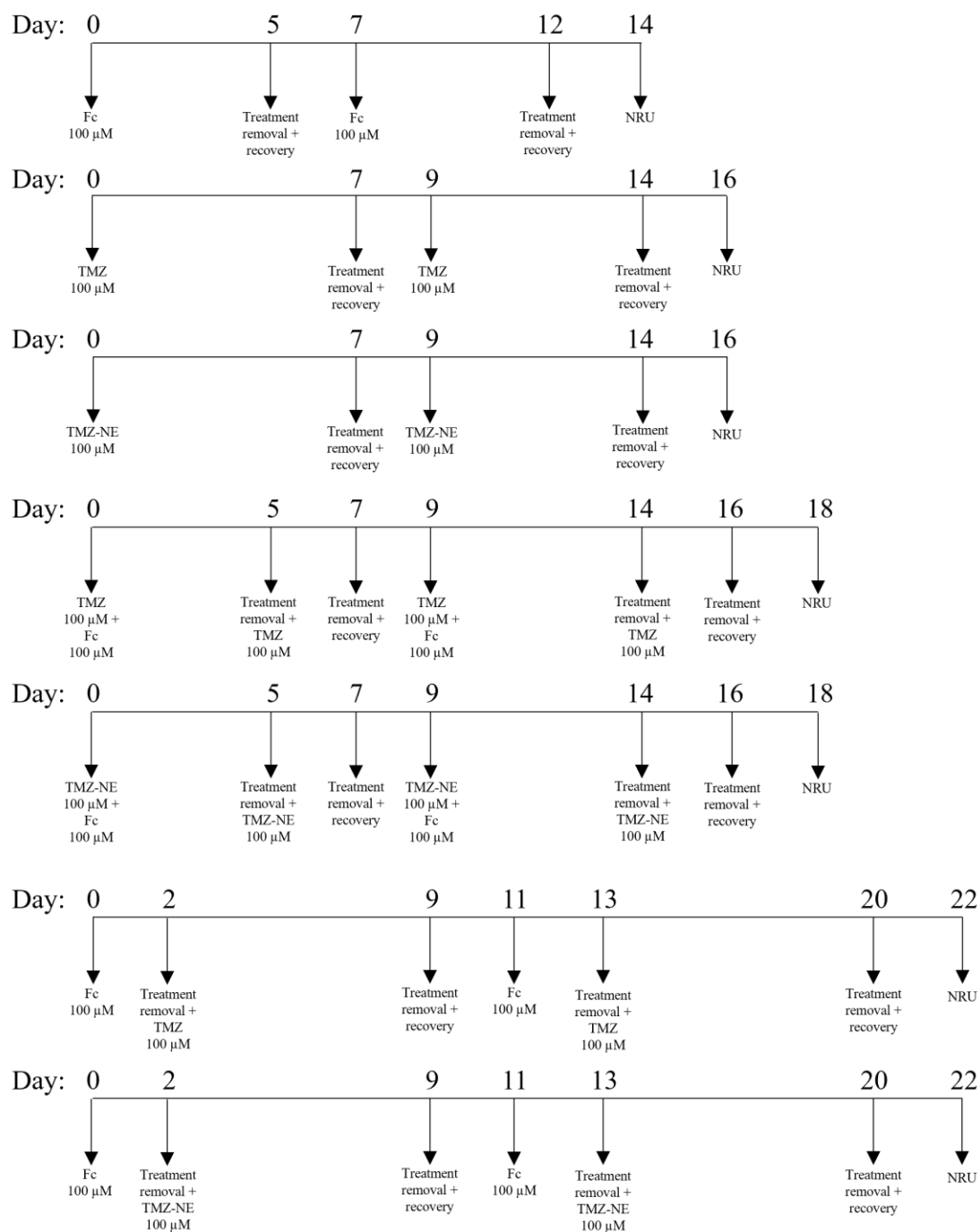
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**Fig. S1.** Schematic representation of ferrocene (**1A**), monopegylated ferrocene (**1B**) and dipegylated ferrocene (**1C**).



**Fig. S2.** Schematic representation of different treatment protocol simulation based on two-cycle regimens: T98G cells were treated for different days either with 100 μM of Fc and/or TMZ on their own, or TMZ-NE on its own or in combination with Fc, dissolved in DMEM + FBS (5%). In all recovery periods cells were kept in culture media with DMEM + FBS (10 %). All regimens comprised two treatment cycles and in the end cell viability was carried out by NRU assay. Fc: ferrocene. TMZ: temozolomide. NE: nanoemulsion. FBS: fetal bovine serum. NRU: neutral red uptake.

## 4 DISCUSSÃO

O tratamento do glioblastoma continua sendo um desafio significativo na medicina contemporânea, com a terapia padrão mais amplamente aceita consistindo na combinação de ressecção cirúrgica, radioterapia e quimioterapia com temozolomida (TMZ). No entanto, é importante notar que essa abordagem terapêutica não experimentou avanços substanciais ao longo das últimas décadas. Isso resultou em taxas de insucesso no tratamento da doença que permanecem elevadas, principalmente devido à baixa biodisponibilidade da TMZ, à presença da barreira hematoencefálica (BHE) e aos mecanismos de resistência tumoral. Tais fatores são os principais contribuintes para o aumento da reincidência do tumor e a consequente baixa expectativa de vida dos pacientes acometidos (Bush et al., 2017), e reiteram a necessidade da exploração de novas moléculas e modelos terapêuticos mais eficazes e capazes de burlar estas barreiras e aumentar a eficácia do tratamento.

Neste contexto, os compostos de Fc têm chamado atenção em razão de suas propriedades físico-químicas que favorecem sua interação com estruturas biológicas, tendo já reportado atividade antitumoral via mecanismos de sensibilização celular (Atmaca et al., 2017; Ornelas, 2011). A citotoxicidade e atividade antitumoral destes compostos são influenciadas por mecanismos de ação intrínsecos que envolvem, principalmente, a geração de ROS. Os Fc têm potencial redox de +0,40 V, o que é compatível com o potencial redox intracelular, que varia entre -0,44 e +0,40 V. Desta forma, estes compostos tornam-se suscetíveis a reações de transferência de elétrons e ciclos redox no meio intracelular, fazendo com que o  $\text{Fe}^{2+}$  sofra oxidação reversível a  $\text{Fe}^{3+}$  via reação de Fenton, gerando o radical  $\cdot\text{OH}$ , que por sua vez gera danos ao DNA com potencial morte celular. Por outro lado, a atividade antitumoral dos Fc por si só é mínima, especialmente em razão da sua baixa translocação celular e baixa solubilidade (Ludwig et al., 2021), o que justifica seu uso concomitante a outras moléculas e métodos de administração.

Conforme abordado no Capítulo 1 desta tese, o advento de DDS como alternativas para resolver total ou parcialmente alguns desafios, tais como o

direcionamento de fármacos ao sítio específico e sua passagem por barreiras biológicas, tem representado um importante avanço no tratamento de diversos tumores, minimizando o acúmulo de fármacos fora do tecido-alvo e consequentemente reduzindo os efeitos colaterais e aumentando a adesão dos pacientes (Vargason et al., 2021b). Estes sistemas podem ser classificados, quanto à sua composição, em NP inorgânicas e poliméricas, conjugados poliméricos, micelas, lipossomas e exossomas, e sua escolha depende de fatores como as interações físico-químicas entre a moléculas de interesse e o material, a via de administração, o alvo terapêutico e o mecanismo de direcionamento ao tecido-alvo (Arranja et al., 2017; Chaturvedi et al., 2018).

Além destes DDS já mencionados, outro sistema nanoestruturado, as NE de núcleo lipídico, pode ser considerado um dos mais promissores sistemas de nanocarreamento de fármacos devido à sua versatilidade, estabilidade termodinâmica e cinética, e preparação relativamente fácil (Pavoni et al., 2020; Rai et al., 2018), e já têm demonstrado efetividade contra os mais variados tipos tumorais em ensaios pré-clínicos e clínicos (Graván et al., 2023; Sánchez-López et al., 2019).

Nos dados apresentados no Capítulo 2 desta tese, foi abordado o desenvolvimento, a caracterização e a avaliação *in vitro* de uma NE de núcleo lipídico contendo TMZ (TMZ-NE) adsorvida em sua interface, em diferentes combinações com Fc, no tratamento de linhagens celulares de GB humano. Análises comparativas entre a formulação desenvolvida e sua versão branca demonstraram que ambas possuem tamanho e distribuição de partículas unimodais, e um baixo valor de Span. Estes dados foram corroborados pelas análises de índice de polidispersão e por difração a *laser*, e o caráter esférico das gotículas foi confirmado pela microscopia eletrônica. Conforme Bianchin et al. (2015) e Cardoso et al. (2019), os valores de Span e de índice de polidispersão encontrados em nosso estudo são perfeitamente adequados a aplicações farmacêuticas.

Diferentemente do comum, quando as moléculas carregadas por uma NE ficam internalizadas na porção lipídica da nanoestrutura, a análise do potencial zeta da TMZ-NE desenvolvida indicou que o fármaco está adsorvido na interface do

sistema. Tal efeito já foi descrito por Metin et al. (2011) e Raval et al. (2018), que associaram esta condição a valores de potencial zeta próximos a zero. Além disso, a utilização do surfactante Tween<sup>®</sup>-80 na formulação também desempenhou um papel importante na alocação do fármaco no sistema. Sabe-se que em condições de pH entre 5 e 7, como simulado no estudo aqui apresentado, este surfactante apresenta caráter não-iônico que, associado à sua baixa concentração na formulação (aproximadamente 0,14%), permite a ação de forças de repulsão restritas à porção hidrofílica do surfactante, estabilizando as gotículas nesta interface, e conseqüentemente permitindo a adsorção da TMZ nesta região (Sari et al., 2015; Walia et al., 2022). Na seqüência, esta hipótese foi confirmada pela quase ausência de encapsulação observada no ensaio de perfil de liberação do fármaco.

Com o intuito de analisar a performance da TMZ-NE em linhagens celulares de GB humano, e ao mesmo tempo propor a inserção de uma molécula sensibilizante tumoral, optou-se por realizar tratamentos agudos de 24 h com diferentes combinações de TMZ, Fc e/ou TMZ-NE. Ensaio de viabilidade celular nas linhagens U87 e T98G revelaram que a combinação entre TMZ-NE e Fc aumentou significativamente a citotoxicidade em ambas as linhagens, com um interessante efeito dose-dependente na linhagem T98G, a única das duas com conhecido perfil de resistência à TMZ. Este achado nos levou a optar por esta linhagem celular para estudos posteriores, a fim de se compreender melhor o mecanismo envolvido nesta aparente sensibilização do perfil de resistência à TMZ.

Análises do perfil de morte celular e do estresse mitocondrial após tratamentos de 24 h demonstraram elevados níveis de necrose celular, provavelmente associados à alta geração de ânion superóxido. Os grupos tratados com Fc e TMZ-NE + Fc também apresentaram alteração do status de polarização da membrana mitocondrial. Sabe-se que a manutenção do potencial de membrana mitocondrial é essencial para o seu funcionamento, o equilíbrio do metabolismo celular, o controle da geração de ROS e dos mecanismos de regulação de morte celular (Perry et al., 2011). Portanto, estes resultados compilados não somente reforçaram o aumento da sensibilidade em uma linhagem com perfil de resistência, como a T98G, como também confirmaram a ação do Fc neste evento via geração

de ROS, desestabilização mitocondrial e morte celular, corroborando achados já descritos por Na et al. (2021) e Qian et al. (2021).

Atmaca et al. (2017) e Tian et al. (2016) já haviam demonstrado as propriedades sensibilizantes dos compostos de Fc em linhagens tumorais posteriormente submetidas a radioterapia e outros agentes quimioterápicos. De posse desta informação, optou-se por estabelecer um protocolo clínico adaptado e constituído de dois ciclos de tratamento, onde a linhagem celular T98G foi tratada por cinco, sete ou nove dias conforme as combinações de Fc, TMZ e/ou TMZ-NE utilizadas, com um período de recuperação de dois dias entre os ciclos. O principal objetivo desta etapa foi observar se o Fc exerce alguma ação sensibilizante nas linhagens ao ser incorporado por dias anteriormente à adição de TMZ ou TMZ-NE, e avaliação se deu por ensaio de viabilidade celular. De um modo geral, observou-se significativa redução da viabilidade celular nos grupos pré-tratados com Fc seguidos da adição de TMZ e TMZ-NE. Estudos utilizando análogos de Fc em modelos de câncer de próstata, pulmão, colorretal e glioma já haviam relatados efeitos similares, reforçando o uso destes compostos como adjuvantes a tratamentos convencionais (Kondratskyi et al., 2017; Podolski-Renić et al., 2017).

## 5 CONCLUSÕES

O desenvolvimento de DDS para a entrega de fármacos ao cérebro representa um avanço importante à terapia convencional do GB. A versatilidade destes sistemas permite a incorporação das mais variadas moléculas, ampliando assim o rol de aplicação destas estruturas, bem como a melhora na entrega de fármacos, o potencial de atravessar a BHE, a redução de efeitos colaterais, a habilidade em combinar múltiplas moléculas, a capacidade de direcionamento a tecidos-alvo, e a possibilidade de se criar abordagens terapêuticas personalizadas.

Neste trabalho foi apresentado o desenvolvimento e caracterização com êxito uma NE contendo TMZ, em combinação com um composto de Fc, um composto organometálico com atividade sensibilizante tumoral e capaz de aumentar a geração de ROS no meio intracelular. Os dados produzidos por esta tese revelaram que esta abordagem combinada não só reduziu a viabilidade celular em linhagens celulares de GB humano, como também reverteu o perfil de resistência à TMZ da linhagem T98G em tratamentos agudos. Estudos de mecanística associaram este fato a alterações no potencial da membrana mitocondrial mediado pela geração de ROS, com consequente morte celular. Não obstante, o Fc demonstrou considerável atividade sensibilizante tumoral em ciclos de pré-tratamento seguidos da adição TMZ-NE, reforçando seu papel crucial como fonte exógena de  $Fe^{2+}$ , promovendo uma maior catálise de ROS e a geração de subprodutos tóxicos ao ambiente tumoral.

De um modo geral, a combinação entre TMZ-NE e Fc mostrou-se uma abordagem terapêutica promissora ao tratamento do GB, embora estudos *in vivo* devam ser conduzidos para o melhor entendimento da mecanística envolvida neste processo. À medida que o campo da nanomedicina avança, haverá dispositivos e terapias ainda mais sofisticados e eficazes para o tratamento do câncer e, apesar de seus potenciais benefícios, há também desafios a serem enfrentados, como a toxicidade, questões regulatórias e a necessidade de ensaios clínicos mais robustos para demonstrar a eficácia. No entanto, com investigação e desenvolvimento contínuos, a nanomedicina tem enorme potencial de revolucionar o tratamento do câncer e aumentar a sobrevida dos pacientes.

## 6 PERSPECTIVAS

Os dados apresentados no Capítulo 2 desta tese geraram novos questionamentos para os quais serão necessários novos experimentos a fim de se avaliar a potencial ação antitumoral da combinação proposta em esquemas de tratamento envolvendo sistemas mais complexos. Para isso, será crucial responder às seguintes perguntas:

- O Fc e/ou sua combinação com TMZ têm algum efeito sobre via de sinalização e progressão tumorais?
- Qual a melhor via de administração da NE desenvolvida, a fim de que a entrega de TMZ ao ambiente tumoral atinja doses terapêuticas?
- Qual a melhor via de administração para o Fc, a fim de que sua entrega ao ambiente tumoral atinja doses terapêuticas?
- Quais os parâmetros de toxicidade do Fc?
- É possível combinar o Fc com a TMZ na mesma NE?
- Quais modificações poderiam ser feitas na NE a fim de se modular seu perfil de liberação?
- O efeito sensibilizante do Fc e a reversão do perfil de resistência à TMZ observados nos ensaios *in vitro* serão igualmente reproduzidos em ensaios *in vivo*?
- Seria possível o desenvolvimento de um DDS de aplicação local contendo TMZ e Fc para o tratamento do GB?

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## ANEXO A

*Nek1-inhibitor and temozolomide-loaded microfibers as a co-therapy strategy for glioblastoma treatment*

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## Nek1-inhibitor and temozolomide-loaded microfibers as a co-therapy strategy for glioblastoma treatment

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### ABSTRACT

Malignant glioblastoma (GB) is the predominant primary brain tumour in adults, but despite the efforts towards novel therapies, the median survival of GB patients has not significantly improved in the last decades. Therefore, localised approaches that treat GB straight into the tumour site provide an alternative to enhance chemotherapy bioavailability and efficacy, reducing systemic toxicity. Likewise, the discovery of protein targets, such as the NIMA-related kinase 1 (Nek1), which was previously shown to be associated with temozolomide (TMZ) resistance in GB, has stimulated the clinical development of target therapy approaches to treat GB patients. In this study, we report an electrospun polyvinyl alcohol (PVA) microfiber (MF) brain-implant prepared for the controlled release of Nek1 protein inhibitor (iNek1) and TMZ or TMZ-loaded nanoparticles. The formulations revealed adequate stability and drug loading, which prolonged the drugs' release allowing a sustained exposure of the GB cells to the treatment and enhancing the drugs' therapeutic effects. TMZ-loaded MF provided the highest concentration of TMZ within the brain of tumour-bearing rats, and it was statistically significant when compared to TMZ via intraperitoneal (IP). All animals treated with either co-therapy formulation (TMZ + iNek1 MF or TMZ nanoparticles + iNek1 MF) survived until the endpoint (60 days), whereas the Blank MF (drug-unloaded), TMZ MF and TMZ IP-treated rats' median survival was found to be 16, 31 and 25 days, respectively. The tumour/brain area ratio of the rats implanted with either MF co-therapy was found to be reduced by 5-fold when compared to Blank MF-implanted rats. Taken together, our results strongly suggest that Nek1 is an

**Abbreviations:** ALT, alanine aminotransferase; ALP, alkaline phosphatase; ATM, ataxia telangiectasia mutated; ATR, ataxia telangiectasia and Rad3-related protein; AST, aspartate aminotransferase; BBB, blood-brain barrier; CK, creatine kinase; CN, coordination number; DMEM, Dulbecco's Modified Eagle Medium; DDR, DNA damage response; DDS, drug delivery systems; DSB, double-strand breaks; EE%, percentage of entrapment efficiency; FBS, foetal bovine serum; GB, malignant glioblastoma; GGT, gamma-glutamyl transferase; H2AX, histone 2AX; iNek1, Nek1 inhibitor; JNK2, c-Jun NH (2)-terminal kinase 2; MGMT, O<sup>6</sup>-methylguanine-DNA methyltransferase; MF, microfiber; Mre11, double strand break repair nuclease; MTT, methylthiazolylidiphenyl-tetrazolium bromide; Nek1, NIMA-related kinase 1; NMR, nuclear magnetic resonance; NP, nanoparticles; PBS, phosphate-buffered saline; PVA, polyvinyl alcohol; SASA, solvent-accessible surface area; SD, standard deviation; TMZ, temozolomide; UV, ultraviolet; VDAC1, mitochondrial voltage-dependent anion channel.

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important GB oncotarget and the inhibition of Nek1's activity significantly decreases GB cells' viability and tumour size when combined with TMZ treatment.

## 1. Introduction

Malignant glioblastoma (GB) is the predominant primary brain tumour in adults, with a median survival of < 2 years (Norouzi et al., 2016; Tseng et al., 2016; Brodbelt et al., 2015; Rape et al., 2014; Tan et al., 2020). Currently, surgical resection followed by radiotherapy and adjuvant chemotherapy is considered the standard therapy for GB patients (Tseng et al., 2015; Huang et al., 2016). However, GB exhibits a high capacity of infiltrating normal tissue, which makes it practically impossible the complete tumour resection through surgery, and even after resection, the recurrent GB invasive cells can form tumours within few centimetres of the original site (Tseng et al., 2015; Huang et al., 2016; Weller et al., 2013; Sawyer et al., 2006).

Amid the obstacles of GB therapy, the blood–brain barrier (BBB) and its tortuous angiogenesis restrict effective drug delivery to the brain, contributing to chemotherapy inefficacy (Sun et al., 2017; Irani et al., 2017; Wei et al., 2014; Fan et al., 2015; Park et al., 2017). Several drugs fail to achieve therapeutic concentrations at the tumour site, even at toxic systemic level concentrations (Tseng et al., 2015; Tseng et al., 2013). Therefore, temozolomide (TMZ) is still being used as the standard chemotherapeutic drug by oral administration (Denny et al., 1994), since the use of this alkylating agent as adjuvant therapy after surgery has been widely accepted as the most effective and well-tolerated option (Akbar et al., 2009).

The discovery of potent genetic and protein targets has stimulated the clinical development of novel therapeutic approaches to treat patients with GB (Zhu et al., 2016). However, several of these approaches, including O<sup>6</sup>-benzylguanine (Quinn et al., 2009) and AZD0156 (Abida et al., 2018), which inhibit O<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT) and ataxia telangiectasia mutated (ATM) respectively, fail in offering improvements in patients' prognostics. One of the reasons that justify the clinic failure of these approaches may be the incapacity of conventional treatment modalities to reach the tumour site using tolerable doses of chemotherapeutic agents throughout highly disseminated tumours and to target oncotargets that are contributing to tumour resistance (Westphal and Lamszus, 2011). Nek1 (NIMA-related Kinase 1) is a member of the Nek family kinases (Patil et al., 2013; White and Quarumby, 2008), acting in mitosis (Patil et al., 2013; White and Quarumby, 2008) and DNA damage repair (Liu et al., 2013; Spies et al., 2016). Nek1 is required for activating DNA damage response (DDR) pathways through ATR (Liu et al., 2013); moreover, its upregulation has an anti-apoptotic effect through phosphorylation and deactivation of the mitochondrial voltage-dependent anion channel (VDAC1) (Chen et al., 2009; Chen et al., 2010; Chen et al., 2014). In addition, other DNA damage-related proteins including Rad54 and Mre11, are associated with Nek1 (Spies et al., 2016), and recently, Higelin et al. demonstrated that compromised DDR machinery caused by *NEK1* mutation in motoneurons of amyotrophic lateral sclerosis patients lead to accumulation of DNA damage and increased cell death (Higelin et al., 2018), highlighting the role of this protein in DDR. In a cancer context, Nek1 has been associated with prostate cancer progression (Singh et al., 2019; Singh et al., 2019; Singh et al., 2020), decreased sensitivity to DNA-damaging therapy in renal cell carcinoma (Chen et al., 2014), reduced disease-free survival in cervical cancer (Freund et al., 2020), malignancy and aggressiveness of thyroid cancers (Melo-Hanchuk et al., 2020), and TMZ-resistance in GB cells (Zhu et al., 2016). In glioma cells, Zhu et al. suggested that Nek1 may be a novel and important oncotarget since its role in GB malignancy is related to cell growth promotion and chemoresistance (Zhu et al., 2016). Our unpublished data suggest that Nek1 knockout in U87MG GB cells increases the cells sensitivity to DNA-damaging agents such as TMZ and a radiomimetic drug, and

modulates the DDR. Taken together, these studies imply the importance of inhibiting the activity of Nek1 during therapies that activate the DDR.

To improve the therapeutic effectiveness of TMZ and decrease its side effects such as hematologic toxicity (Stupp et al., 2005; Corsa et al., 2006; Mutter and Stupp, 2006; Vera et al., 2004); *in situ* drug delivery systems (DDS) can be administered to prevent tumour recurrence. Localised and controlled approaches that treat GB directly into the tumour site provide an alternative to enhance chemotherapy efficacy and reduce systemic toxicity (Ranganath and Wang, 2008; Han et al., 2017; Kuramitsu et al., 2014; Hirschberg et al., 2013). Due to their biocompatibility, polymers are one of the most promising resources for DDS development (Bei et al., 2009). Several DDS, such as micro- and nanosystems, and wafers have been developed to provide adequate drug release into the GB site (Irani et al., 2017; Ranganath and Wang, 2008; Ahmed et al., 2006; Kumaramahariseti et al., 2007; Hernán Pérez de la Ossa et al., 2013; Scott et al., 2011; Kim et al., 2007; Hua et al., 2011; Ramachandran et al., 2017; Ramachandran et al., 2014; Játiva and Ceña, 2017; Cheng et al., 2014; Mangraviti et al., 2016). Nevertheless, given the high interstitial pressure in the brain, micro- and nanoparticles (NP) can be easily expelled from the target site, and these formulations frequently present burst release of loaded drugs causing neurotoxicity (Irani et al., 2017; Fernandes et al.). Additionally, hydrogels and wafers have low surface areas resulting in inappropriate and inconsistent drug dissolution (Norouzi et al., 2016; Ranganath and Wang, 2008).

Our research group has been working with polyvinyl alcohol (PVA), which is a noteworthy choice for designing DDS since it is biodegradable and presents very low toxicity (Ranganath and Wang, 2008; Ahmed et al., 2006; Pourgholi et al., 2016; Steffens et al., 2020; Reinhardt et al., 2021; Seba et al., 2021). Recently, we produced dacarbazine-PVA nanofibers to treat GB and this formulation showed great mechanical and delivery properties improving the *in vitro* efficacy of dacarbazine (Steffens et al., 2020). Hence, in this study, we aimed the development of electrospun PVA microfibrils (MF) to be used as brain implants for the controlled release of a co-therapy of TMZ and Nek1 inhibitor (iNek1). Moreover, this study aimed the evaluation of Nek1 as a GB oncotarget. Our results strongly indicate that Nek1 inhibition could improve therapy outcome *in vivo* when combined with TMZ treatment, and the use of implantable DDS could enhance therapy efficacy and facilitate the delivery of compounds to the brain.

## 2. Material and methods

### 2.1. *In silico* evaluations

#### 2.1.1. Molecular modelling

Nek1 complex was obtained through molecular modelling of missing loops of PDB ID 4APC model. The process was performed on Modeller v.9.19 (Webb and Sali, 2016). For each model was build 1000 models and selected the one with the best stereo chemical quality with DOPE assessment method evaluation. The quality of the model was checked with Procheck (Laskowski, 2001), Verify 3D (Bowie et al., 1991; Lüthy et al., 1992) and MolProbity (Chen et al., 2010) software.

#### 2.1.2. Molecular docking protocol and validation

Molecular docking assays were performed using AutoDock Vina (Trott and Olson, 2010). AutoDock Vina employs a gradient-based conformational search method and outlines the search space by a grid box defined by the box centre coordinates and its dimensions of x, y and z in grid resolution internally assigned to 1 Å... (Trott and Olson, 2010). The number of binding modes was set to 1000 and exhaustiveness set to 200 to control how many times the calculations are repeated. The grid

dimensions were  $40 \times 40 \times 40$  (x, y, z) points centre on the binding site  $-26.22 \times 11.13 \times 16.78$  (x, y, z). The scoring of the generated docking poses and ranking of the ligands were based on the Vina empirical scoring function. All rotatable dihedral angles of iNek1 were considered as flexible. The orientations of the iNek1 molecule at the binding sites on Nek1 and JNK2 (PDB ID 3NPC) proteins were chosen from docked conformations as representative of the lower energy clusters generated by Autodock Vina.

### 2.1.3. Nomenclature and software

For nomenclature and symbols, the IUPAC recommendations were applied in the present work. Concerning MD simulations, the GROMACS 2019 simulation suite (Pronk et al., 2013) was used; along with the GROMOS 53A6 force field (Oostenbrink et al., 2004) and the GROMOS 53A6GLYC force field (Pol-Fachin et al., 2012; Pol-Fachin et al., 2014). For iNek1 and TMZ molecules; the parameters of previous work were selected (Poléto et al., 2018). For the manipulation and generation of the entire PVA molecule; the Assemble! (Degiacomi et al., 2016) tool was used. This tool makes easier the simulation of polymeric systems. Assemble! permits the creation of polymers from monomer building blocks with a user-defined force field. For the manipulation and visualisation of structures; the software VMD (Humphrey et al., 1996) and PyMOL (Delano) were used.

### 2.1.4. Molecular dynamics simulations

For the construction of the system, the same approach of our previous paper has been utilised (Steffens et al., 2020). The system was generated by applying the Assemble! tool (Degiacomi et al., 2016) under the following experimental data: 1 molecule of PVA (1765 units) was set as 5%, the iNek1 as 0.05% and the TMZ as 0.05%, resulting on 8 molecules of the drugs (4 iNek1 and 4 TMZ) for 1 PVA molecule. Two systems were constructed, PVA with four molecules of iNek1 and four molecules of TMZ and eight drugs (iNek1 and TMZ) molecules in water. To randomise the structure and relax local stresses, PVA chain was exposed to the steepest descent energy minimisation and 1 ns of molecular dynamics pre-equilibration of the polymer around the drug, as previously described (Steffens et al., 2020; Kyrychenko et al., 2017; Tallury and Pasquinelli, 2010). Subsequent to the pre-equilibration steps, the dodecahedron box was solvated with SPC water model (Berendsen et al., 1987) and periodic boundary conditions. Prior to this process, to retain the physiological ionic strength 0.15 M NaCl was added to the aqueous solution. The LINCS algorithm (Hess et al., 1997) was selected to constrain covalent bond lengths. This way, an integration step of 2 fs was applied. As for the electrostatic interactions, calculations were performed by the particle mesh Ewald (PME) method (Darden et al., 1993). The pressure barostat chosen was Parrinello-Rahman (Parrinello and Rahman, 1981; Nosé and Klein, 1983), with a 2.0 ps coupling constant, while the temperature thermostats chosen were V-rescale (NVT step) (Bussi et al., 2007) and Nosé-Hoover (NPT equilibration and production MD) (Nosé, 1984; Hoover, 1985), with a coupling constant of  $\tau = 0.5$ . Constant temperature of 298 K and constant pressure of 1 atm were also applied. Steepest Descent algorithm was used in the energy minimisations performed. First, two simulations of equilibration were carried out with position restraints: an NVT and an NPT of 2 ns and 5 ns, respectively. Not only that, 500 ns of unrestrained NPT MD simulations were carried out for each system (iNek1-TMZ and PVA-iNek1-TMZ), creating the production run from which data were collected. Each system was simulated in three independent runs, with distinct starting velocities, to filter conformational events of low probability (Nemec and Hoffmann, 2017; Perez et al., 2016). For analysis, coordination number is the total number of neighbours of a nitrogen atom on the imidazole rings, obtained from optimal binding distance calculated by radial distribution function.

## 2.2. Preparation of temozolomide-loaded nanocarriers

Temozolomide (TMZ; Merck, Darmstadt, Germany)-loaded stearic acid (Merck) nanocarriers (TMZ NP) were produced by solvent diffusion method according to Hafeez et al. (Hafeez and Kazmi, 2017) with minor modifications. TMZ (10% w/w) and stearic acid were suspended in an organic phase prepared using acetone:ethanol (Merck) mixture (1:1). Then, the aqueous phase was prepared by heating up to 70 °C distilled water (dH<sub>2</sub>O) on a continuous stirring. Finally, the organic phase was poured and mixed into the aqueous phase during 30 min. After cooling at room temperature, the mixture was sonicated for 5 min and frozen overnight. Later, the mixture was lyophilised in a freeze dryer (Heto, LyoLab 3000, Thermo Fisher Scientific, MA, United States). For comparison purposes, blank nanocarriers (Blank NP) were prepared by using stearic acid only.

### 2.3. Particle size and zeta potential

Particle size and zeta potential analyses were carried out on the Beckman Coulter Delsa Nano C (Beckman Coulter, CA, United States). For particle sizing, the sample was run 9 times to ensure that results were statistically relevant. Analysis was carried out on the measured autocorrelation functions using the non-negative least square algorithm. Very large aggregate results (>5 µm) were removed from the analysis as the fitting and algorithm cannot provide accurate results at this size. For zeta potential, the sample was run 3 times (as each run is repeated 10 times and averaged to provide the result). The applied voltage on the electrodes of the cell was 60 mV.

### 2.4. Preparation of microfibrers

Polyvinyl alcohol (PVA; Merck) hydrogel solutions were produced by dissolving PVA at 10% (w/v) in dH<sub>2</sub>O at 90 °C, under continuous stirring until its whole solubilisation. After the solutions were colder, ethanol (10% v/v) was added. Then, different solutions were prepared by adding known amounts of TMZ (0.01% w/w) or TMZ and Nek1 inhibitor (0.01% w/w; iNek1; JNK inhibitor II; Merck) solutions prepared in DMSO or TMZ NP (10% w/w; TMZ final concentration in nanocarriers: 0.1% w/w) or TMZ NP and iNek1 solution into the PVA solution. The formulations were electrospun (Spraybase, Co. Kildare, Ireland) through a blunt-end 20-gauge needle, with a flow rate of 0.5 mL/h, a conductivity of 9 kV, and a distance of 5 cm between the needle tip and the collector plate. For comparison purposes, Blank MF were prepared by using only PVA or by adding Blank NP into a PVA solution prior electrospinning. For visualisation of fluorescent fibers, curcumin (Merck) MF were prepared by adding Blank NP into a curcumin (0.01% w/w)-PVA solution prior electrospinning.

### 2.5. Microfiber sterilisation and sterility validation

Isolated colonies of *Escherichia coli* (*E. coli*) (NC 12241) and *Staphylococcus aureus* (*S. aureus*) (NC 12981) from stock strains cryogenised at  $-80$  °C were obtained through isolation seeding and grown overnight. Each colony of each bacterium was separately suspended in 5 mL of sterile PBS (Thermo Fisher Scientific) and standardised with spectrophotometer by 0.5 McFarland scale ( $1.5 \times 10^8$  CFU/mL in 600 nm wavelength). Subsequently, 50 µL of standardised bacterial suspension were added into each polymer sample (1 cm<sup>2</sup> each sample) on 3 cm<sup>2</sup> diameter sterile plates. Contaminated samples were sterilised by total immersion in 500 µL of isopropanol (IPA; Merck) for ten seconds, and subsequently drained. Serial dilution of samples was conducted in a 96-well sterile microplate previously filled with 270 µL of sterile PBS until the fifth well. Each sample was dispersed into 1 mL of sterile PBS and briefly mixed. From this dilution, 30 µL were taken out and transferred to the first well in the microplate, briefly mixed, being now the 10<sup>-1</sup> dilution, and this went through until the 10<sup>-5</sup> dilution. This procedure

was repeated for each sample separately. From each dilution 100  $\mu\text{L}$  were taken and inoculated through spread plate method in agar plate with 20 mL of solid nutrient agar (NA; Merck) with a bent rod at once. Other three replicates were made with micro drop technique (10  $\mu\text{L}$ ) in NA plates. Plates were then incubated at 37 °C for 24 h. After the first reading, the plates were left on incubator for 2 weeks to analyse the growth possibility and no bacterial growth was observed on sterilised plates.

#### 2.6. Microfiber size and morphology analysis

The fibers' and particles' morphologies were observed via scanning electron microscope (SEM; Tescan Mira XMU SEM, TESCAN, Brno, Czech Republic). Back-scattered electron mode was used with magnifications ranging from 10 kX to 30 kX after the fibers were sputtered with gold. For fiber size analysis, ImageJ software (ImageJ 1.53v, National Institute of Health, Bethesda, MD, United States) was used and the mean diameter was extracted by analysing >300 fibers. Fluorescence images of the fibers were acquired using a Leica DM 2000 confocal microscope with an x40 oil lens (Leica Microsystems, Ashbourne, Ireland). Image acquisition was achieved by using the LAS V3.8 software (Leica Microsystems, Ashbourne, Ireland).

#### 2.7. Solid-state NMR

The stability of the drugs and the polymer after formulation preparation was evaluated by nuclear magnetic resonance (NMR) spectroscopy. The analysis was executed using a Bruker 400 MHz Avance III HD (Bruker, MA, United States) equipped with a 3.2 mm H/X CPMAS probe.

#### 2.8. Determination of the encapsulation efficiency of TMZ and iNek1

To measure the percent encapsulation efficiency (EE%) and the total amount of loaded TMZ and iNek1, MF or NP were entirely dissolved in DMSO (Merck) and the amount of released drug was measured by ultraviolet (UV) light on a Shimadzu UV 1280 spectrometer (Shimadzu, Kyoto, Japan) at 328 and 407 nm, respectively. Loaded formulations were used to generate standard curves for each drug. Empty (blank) formulations were also dissolved in DMSO and used as the blank for the spectrometer reading. The EE% was determined as follows:

$$EE\% = \frac{\text{actual amount of drug release}}{\text{theoretical amount of loaded drug}} \times 100$$

#### 2.9. In vitro drug release studies

Drug dissolution studies were performed using a Distek Model 2500 Dissolution System (Distek Inc., NJ, United States). The samples were tested in PBS (Thermo Fisher Scientific) buffers (pH 7.4 or 6.8) at 37 °C. Each vessel contained 300 mL of dissolution media and a stir rate of 50 rpm was used. Samples were taken at set intervals and measured by UV light on a Shimadzu UV 1280 spectrometer (Shimadzu). Loaded formulations were used to generate standard curves for each drug. Empty (blank) formulations were used as the blank for the spectrometer reading.

#### 2.10. Nek1 protein expression

U87MG GB cells were maintained in Dulbecco's Modified Eagle Medium (DMEM), supplemented with 10% foetal bovine serum (FBS), penicillin, streptomycin and L-glutamine (Thermo Fisher Scientific) at 37 °C in a 5% CO<sub>2</sub> humidified atmosphere. Cells were treated with TMZ (75  $\mu\text{M}$ ) for 24 h. After treatment, the cells were trypsinised (Thermo Fisher Scientific), 15 min fixed with 3.7% paraformaldehyde (v/v) (Merck), permeabilised with 0.5% Triton X-100 (v/v) (Merck) in PBS (Thermo Fisher Scientific) buffer for 15 min and blocked with 10% FBS

in PBS buffer (v/v) for 1 h. In the next step, the samples were incubated overnight at 4 °C with the following diluted antibodies: 1:100 Alexa Fluor 488 Mouse anti- $\gamma\text{H2AX}$  (pS-139) (BD Biosciences, CA, United States), 1:50 anti-vinculin-FITC (Merck) or 1:100 anti-Nek1 (Merck). Anti-rabbit Alexa Fluor 594 (Life Technologies) at a dilution of 1:500 was used as the secondary antibody for Nek1 staining. The samples were placed in a glass slide and each slide was dropwise stained with Hoechst 33,258 (Thermo Fisher Scientific) for cells' nuclei visualisation. The slides were observed in a cell imaging system (IN Cell Analyser 2200, GE Healthcare Life Sciences, NJ, United States). Nek1 protein expression was also evaluated by western blot. Briefly, U87MG, A172, M059J, T98G and U138MG cells were treated with TMZ (75  $\mu\text{M}$ ) for 24 h and protein extracts were prepared by using lysis buffer and separated by sodium dodecyl sulphate-polyacrylamide gel electrophoresis. The membranes were blocked with 5% skim milk in tris-buffered saline with 0.05% of Tween 20 (Merck) (v/v) for 1 h and incubated overnight at 4 °C with following antibodies at a dilution of 1:500 anti-Nek1 (Merck) or 1:500 anti- $\beta$ -actin (Santa Cruz Biotechnology, CA, United States). The secondary antibodies used were 1:3000 mouse-anti-rabbit or 1:3000 goat-anti-mouse (Santa Cruz Biotechnology). The membranes were incubated with Luminol-based Enhanced Chemiluminescent mix and exposed to films to develop.

#### 2.11. Cell viability evaluation

Two different media (pH 6.8 or 7.4) were used to perform this evaluation. The drugs screening and the formulations cytotoxicity potential were determined by using methylthiazolyldiphenyl-tetrazolium bromide (MTT; Merck) colorimetric assay. Firstly, TMZ and iNek1 stock solutions prepared in DMSO (stock solution TMZ: 21 mM and iNek1: 13.6 mM) were further diluted in 10% FBS DMEM and tested aiming to select the IC<sub>50</sub> used in the following experiments. Briefly, U87MG cells were seeded in 96-well plates at 1x10<sup>5</sup> cells/well, following 24 h, cells were treated with increasing concentrations of TMZ (25–200  $\mu\text{M}$ ) or iNek1 (15–240  $\mu\text{M}$ ) for 48 h. Following treatment, cells were incubated with MTT for 3 h at 37 °C. Then, formazan crystals were dissolved in DMSO, and the absorbance was recorded at 540 nm in a microplate reader (BioTek Synergy HT, Swindon, United Kingdom). The cell viability was assessed by using the negative control (cells treated with vehicle: 0.1% DMSO) as 100%. After this screening, U87MG cells were treated with several formulations at the IC<sub>50</sub> previously determined or with the neat drugs during 2, 5 or 5 (+2 days of recovery = media replenish) days. C6 cells were also treated with TMZ (75  $\mu\text{M}$ ) or the co-treatment (TMZ 75  $\mu\text{M}$  + iNek1 50  $\mu\text{M}$ ) during 5 days. For the 3D cell culture assessment, cells were incubated with magnetic NP (Nano-Shuttle<sup>TM</sup>-PL, Greiner Bio-One, Frickenhausen, Germany) for 24 h at 37 °C, thereafter disposed into a cell-repellent 96-well plate at 1x10<sup>5</sup> cells/well and incubated for 24 h over a magnetic drive. Then, cells were exposed to complete media (negative control), neat drugs or the formulations for 5 (+2 day of recovery) days. Spheroid size was analysed by using an EVOS FL Auto 2, Imaging System microscope (Thermo Fisher Scientific) and calculated by using ImageJ software.

#### 2.12. Animals

All experimental procedures were reviewed, approved, and performed in accordance with the Federal University of Health Sciences from Porto Alegre's Ethics Committee guidelines (approval number: 603/18). Sixty days-old and around 300 g male Wistar rats were obtained from animal housing facility of UFCSPA and were maintained in the laboratory at 22  $\pm$  2 °C, with water and food *ad libitum*, and under a 12:12 h light and dark photoperiod.

#### 2.13. In vivo evaluation

C6 glioma cells were cultured in DMEM supplied with 10% FBS,

penicillin, streptomycin and L-glutamine at 37 °C in a 5% CO<sub>2</sub>-humidified atmosphere incubator to approximately 70% confluence. Then, cells were trypsinised, counted with trypan blue staining and a total of 1x10<sup>6</sup> cells were suspended in 3 µL of free-FBS DMEM. The animals were anaesthetised using ketamine/xylazine (Agener Uniao, SP, Brazil), and the cell suspension was implanted by stereotaxic surgery into the right striatum of the brain of the rats at a depth of 6.0 mm (coordinates with regard to bregma: 0.5 mm posterior and 3.0 mm lateral) with injection flow of 1 µL/min (Braganhol et al., 2009). On the tenth day from tumour inoculation, the animals were sedated using ketamine/xylazine and a circular incision (around 5 mm of diameter) was made in the brain cap using stereotaxic equipment (following the same coordinates as previously mentioned) to implant the MF (15 mg/MF) (Fig. 5A). The animals were randomly divided into six groups with three to eight animals each: Blank MF (control formulation), TMZ MF, TMZ + iNek1 MF, TMZ NP + Blank MF, TMZ NP + iNek1 MF or intraperitoneal (IP) TMZ (5 mg/kg; once a day for five days). Post-operative pain was evaluated for the first five hours, and then daily using the grimace scale (Sotocina et al., 2011). Three animals/group of Blank MF, TMZ MF or TMZ NP + Blank MF were followed up for two days (for TMZ quantification within the brain). The other animals were followed up until they reached endpoint criteria (moribund animal and/or loss of > 15% of initial body weight) up to sixty days. Clinical and behavioural changes were monitored daily, and signs of pain were treated by IP opioid administration (tramadol 12.5 mg/kg; every eight hours up to five days). Body weight was recorded biweekly with a digital weighing balance for body weight change evaluation and at the end of treatment. All animals were euthanised by overdose of ketamine and xylazine. After euthanasia, the brain tissue was rapidly removed. The brains were excised and preserved in 3.7% formaldehyde solution (v/v; pH 7.4) or were washed in PBS (Thermo Fisher Scientific) and kept in -80 °C. The samples processing and hematoxylin and eosin slides preparation were performed by UFCSPA's Pathology Laboratory according to established protocols. The slides were scanned by using an EVOS FL Auto 2, Imaging System microscope (Thermo Fisher Scientific) and measured by using ImageJ software. The brain and the tumour areas were measured and the tumour/brain area ratio was calculated. Kidney and lung tissues were collected for histological evaluation and preserved in formaldehyde solution. Blood samples were collected from the abdominal aorta artery by puncture in a test tube without anticoagulant substances to obtain serum samples, followed by immediate centrifugation at 3000 rpm for 15 min. The collected serum was preserved at -70 °C for biochemical analysis.

#### 2.14. Biochemical analysis

Urea, creatinine, amylase, aspartate aminotransferase (AST), alanine aminotransferase (ALT), alkaline phosphatase (ALP), gamma-glutamyl transferase (GGT), total protein, lactate, and creatine kinase (CK) (Biolclin, MG, Brazil) analyses were performed by the chemistry analyser BS-120 (Mindray, SP, Brazil) at UFCSPA's Clinical Analysis Laboratory.

#### 2.15. TMZ extraction and quantification from the brain tissue

The samples' preparation was based on previous studies with minor modifications (Khosa et al., 2018). Briefly, 100 mg of brain tissue were used to extract TMZ. The samples were acidified by using 200 µL of acetic acid (0.1% v/v; Merck) and homogenized. The protein amount was precipitated by adding 200 µL of cold acetonitrile (Merck), the samples were vortexed and centrifuged for 10 min at 10,000 rpm. The supernatants were collected and filtered by using a 0.45 µm filter. For the TMZ standard curve, brain tissue from control rats were used and spiked with a range of TMZ solutions (0.05 – 5 µg/mL) in acetic acid. Control samples (without TMZ) were also evaluated to assess possible interferences in the composition. The HPLC-DAD method was based on previous studies (Gilant et al., 2012; Michels et al., 2019) and was

carried out in a Shimadzu Prominence (Shimadzu, Tokyo, Japan) chromatograph equipped with a quaternary, low-pressure mixing pump and inline vacuum degassing, controlled by a CBM-20<sup>A</sup> interface module, an automatic injector (SIL-20A) and Diode Array Detector (SPD-M20A). The separation was performed using a reverse-phase Phenomenex – Luna C18 (5 µm × 150 mm × 4.6 mm) column. The mobile phases consisted of acetic acid (2% v/v) – phase A and acetonitrile – phase B. The injection volume was set at 20 µL with a flow rate of 1.1 mL/min and the samples were monitored at 330 nm. The running time was set at 10 min and the retention time of TMZ was found to be 1.6 min (Supplementary Fig. 1).

#### 2.16. Statistical analysis

Quantitative data were expressed as the mean ± standard deviation (SD) and statistical analysis was achieved by unpaired *t*-test or one-way ANOVA followed by Tukey post-test. Kaplan–Meier survival curves were generated to compare the different mice treatment groups (GraphPad Prism 8.0, La Jolla, CA, USA). *P* value < 0.05 was assumed as statistically significant.

### 3. Results and discussion

#### 3.1. Nek1 expression in GB cells

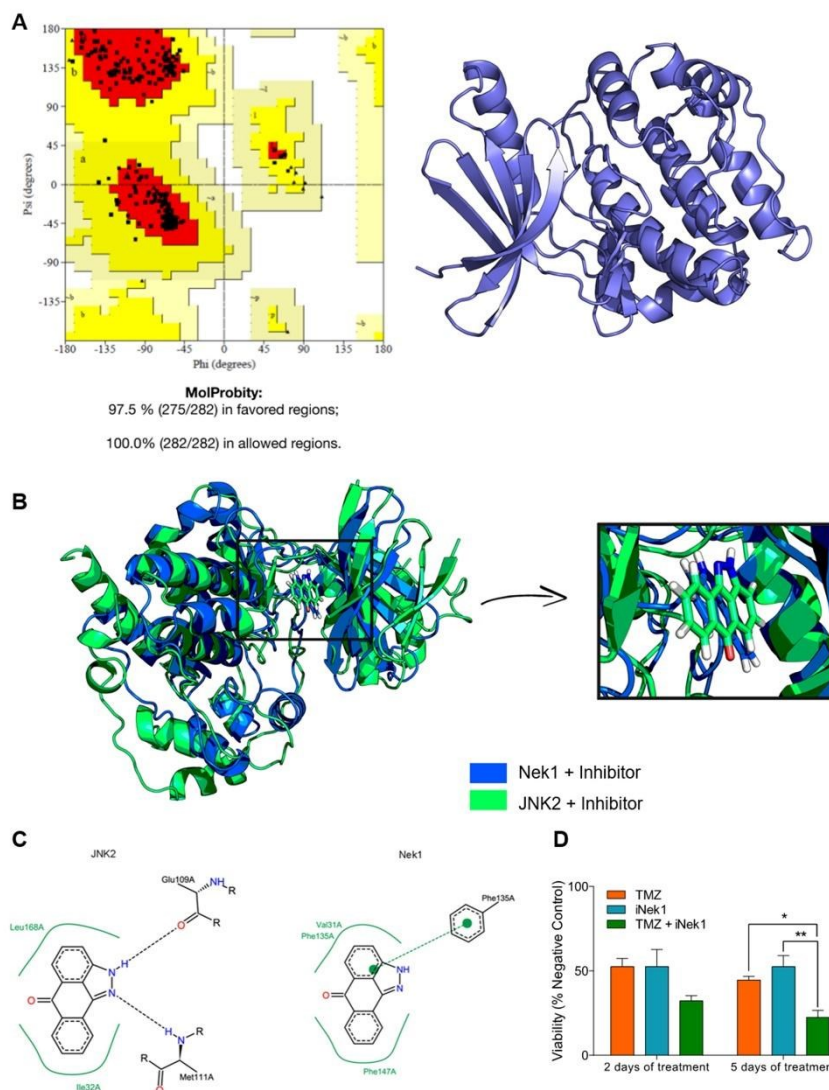
It is known that Nek1 plays a vital role in DNA damage signalling and that the genetic inhibition of this protein increases apoptosis in glioma cells followed by TMZ treatment (Zhu et al., 2016; Liu et al., 2013; Spies et al., 2016). Thus, aiming to verify if Nek1 responds to stress induced by TMZ we analysed the expression of Nek1 in different GB cell lines after treatment with TMZ. Firstly, a screening of TMZ concentrations was performed to find the IC<sub>50</sub>, which was used for the *in vitro* experiments (a dose–response curve of TMZ is shown in Supplementary Fig. 2A; the IC<sub>50</sub> was found to be 76.3 µM, thus, 75 µM was chosen for the further experiments). Among the different cell lines (U87MG, A172, M059J, T98G and U138MG), the highest expression of Nek1 was observed in the U87MG cell line (Supplementary Fig. 3A), thus, we selected this cell line to perform the following *in vitro* experiments. U87MG cells were treated with TMZ, then fixed and co-stained with vinculin and Nek1 antibodies and the localisation and expression of Nek1 was evaluated. Results showed a significant increase (*p* < 0.05) of Nek1 expression in the nucleus (Supplementary Fig. 3B, C) following treatment when compared to non-treated cells, suggesting that Nek1 translocated to the nucleus to act during the DDR signalling (Liu et al., 2013).

During the DNA damage repair triggered by TMZ-induced lesions, there is a formation of double-strand breaks (DSB) and when DSB establish in DNA, the histone 2AX (H2AX) flanks the damage site and it is phosphorylated (γ). Owing to the fact that γH2AX foci usually increases after DSB formation (Nakamura et al., 2006), its expression was also verified after TMZ treatment. The intensity of fluorescence of γH2AX and Nek1 increased and they were correlated (*p* < 0.05) (Supplementary Fig. 3B-E).

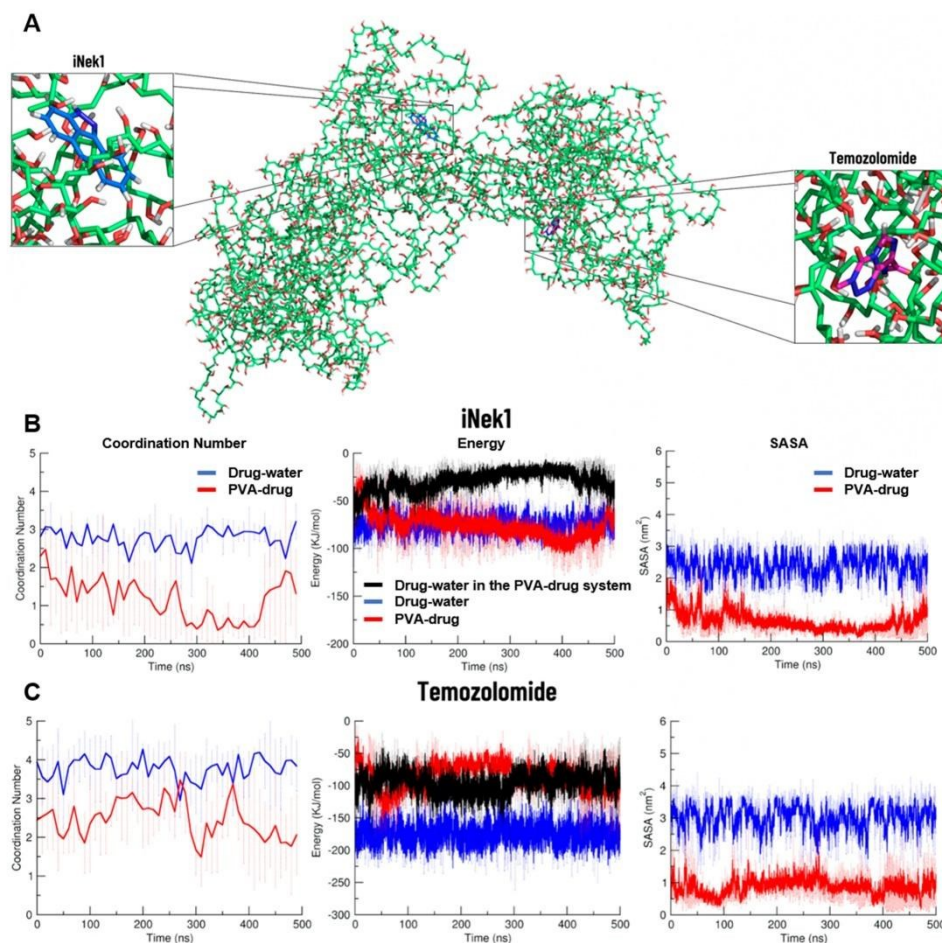
#### 3.2. JNK2 inhibitor *in silico* interaction with Nek1

Given Nek1's role in the DDR and its increased expression after TMZ exposure, we hypothesised that by inhibiting Nek1 and combining it with TMZ treatment, the GB therapy efficacy would improve. Aiming to inhibit Nek1 activity, an ATP-mimetic inhibitor was used, which has been previously found to inhibit Nek1's activity in almost 30% (to 71.5% ± 0.1%) at a 50 µM concentration (Moraes et al., 2015). Since the inhibitor was produced as a c-Jun NH (2)-terminal kinase 2 (JNK2) inhibitor, its *in silico* interaction with Nek1 and JNK2 was evaluated (Fig. 1).

Although Nek1 has two structures deposited in the Protein Data Bank (PDB ID 4APC and PDB ID 4B9D), it was necessary to carry out the



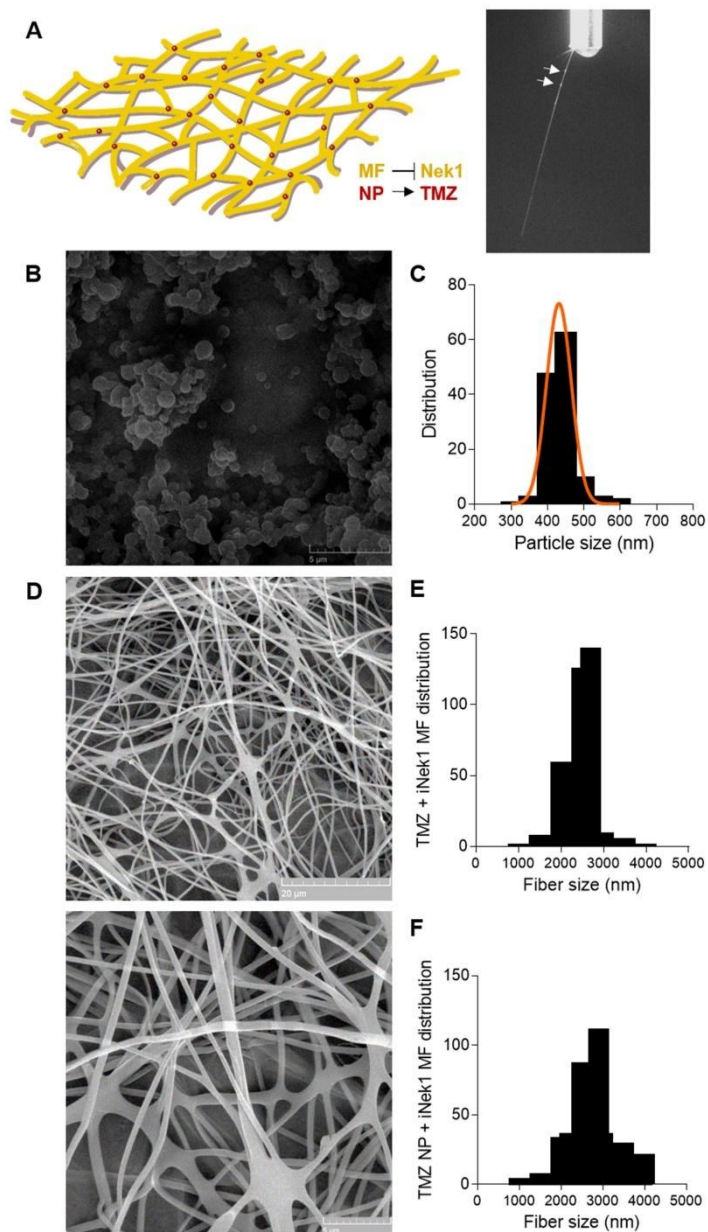
**Fig. 1.** JNK2 inhibitor II (referred to as iNek1) interacts with Nek1 and decreases cells viability. (A) Ramachandran plot for the new Nek1 model. In blue, the complete structure of Nek1 built in the present study. (B) Results of molecular docking calculations between Nek1 (blue) and JNK2 (green) proteins with iNek1 inhibitor. The iNek1 orientations on both structures are similar and binding energy values are practically the same for both proteins: JNK2-iNek1 = - 9.5 kcal/mol and Nek1-iNek1 = - 9.9 kcal/mol. (C) Main interactions between iNek1 and JNK2 or Nek1 proteins. Both systems have shown hydrophobic interactions (green lines). Hydrogen bonds between the inhibitor and JNK2 are shown as dashed lines, and Nek1-iNek1 pi-pi ( $\pi - \pi$ ) stacking interactions is shown as a dashed green line. (D) Cell viability analysis of TMZ, iNek1 or the co-treatment of TMZ + iNek1. Statistical analysis was performed using one-way ANOVA and Tukey post-test. Data were considered significant different at  $p < 0.05$  (\*) and  $p < 0.01$  (\*\*). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 2.** Interaction between the polymer, iNek1 and TMZ. (A) PVA, iNek1 and TMZ are presented as sticks highlighted in green, blue and pink, respectively. The frame was retrieved at 400 ns of MD simulations. (B, C) Coordination number (CN) of water molecules on nitrogen atom of imidazole rings, total interaction energy between PVA-drugs and water-drugs and average of solvent accessible surface area (SASA) on drugs during the molecular dynamics simulations. (B) Left: CN calculation of the system of PVA-iNek1 (red) and iNek1-water (blue). Middle: the total interaction energy between PVA-iNek1 (red), and the total interaction energy between water-iNek1 in each system, PVA-iNek1 (black) and iNek1-water (blue). Right: SASA of the system of PVA-iNek1 (red) and iNek1-water (blue). (C) Left: CN calculation of the system PVA-TMZ (red) and TMZ-water (blue). Middle: the total interaction energy between PVA-TMZ (red), and the total interaction energy between water-TMZ in each system, PVA-TMZ (black) and TMZ-water (blue). Right: SASA of the system PVA-TMZ (red) and TMZ-water (blue). Each point in the graphs represents the average of three simulations for each system. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

construction of a model by comparative modelling. The Nek1 structures available in the database presented problems, probably due to the resolution of the crystal, where parts of the sequence were not elucidated. These gaps in the structure were solved with the construction of a new model. The protein was modelled using the structure found under the PDB ID 4B9D. MODELLER9v17 (Webb and Sali, 2016) software was used, using as input an alignment of the two amino acid sequences carried out by the Clustal Omega (Sievers et al., 2011) software. The sequences were: complete Nek1 to be modelled, the crystal sequence of PDB ID 4B9D. The routine used in the production of the models was

model and 1000 models were built. Thus, among these built structures, the selected model was the one that had the best score in the evaluation of stereochemistry (analysed with the PDB sum server and the PROCHECK (Laskowski, 2001) program). The quality of the Nek1 model was checked with Procheck, Verify 3D and MolProbity. MolProbity revealed through the Ramachandran plot that 97.5% (275/282) of all residues were in favoured (98%) regions and 100.0% (282/282) of all residues were in allowed (>99.8%) regions. The results of the Ramachandran plot (Fig. 1A), and analysis of the dihedral angles  $\phi$  and  $\psi$  for the protein amino acids showed that the model presents acceptable results. It is clear



**Fig. 3.** Characterisation of the formulations. (A) Left: schematic representation of TMZ NP inside electrospun iNek1 MF. Right: representative image of the PVA solution with NP during electrospinning (white arrows demonstrate the NP inside of the MF). (B) TMZ NP SEM characterisation and (C) particle size distribution (the experiment was repeated 9 times). (D) TMZ + iNek1 MF morphology by SEM and (E) TMZ + iNek1 MF fiber size distribution. (F) TMZ NP + iNek1 MF fiber size distribution.

that there is a high number of residues in favourable regions in the model, and waste in unfavourable regions has an extremely low value in the model obtained, confirming a robust model. With these results, it is possible to infer that the model obtained has a suitable resolution compared to the Nek1 crystal, allowing us to obtain the complete three-dimensional structure of Nek1, which was used in the following steps of the work for molecular docking calculations.

It was possible to observe that iNek1 orientations on both structures are similar and binding energy values are practically the same for both proteins: JNK2-iNek1 = -9.5 kcal/mol and Nek1-iNek1 = -9.9 kcal/mol (Fig. 1B). Both systems have shown hydrophobic interactions (green lines), however, when compared both proteins, clearly, the JNK2 protein presents hydrogen bonds and Nek1 pi-pi ( $\pi$ - $\pi$ ) stacking interactions (Fig. 1C), suggesting that the inhibitor not only is capable of inhibiting Nek1, supporting previous studies (Moraes et al., 2015), but possibly the interaction of Nek1-iNek1 is stronger than iNek1-JNK2. It is important to state that since this inhibitor is an ATP-mimetic, it is capable of inhibiting other mitotic kinases not tested in this work, including other Neks (Moraes et al., 2015). Nevertheless, this extensive inhibition capacity could contribute to the results found here.

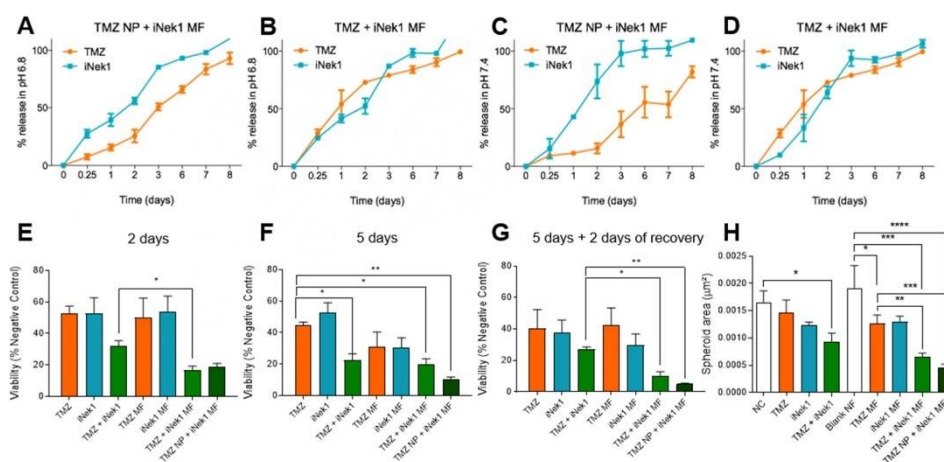
### 3.3. Nek1 inhibitor treatment decreases GB cells viability

Aiming to evaluate the efficacy of iNek1 in decreasing the viability of GB cells, a screening of iNek1 concentrations was performed (Supplementary Fig. 1B). The  $IC_{50}$  was found to be 54.4  $\mu$ M, thus, 50  $\mu$ M was chosen for the further experiments. Subsequently, U87MG and C6 cells were treated with the combination treatment (TMZ + iNek1) (Fig. 1D, Supplementary Fig. 4). It was observed that the co-therapy significantly decreased U87MG cells' viability after 5 days of treatment when compared to TMZ ( $p < 0.05$ ) or iNek1 ( $p < 0.01$ ) (Fig. 1D). Similarly, C6 cells' viability decreased following TMZ + iNek1 treatment when compared to TMZ ( $p < 0.05$ ) (Supplementary Fig. 4), indicating that inhibiting Nek1's activity enhances TMZ efficacy in killing GB cells.

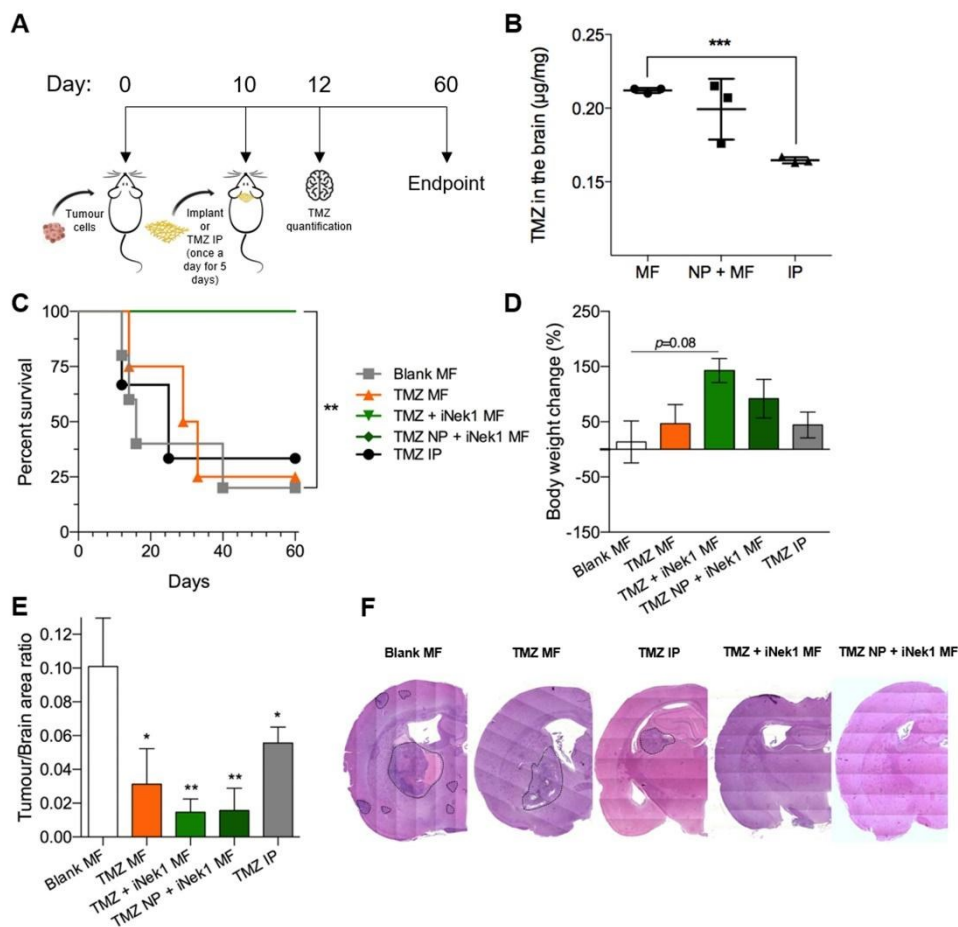
### 3.4. In silico interaction between PVA and iNek1 or TMZ

TMZ presents poor solubility in water and a short half-life in blood circulation along with severe side effects (Stupp et al., 2005; Corsa et al., 2006; Mutter and Stupp, 2006; Vera et al., 2004), considering these features, the current study aimed at the controlled release of TMZ. Moreover, given the difficulties of delivering drugs to the brain, a polymeric DDS for local application was developed. Prior to the production of the DDS, in order to assess the main interactions between PVA and iNek1 or TMZ, two systems were constructed: (1) iNek1 and TMZ in water and (2) PVA-iNek1 and TMZ in water. Each system was simulated in three independent runs to filter low probability conformational events (Nemec and Hoffmann, 2017; Perez et al., 2016) and the obtained data are shown as an average of these three simulations. With regards to the possibility of PVA interaction with the iNek1 and TMZ, the exposition of all molecules to solvent was investigated using different approaches as demonstrated in our previous study (Steffens et al., 2020). The analyses include the coordination number (CN) of water molecules, the total energetic contribution for the interaction between PVA-iNek1-TMZ and solvent-accessible surface area (SASA) surrounding the drugs (Fig. 2B, C), as well as the visual examination of drugs during the simulations (Fig. 2A). Accordingly, when complexed to the polymer, both drugs do not expose to solvent (Fig. 2A-C, Supplementary Fig. 5, 6). The CN of water molecules agreed with the interaction energy and SASA results, reinforcing the drugs' complexation with PVA. However, when comparing iNek1 and TMZ molecules, clearly iNek1 has more interaction with PVA than TMZ. The interaction energy data (Fig. 2B, C) have shown a higher interaction between iNek1 and PVA. In fact, the interaction of these molecules is in the same range of interaction energy of iNek1 with water. On the other hand, the interaction energy between the PVA and TMZ is lower when compared to the interaction of TMZ and water. The CN of waters is higher on TMZ than iNek1, reinforcing this data.

The visual examination (Fig. 2A) confirmed the interaction of the polymer with the drugs, probably through electrostatic interactions. It was possible to observe during the simulations that not all drugs'



**Fig. 4.** Drug release studies and *in vitro* efficacy analysis of the formulations. (A) TMZ NP + iNek1 MF and (B) TMZ + iNek1 MF using pH 6.8 buffer. (C) TMZ NP + iNek1 MF (D) TMZ + iNek1 MF using pH 7.4 buffer. (E) Cell viability analysis using the U87MG cell line of all formulations after 2 days of treatment, (F) 5 days of treatment or (G) 5 days of treatment + 2 days of recovery (media replenish). Results are expressed as mean in treated cells compared to vehicle-treated cells (negative control)  $\pm$  SD. (H) Cell spheroids size after 5 days of treatment + 2 days of recovery with or without the formulations. Data shown represent three independent experiments. Statistical analysis was performed using one-way ANOVA and Tukey post-test. Data were considered significant different at  $p < 0.05$  (\*),  $p < 0.01$  (\*\*),  $p < 0.001$  (\*\*\*) and  $p < 0.0001$  (\*\*\*\*).



**Fig. 5.** *In vivo* efficacy analysis of the formulations. (A) Schematic representation of the *in vivo* treatment: on day 0, the animals were orthotopically injected with C6 cells, following ten days, the animals were implanted with Blank MF, TMZ MF, TMZ + iNek1 MF, or TMZ NP + iNek1 MF, or treated with TMZ IP once a day for five days. The animals were monitored until end-point criteria or until the sixth day. In a separated experiment, three animals/group were implanted with TMZ MF or TMZ NP + blank MF or treated with TMZ IP, and on the twelfth day, the animals were euthanised for drug quantification within the brain. (B) TMZ quantification in the brain of treated rats. (C) Kaplan-Meier curve of the survival of the treated groups. (D) Body weight was measured weekly and the body weight change (%) was calculated at the end of the experiment. (E) Tumour/brain area ratio was measured by using ImageJ software. (F) Histological representation of the brains of the animals (tumour location is shown in dashed lines). Statistical analysis was performed using one-way ANOVA and Tukey post-test. Data were considered significant different at  $p < 0.05$  (\*),  $p < 0.01$  (\*\*),  $p < 0.001$  (\*\*\*) and  $p < 0.0001$  (\*\*\*\*).

molecules interact with PVA (Supplementary Fig. 5, 6). This result is in agreement with our previous work (Steffens et al., 2020), where we have been shown the controlled release of a TMZ analogue, dacarbazine, in PVA systems. Furthermore, the higher interaction of PVA with iNek1 when compared to TMZ helped to design the next steps of the work. The molecular dynamics simulations data were an important step in the present study to decide to produce TMZ-loaded NP to protect the drug from the solvent (Fig. 3A). As previously demonstrated, the TMZ has less affinity for PVA resulting in higher interaction with the solvent.

### 3.5. Formulations preparation and sterility validation

PVA electrospun MF were produced loaded with TMZ and iNek1 (TMZ + iNek1 MF), or MF loaded with iNek1 and TMZ NP (TMZ NP + iNek1 MF) (Fig. 3A – white arrows point to NP inside the MF during the electrospinning), which aimed the release of iNek1 prior to TMZ targeting Nek1's activity and then releasing TMZ. MF loaded with only TMZ or iNek1 were also produced for comparison reasons. To generate TMZ NP, TMZ was incorporated in nanostructured lipid NP by using an emulsion method. Prior to characterisation and efficacy testing, it was necessary to ensure that the implant samples are absolutely sterilised due to the further *in vitro* and *in vivo* analyses. In order to evaluate the

efficiency of the sterilisation process used for the MF, the samples were contaminated with *E. coli* and *S. aureus* and IPA was used for decontamination. There was no observable growth in any of the plates of the sterilisation groups, including those containing the MF. These results show that the IPA sterilisation method was suitable for eradicating bacterial contaminants from PVA MF (Supplementary Fig. 7). Even though this method has proven efficient, for future applications of PVA MF, sterilisation methods more related with pharmaceutical industry reality and clinical application must be established.

### 3.6. Formulations characterisation

Morphology, size and distribution of NP are essential in the evaluation of nanocarrier formulations (Zeng et al., 2016), with particle size showing a significant effect on drug release rates. In our experiments, TMZ NP presented a circular shape analysed by SEM (Fig. 3B) and a mean size of  $419.5 \pm 52$  nm and (Fig. 3C). Additionally, the particle surface charge determines its interaction with biological environments and its interaction with biologically active compounds (Giri et al., 2014). In general, optimum colloidal stability, where there is no particle aggregation, is improved when zeta potential is more positive or negative, around  $\pm 45$  mV (Krai et al., 2017). The zeta potential of the TMZ NP was found to be  $-31.6 \pm 0.7$  mV, therefore, we anticipated high stability and efficacy of the produced nanocarriers.

The MF were produced by electrospinning and were characterised according to their morphology and size using SEM (Fig. 3D-F). MF loaded with TMZ and iNek1 mixture presented a distribution size of  $2723 \pm 684$  nm (Fig. 3D, E, Supplementary Fig. 8), while TMZ NP + iNek1 MF showed a distribution size of  $2900 \pm 1142$  nm (Fig. 3F, Supplementary Fig. 8). Supplementary Fig. 8 shows TMZ + iNek1 MF after 2 h of incubation in PBS where the MF size increased only 2-fold indicating no significant water uptake, which would avoid possible side effects associated with sample swelling during the application *in situ*. Supplementary Fig. 8 shows curcumin MF with Blank NP inside, which could be used in future localised cell uptake *in vivo* studies.

The stability of the samples after drug encapsulation was examined by using NMR. In the  $^{13}\text{C}$  analysis (Supplementary Fig. 9A), stearic acid Blank NP presented peaks in 14.7 ppm (C11), 32.5 ppm (C3) and 182.1 ppm (C1), the other carbon peaks were not obtained. TMZ NP presented these three peaks corresponding to the stearic acid and peaks related to TMZ: 36.8, 128.1, 137.1, 140.3 and 164.9 ppm (Laszcz et al., 2013). PVA presents four NMR fingerprints peaks: a sharp peak related to  $\text{CH}_2$  (around 45 ppm) and three CH-OH typical peaks (between 60 and 80 ppm). It was possible to observe these peaks in all MF samples (Supplementary Fig. 9B). No significant peaks related to the drugs are visible given that the drug concentrations are very low in the formulations when compared to the polymeric concentration, however, the TMZ NP + iNek1 MF sample presented peaks related to stearic acid. No shifting peaks were observed; therefore, these results suggested that the formulations were stable and because of that, TMZ and iNek1 bioactivities were preserved in the formulations (Vashisth et al., 2015).

To investigate the total percentage of encapsulated drugs into the formulations, the samples were totally dissolved in DMSO and the EE% was measured by using the absorbance values. The EE% of TMZ from the TMZ NP formulation was found to be  $79.3 \pm 6.8$ , whereas the EE% of TMZ from the MF formulation presented an EE% of  $75.2 \pm 5.9$ . The EE% of iNek1 from the MF formulation was  $79.2 \pm 7.3$ . Finally, TMZ + iNek1 MF were incubated in PBS buffer and the sample's weight was recorded daily to assess the MF weight loss. The results showed that the MF lost 50% of their initial weight after 30 days and 80% after 40 days (Supplementary Fig. 10).

### 3.7. MF drug release studies

Given that the GB site presents an acidic extracellular pH around 6.8 (Honasoge and Sontheimer, 2013), we decided to investigate the drug

release using two different pH: 6.8 and 7.4. GB cells usually change their metabolic route from oxidative phosphorylation to glycolysis and when this happens the cells release lactate and  $\text{H}^+$  generating an acid microenvironment, which has been associated with tumour progression and resistance (Honasoge and Sontheimer, 2013; Estrella et al., 2013).

The resulting drug release from the formulations provided a continuous release profile of TMZ and iNek1 over 8 days (Fig. 4A-D). The TMZ NP + iNek1 MF samples presented 50% release of TMZ after 3 days in pH 6.8 (Fig. 4A) and 6 days in pH 7.4 (Fig. 4C), whereas, in the TMZ + iNek1 MF formulation, TMZ was 50% released after 1 day in both pH: 6.8 (Fig. 4B) and 7.4 (Fig. 4D). This observation indicates the interesting approach of nanocarriers inside fibers to slow the release of drugs such as TMZ. iNek1 was 50% released after  $\sim 2$  days in pH 6.8 (Fig. 4A, B) and pH 7.4 (Fig. 4B, D).

### 3.8. *In vitro* efficacy of formulations

To evaluate the efficacy of the formulations in decreasing GB cells viability, U87MG cells were used and tested in pH 6.8 media at three different treatment points: 2 days, 5 days or 5 (+2 days of recovery = media replenish) days and compared to the previously found  $\text{IC}_{50}$  of the drugs. For the control samples, the results (Supplementary Fig. 11) visibly shown a lack of cytotoxicity of the unloaded formulations, since the percentage of viable cells was  $>90\%$  after all treatments (Blank MF, Blank NP, or Blank NP-loaded Blank MF). However, the MF might not facilitate cell attachment, and this can explain the slight decrease in cell viability.

All treatment strategies (with and without formulations) significantly decreased cell viability when compared to the non-treated cells at all treatment points ( $p < 0.01$ ) (data not shown). After 2 days of treatment, the TMZ + iNek1 MF was more efficient in decreasing cell viability than the co-therapy without formulation (Fig. 4E), however, after 5 days of treatment no differences were found between the co-therapies (MF versus no formulation), nevertheless, the three co-therapy treatment groups (TMZ + iNek1, TMZ + iNek1 MF and TMZ NP + iNek1 MF) were more effective reducing cell viability when compared to TMZ alone (Fig. 4F). Interestingly, after 5 (+2 days of recovery) days, both TMZ + iNek1 MF and TMZ NP + iNek1 MF were more effective than the co-therapy without formulation (Fig. 4G). To confirm these results, the formulations were tested in 3D cell spheroids for 5 (+2 days of recovery) days (Fig. 4H). It was possible to observe that the co-therapy of TMZ + iNek1 significantly decreased spheroid size when compared to the vehicle-treated spheroids (Fig. 4H), however, no differences were found when compared to the drugs alone. Contrasting, both formulations, TMZ + iNek1 MF and TMZ NP + iNek1 MF, were able to significantly reduce spheroid size when compared to Blank MF, TMZ MF and iNek1 MF (Fig. 4H). This result suggests the importance of inhibiting Nek1 in GB to improve the response to TMZ, moreover, the prolonged and sustained treatment provided by the MF could significantly maintain the therapeutic effect of TMZ and iNek1.

### 3.9. *In vivo* efficacy in glioblastoma therapy

Next, it was evaluated if the formulations could provide higher concentrations of TMZ within the brain when compared to TMZ delivered via IP (Fig. 5A, B). Three animals/group were euthanised after 2 days of TMZ MF or TMZ NP + Blank MF implantation or TMZ IP treatment and their brains were collected for TMZ quantification. MF provided the highest concentration of TMZ in the brain, and it was statistically significant when compared to TMZ IP ( $p < 0.001$ ) (Fig. 5B). Moreover, it was possible to observe a higher variation among the animals treated with TMZ NP + Blank MF, suggesting that this formulation provides less TMZ release uniformity, but still, the mean concentration was higher than for the TMZ IP-treated animals.

For the *in vivo* efficacy evaluation of the formulations, the tumour-bearing animals were implanted with Blank MF, TMZ MF, TMZ +

iNek1 MF, TMZ NP + iNek1 MF or treated with TMZ IP (once a day for five days; (Cancer), and were followed up for 60 days or until they reached end-point criteria (Fig. 5A). The survival analysis (Fig. 5C) demonstrated no statistical differences for the Blank MF when compared to TMZ MF or IP, where the Blank MF-treated rats' median survival was found to be 16 days, and 25 and 31 days for the TMZ IP and TMZ MF-treated groups, respectively. However, all animals treated with either co-therapy formulation (TMZ + iNek1 MF or TMZ NP + iNek1 MF) survived until the 60th day (Fig. 5C). With exception of the Blank MF-treated rats, all animals presented a positive body weight change after treatment (Fig. 5D), demonstrating that the treated rats were able to maintain or increase their weights. The lack of side effects observed was supported by the biochemical analysis (Supplementary Fig. 12), as we did not detect any significant sign of renal and pancreatic toxicity. Moreover, macroscopic analysis of kidneys and lungs revealed no signs of organ-specific toxicity (data not shown). Regarding liver function, ALT, which is a specific marker of liver damage, showed a significant decrease in all treatment groups, even so remaining within the reference values (21–52 IU/L). Similar results were observed in AST, which can also be found in muscles (reference values: 96–200 IU/L) (Palmeiro et al., 2003). Blank MF-treated rats showed increased values for both ALT and AST, however, due to the high SD of these groups, and the absence of significant differences in other markers, such as GGT and ALP, this set of results may be of no clinical relevance, suggesting that there was no collateral toxicity associated with the implants.

The tumour/brain area ratio of the rats implanted with either co-therapy MF was found to be reduced by 5-fold when compared to Blank MF-implanted rats ( $p < 0.001$ ) (Fig. 5E, F), furthermore, both delivery strategies for TMZ (MF or IP) were able to significantly ( $p < 0.05$ ) reduce tumour size when compared to the control (Fig. 5E, F). Other studies have shown promising results associated with the local implantation of fibers and wafers (Reviewed by (Norouzi, 2018)), nevertheless, only a few studies have compared the formulations with the standard treatment using TMZ and/or other antitumour drugs, therefore, it is a challenge to predict the real efficacy associated with the implant formulations and if the risks associated with the implantation are worthwhile. Even though our study demonstrates great potential, TMZ and iNek1 release rates must be improved to decrease the probability of tumour recurrence.

Despite the fact that brain implants and wafers are effective in controlling tumour growth locally, several side effects have been previously reported, hence, this management strategy might be a double-edged sword because of the chance of severe symptomatic oedema within the brain (Kuramitsu et al., 2014), nonetheless, these side effects are strongly related to high concentrations of cytotoxic drugs. The results shown in this manuscript revealed that it is possible to achieve a successful treatment outcome with reduced drug concentration combined with a DDR-related kinase inhibitor by using a versatile and straight-forward technique. Finally, the NP inside MF strategy could be used for a plethora of protein inhibitors, including DDR-related targets, and/or gene therapy such as RNA interference technologies that might need extra protection during delivery.

#### 4. Conclusion

GB presents a high capacity of tumour recurrence, consequently, localised and controlled therapy approaches could provide an alternative to enhance chemotherapy efficacy and reduce systemic toxicity. In addition, GB resistance is related to oncotargets upregulation, and the impairment of their cellular activities could improve treatment efficacy. Nek1, one of these possible oncotargets, was previously related to cell proliferation and TMZ-resistance. Our results support previous findings (Zhu et al., 2016) and strongly suggest that Nek1 is an important target in GB cells and its inhibition significantly decreases cell viability when combined with TMZ. Furthermore, in this study, polymeric brain-implants prepared using TMZ and iNek1 were effectively produced,

characterised and their anticancer efficacy was determined. The formulations revealed a high drug loading, which prolonged the drug's release improving the antitumor effects of iNek1 and TMZ. The produced brain-implants may be promising approaches for innovative *in situ* therapies, however, further research aiming to improve and prolong the drug release rate must be engaged.

#### Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Statement of authors' contributions to manuscript

L.S.R. conceived and planned the experiments, produced and characterised the formulations. L.S.R. and A.M.M. carried out cell experiments and statistical analysis. L.S.R., A.M.M., J.G.H., M.B.F., E.B. and P.O.S. performed the *in vivo* experiments. P.R.A. developed the *in silico* simulations and analysis. J.O.M., G.R.B. and C.S.D. contributed to sample preparation and drug quantification. M.C.H.B. conducted the sterilisation of the formulations. L.S.R. wrote the original draft. L.S.R. wrote the manuscript in consultation with A.M.M., J.G.H., M.N. and D.J.M. D.J.M. and M.N. acquired the funding used in this project. All authors reviewed the final manuscript.

#### Appendix A. Supplementary data

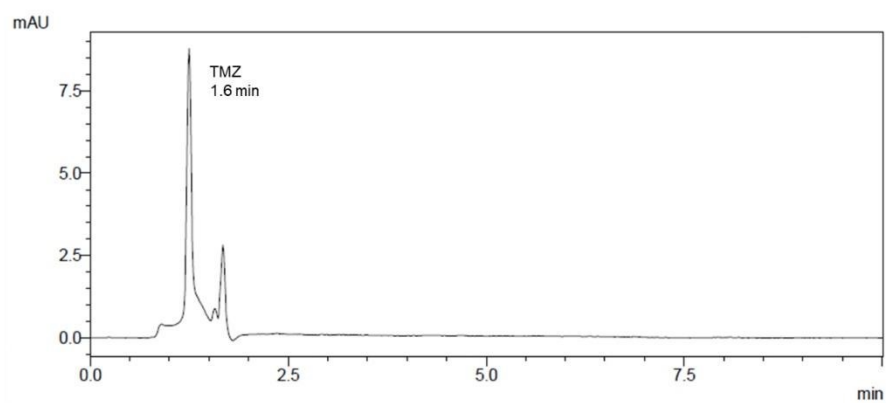
Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ijpharm.2022.121584>.

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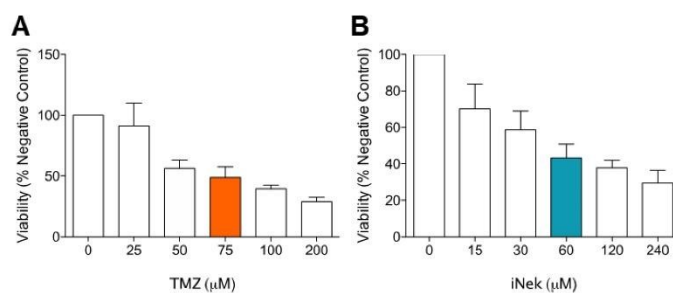
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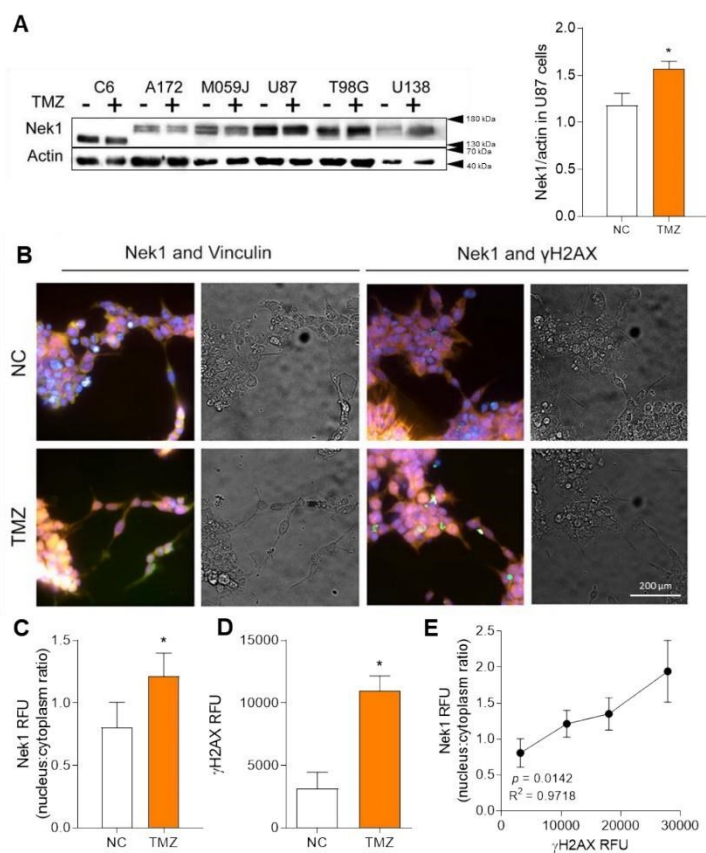
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**Supplementary**

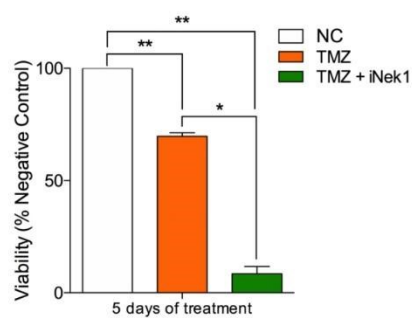
**Supplementary Figure 1:** TMZ chromatogram. The running time was set at 10 min and the retention time of TMZ was found to be 1.6 min. mAU: milli-Absorbance Units.



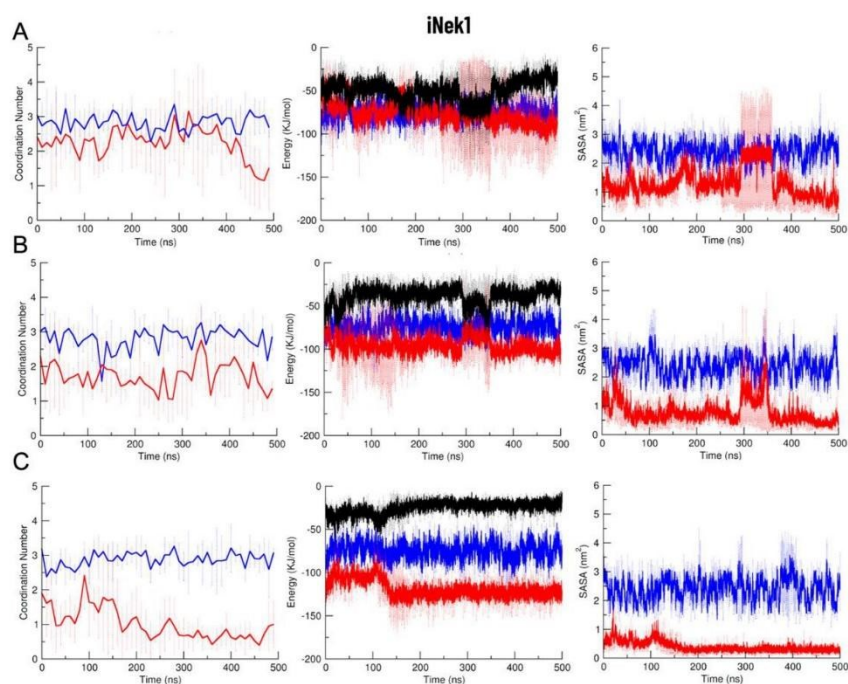
**Supplementary Figure 2:** A range of concentrations of TMZ or iNek1 was used to select the IC<sub>50</sub> of the drugs. (A) TMZ and (B) iNek1 viability analysis in U87MG cells. Data shown represent three independent experiments.



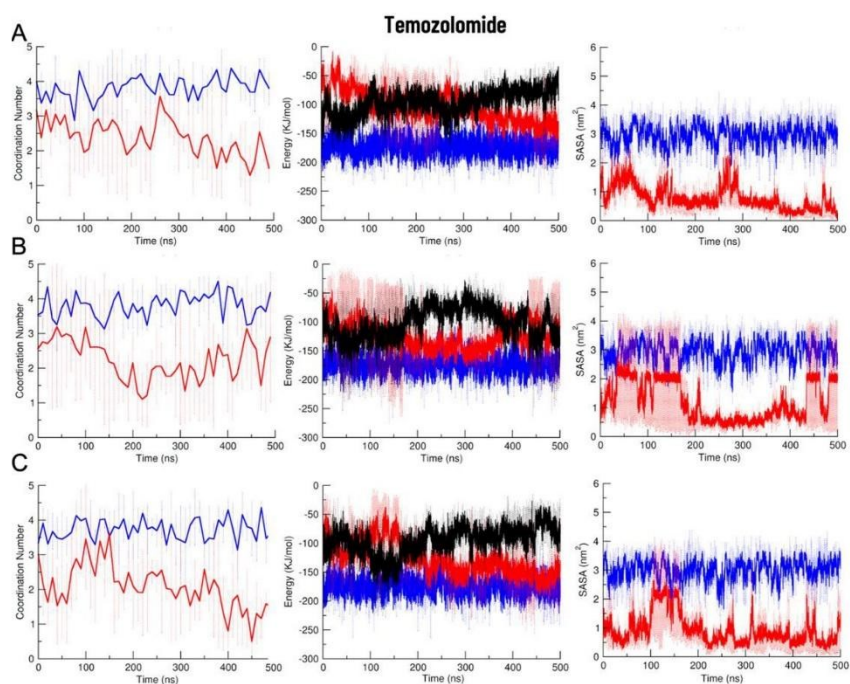
**Supplementary Figure 3:** Nek1 expression analysis in GB cells. (A) Left: several GB cell lines were treated with TMZ or vehicle for 24 h and the expression of Nek1 was assessed by western blotting.  $\beta$ -actin was used as loading control. Right: quantification of Nek1/actin expression ratio in U87MG cells. (B) Immunofluorescence evaluation of Nek1 and vinculin (left) and Nek1 and  $\gamma$ H2AX (right). Merge and bright field images are shown of cells treated with TMZ or vehicle (negative control: NC). (C) The ratio of Nek1 fluorescence intensity in the nucleus:cytoplasm. (D)  $\gamma$ H2AX fluorescence intensity quantification. (E) Nek1 fluorescence intensity and  $\gamma$ H2AX fluorescence intensity correlation. Results are expressed as mean in treated cells compared to NC  $\pm$  SD. Data shown represent three independent experiments. Statistical analysis was performed using unpaired t-test or Pearson's correlation coefficient. Data were considered significant different when compared to NC at  $p < 0.05$  (\*).



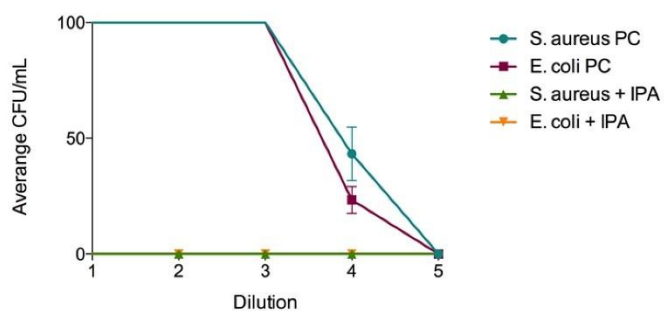
**Supplementary Figure 4:** C6 cells' viability analysis of TMZ or the co-treatment of TMZ + iNek1. Statistical analysis was performed using one-way ANOVA and Tukey post-test. Data were considered significant different at  $p < 0.05$  (\*) and  $p < 0.01$  (\*\*).



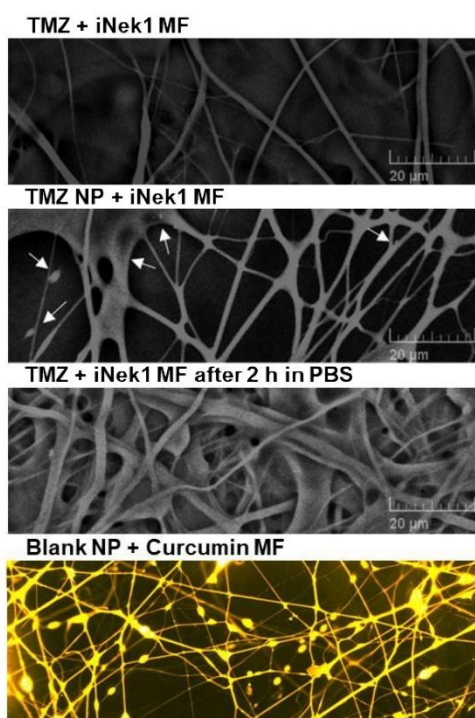
**Supplementary Figure 5:** The three simulations for each system with iNek1. Coordination number of water molecules (CN) on nitrogen atom of iNek1 imidazole ring, total interaction energy between PVA-iNek1 and Water-iNek1 and average of solvent accessible surface area (SASA) on drug during the molecular dynamics simulations. Left: CN calculation of the system of PVA-iNek1 (red) and iNek1-water (blue). Middle: the total interaction energy between PVA-iNek1 (red), and the total interaction energy between water-iNek1 in each system, PVA-iNek1 (black) and iNek1-water (blue). Right: SASA of the system of PVA-iNek1 (red) and iNek1-water (blue).



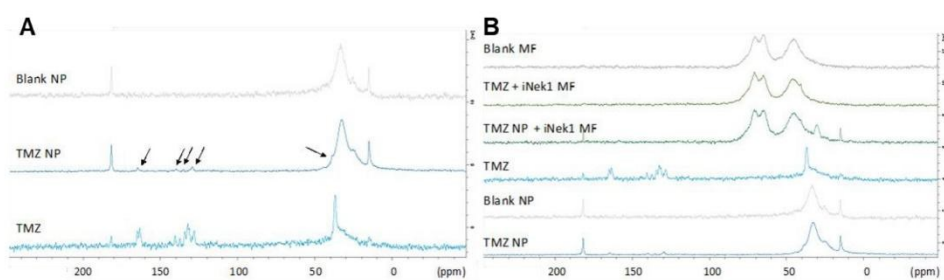
**Supplementary Figure 6:** The three simulations for each system with TMZ. Coordination number of water molecules (CN) on nitrogen atom of TMZ imidazole ring, total interaction energy between PVA-TMZ and water-TMZ and average of solvent accessible surface area (SASA) on drug during the molecular dynamics simulations. Left: CN calculation of the system PVA-TMZ (red) and TMZ-water (blue). Middle: the total interaction energy between PVA-TMZ (red), and the total interaction energy between water-TMZ in each system, PVA-TMZ (black) and TMZ-water (blue). Right: SASA of the system PVA-TMZ (red) and TMZ-water (blue).



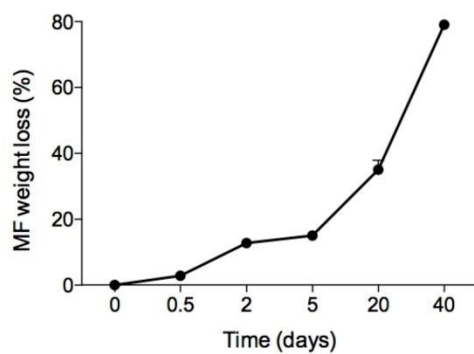
**Supplementary Figure 7:** Serial dilutions of MF suspension contaminated with bacteria after and without (positive control: PC) sterilisation by using IPA. Results are expressed as average colony-forming unit (CFU)/mL. Data shown represent three independent experiments.



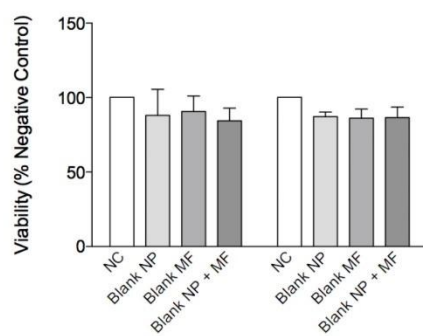
**Supplementary Figure 8:** Representative pictures of the MF formulations. From top to bottom: TMZ + iNek1 MF, TMZ + iNek1 MF and TMZ + iNek1 MF after 2 hours immerse in saline solution observed by SEM, and curcumin MF loaded with Blank NP observed by fluorescence microscopy.



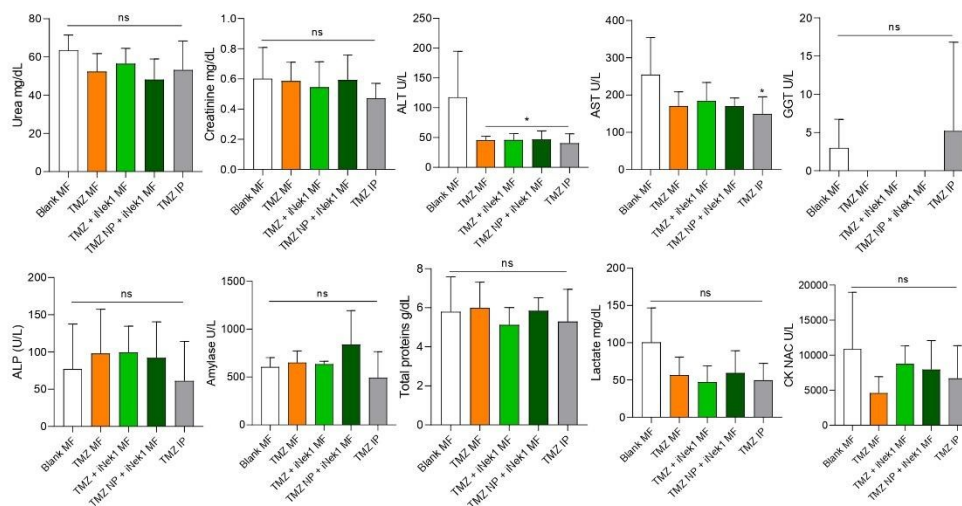
**Supplementary Figure 9:** NMR evaluation. (A)  $^{13}\text{C}$  NMR of the NP formulations and TMZ. (B)  $^{13}\text{C}$  NMR of the NP and MF formulations and TMZ.



**Supplementary Figure 10:** MF weight loss (%). MF were incubated with saline solution and the sample's weight was recorded daily. Results are expressed as mean  $\pm$  SD. Data shown represent three independent experiments.



**Supplementary Figure 11:** Cell viability evaluation of the blank formulations. Results are expressed as mean in treated cells compared to  $NC \pm SD$ . Data shown represent three independent experiments.

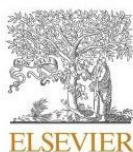


**Supplementary Figure 12:** Biochemical evaluation of urea, creatinine, amylase, aspartate aminotransferase (AST), alanine aminotransferase (ALT), alkaline phosphatase (ALP), gamma-glutamyl transferase (GGT), total protein, lactate, and creatine kinase (CK) in the serum. Statistical analysis was performed using one-way ANOVA and Tukey post-test. Data were considered significant different at  $p < 0.05$  (\*).

**ANEXO B**

*Recent developments in drug delivery strategies for targeting DNA damage response in glioblastoma*

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Review article

## Recent developments in drug delivery strategies for targeting DNA damage response in glioblastoma

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## ABSTRACT

Glioblastoma is the most frequent and malignant brain tumor. The median survival for this disease is approximately 15 months, and despite all the available treatment strategies employed, it remains an incurable disease. Preclinical and clinical research have shown that the resistance process related to DNA damage repair pathways, glioma stem cells, blood-brain barrier selectivity, and dose-limiting toxicity of systemic treatment leads to poor clinical outcomes. In this context, the advent of drug delivery systems associated with localized treatment seems to be a promising and versatile alternative to overcome the failure of the current treatment approaches. In order to bypass therapeutic tumor resistance mechanisms, more effective combinatorial therapies should be identified, such as the use of cytotoxic drugs combined with the inhibition of DNA damage response (DDR)-related targets. Additionally, critical reasoning about the delivery approach and administration route in brain tumors treatment innovation is essential. The outcomes of future experimental studies regarding the association of delivery systems, alternative treatment routes, and DDR targets are expected to lead to the development of refined therapeutic interventions. Novel therapeutic approaches could improve the life's quality of glioblastoma patients and increase their survival rate.

## 1. Introduction

Glioblastoma (GBM) is the most malignant and frequent primary central nervous system (CNS) tumor, representing 30% of all CNS tumors and 80% of CNS primary malignant tumors [1]. Despite all recent progress in treatment strategies, there are no current curative therapeutic options for GBM, and its median survival is approximately 15 months from the time of diagnosis [2]. GBM is histologically defined as astrocytoma and molecularly classified according to the isocitrate dehydrogenase (IDH) status and O<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT) promoter methylation [3,4]. GBM exhibits a diffuse nature, and its complete etiology and pathophysiology are not yet known. Although GBM can occur at any age, it should be noted that the incidence increases with age, with the average diagnosis age being around 65 years [5].

The standard therapy of newly diagnosed GBM consists of maximal safe resection, followed by radiotherapy (RT) plus concomitant and

adjuvant temozolomide (TMZ)-based chemotherapy (CT). However, there are several challenges. The highly infiltrative nature of GBM makes complete surgical resection nearly impossible, and the recurrence is inevitable [6,7]. RT and CT directly or indirectly induce cell death through DNA damage [8,9], and several biochemistry pathways influence the therapy success. Also, the genetic background significantly affects the treatment outcome. The cellular response comprises a complex signaling cascade named the DNA damage response (DDR), which is responsible for recognizing, signaling, and correcting DNA damage (Fig. 1).

Different kinds of lesion formed in the DNA require specific DNA repair pathways which allow damage resolution and might contribute to radio and chemoresistance [10]. In this regard, a plethora of treatment approaches aiming at novel molecular targets have been developed, which could be used as therapeutic alternatives. Nevertheless, most of them fail during clinical trials, suggesting that a single targeting strategy does not improve therapeutic outcomes [5,11]. The failure related to

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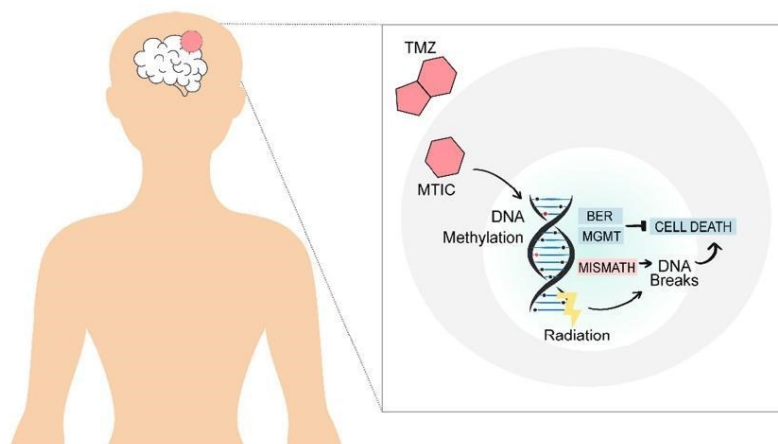
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**Fig. 1.** Direct and indirect DNA damage induced by chemo- and radiotherapy. The mechanism of action of chemotherapy based on temozolomide administration as well as radiotherapy is associated with the modulation of DDR pathways, which can promote cell survival through DNA repair or lead cells to cell death. Abbreviations: Base excision Repair (BER), O-6-methylguanine-DNA methyltransferase (MGMT), 5-(3-methyl triazen-1-yl)imidazole-4-carboxamide (MTIC), Temozolomide (TMZ).

these approaches might be associated with the compensatory DDR mechanisms, high systemic toxicity, lack of drugs' stability, and insufficiency of *in vitro* and *in vivo* studies demonstrating the efficacy of novel drugs [12].

To bypass GBM resistance mechanisms and decrease treatment side effects, well-designed drug delivery systems (DDS) associated with alternative exposure pathways have become crucial for GBM therapy. Hence, the purpose of this review is to explore how DDR mechanisms contribute to GBM treatment resistance and to present how drug delivery strategies could be used to fine-tune the therapy targeting DNA repair and revert the unhappy out in GBM treatment.

## 2. The current clinical treatment protocol of glioblastoma (GBM)

GBM is known for its diffusely infiltrative profile that invades multiple lobes and both hemispheres of the brain. Hence, establishing the extent of resection and defining how to balance the benefits and risks of surgery prove to be extremely complicated [13]. Even though high resection rates are usually predictive of survival, it is necessary to thoroughly evaluate the improvement in survival with postoperative neurological deficits as neurological morbidity [14]. Despite being beneficial, surgery has limited efficacy, thus indicating that a combined treatment modality is required.

After surgery, GBM treatment involves a partial-brain fractionated RT of 30 fractions of 2-Gy over six weeks with concomitant daily TMZ (75 mg/m<sup>2</sup> P.O.) 1 h prior. This multimodal treatment is followed by six to twelve cycles of adjuvant TMZ at 150 mg/m<sup>2</sup>/day (days 1–5 of a 28-day cycle). The dose can be increased if it is well tolerated by the patient. Wang et al. [15] showed that oral CT plus RT contributed significantly to the improvement in the overall survival (OS) and progression-free survival (PFS) in patients with newly diagnosed GBM when compared to RT alone [16,17]. Still, establishing the ideal therapy in the management of GBM is challenging due to the complexity of this disease and response variability among the general population.

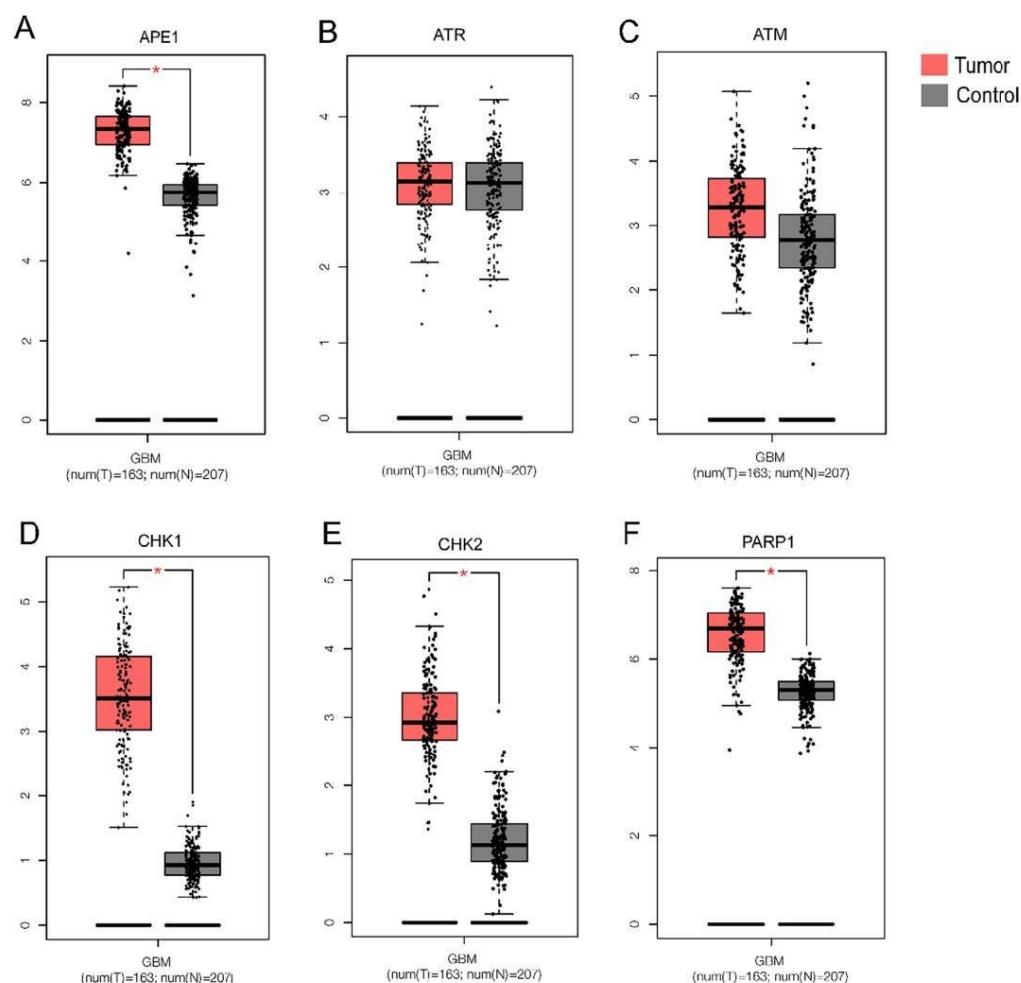
There are several other drugs considered for recurrent gliomas (to review treatment and resistance mechanisms of recurrent GBM, read Campos et al. [18], Diaz et al. [19] and Nam and de Groot [4]). A second

alkylating agent, namely lomustine, is frequently used and applied in association with a monoclonal antibody, bevacizumab, in some cases [20]. Recently, Herrlinger et al. [21] demonstrated the effectiveness of the association between lomustine and TMZ *versus* TMZ in a phase III study (ClinicalTrials.gov number NCT01149109). The average OS rate was increased from 31.4 to 48.1 months [21], suggesting a significant improvement in treatment outcome.

RT is a treatment approach that uses ionizing radiation (IR) (e.g., X-ray) to eliminate tumors or prevent malignant cells from growing and metastasizing [22]. Although the cellular death mechanism associated with RT exposure is not completely understood, cellular death is known to be caused by two main reasons, namely cellular stress and DNA damage [9,22]. The DNA damage is caused by the direct interaction of X-ray with several small and macromolecules, inducing DNA single- and double-strand breaks (SSB and DSB respectively), DNA crosslinks, and DNA-protein crosslinks, and by an indirect mechanism caused by reactive oxygen species (ROS) and reactive nitrogen species (RNS). The cellular water radiolysis induced by IR can produce superoxide anion (O<sub>2</sub><sup>-•</sup>), hydroxyl radicals (OH<sup>•</sup>), and hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>), which cause organelles and macromolecules damage, including oxidation of DNA bases, SSB, abasic sites, and DSB [23].

Despite the several different types of damage triggered by IR, the most harmful effect of radiation is a clustered DNA damage, defined as two or more lesions formed by the passage of one radiation track, which could be bistrand or in tandem to the DNA strand localization [24]. DSB clusters can lead to chromosome aberrations and cell death, and non-DSB clusters can induce mutations and chromosomal abnormalities that increase the genomic instability of cancer cells [25]. However, tumor cells can adapt their responses to radiation by increasing cellular defenses to neutralize cellular stress activating hypoxia in order to decrease the oxygen supply and limit the production of ROS [26,27]. Furthermore, tumor cells can augment their antioxidant defenses to neutralize oxidative molecules before promoting any cell damage [23].

CT is generally used in combination with RT to treat gliomas, and the most frequently used drug is TMZ, which is rapidly absorbed intact and can cross the blood-brain barrier (BBB). After being absorbed, TMZ undergoes a spontaneous hydrolysis process in pH > 7 and is converted to its active metabolite 5-(3-methyl triazen-1-yl) imidazole-4-



**Fig. 2.** Expression of DNA repair genes. The expression of the main repair genes involved in the resistance process was evaluated by comparing 163 patients with GBM to 207 controls derived from the GEPIA dataset (<http://gepia.cancer-pku.cn/index.html>) [34]. The analysis was performed by ANOVA, the  $-\log_{10}P$  Cutoff was 0.01 (q-value 0.01) with the match between TOGA and GTEx data. Abbreviations: DNA-(apurinic or apyrimidinic site) endonuclease (APE1), Ataxia telangiectasia and Rad3 related (ATR), Ataxia telangiectasia mutated (ATM), Checkpoint kinase 1 (CHK1), Checkpoint kinase 2 (CHK2), poly(ADP-ribose) polymerase 1 (PARP-1), Glioblastoma (GBM).

carbozamide (MTIC) [28]. MTIC is further hydrolyzed to methyl-diazonium cation and 5 aminoimidazole-4-carboxamide (AIC) [29].

TMZ belongs to the class of alkylating agents, and its mechanism of action consists of the transference of its electrophilic alkyl group to the most nucleophilic atom within the DNA [29]. The methyl-diazonium cation preferentially methylates DNA at the N3 position of adenine and N7 position of guanine (90%) but also methylates O<sup>6</sup>-guanine (5–10%). Despite being the least frequent lesion, O<sup>6</sup>-methylguanine (O<sup>6</sup>-MG) is the most cytotoxic and mutagenic lesion leading to the insertion of thymine during the subsequent DNA replication phase [29]. TMZ also induces methylation of macromolecules, such as proteins and lipids. Unfortunately, even though the TMZ-induced methylation of

macromolecules has been of great biological importance, it is poorly understood and little is known about its therapeutic contribution [30].

Certainly, the inclusion of TMZ in the newly diagnosed glioma treatment regimen represented an increase in the patient's survival. However, there are several critical consequences associated with the systemic administration of TMZ, such as gastrointestinal or hematological effects [31]. In addition, its clinical use is limited by the need for higher systemic doses for achieving therapeutic effects in the brain [12].

### 3. Therapeutic resistance process in GBM

Improvements in the GBM treatment have contributed to

ameliorating patients' quality of life and survival outcomes. Nevertheless, the improvements do not translate the considerable technological advances that have occurred in the biomedical areas in the past years. Several resistance mechanisms are related to the failure of current treatments against GBM, including the DNA repair mechanisms, the BBB and blood-brain tumor barrier (BBTB), and the glioma stem cells [10,12], which can be addressed to improve therapy outcomes.

### 3.1. Lesions induced by chemotherapy (CT) and radiotherapy (RT) can be restored by DNA repair mechanisms

The role of DDR in carcinogenesis and tumor resistance is closely dependent on the timing of evaluation and DNA damage type. The DDR-related proteins have been associated with the two following important aspects: at the beginning of gliomagenesis, DDR breaks the expansion of malignant cells. However, when the cancer cells and tumor niche are installed, DDR contributes to enduring the genomic instability and correcting damages caused by external agents such as CT drugs or radiation [8]. Both CT and RT aim to generate direct DNA damage triggering cell death. A complex protein network is activated in response to different DNA lesions to mediate cellular changes (e.g., cell-cycle arrest) and to directly repair the lesion. Cells activate different repair mechanisms depending on the cellular context and the type of substrate or lesion to be corrected. The repair mechanisms are pro-survival and are related to the resistance process and tumor recurrence [32,33].

In order to evaluate the expression of the members of DNA repair systems, the gene expression of therapeutic targets in human samples was investigated (Fig. 2). The specific role of each of these genes will be discussed in sequence, however, it can be observed in Fig. 2 that the DDR markers are upregulated in tumor samples compared to control samples, contributing to the resistance process in the GBM cells.

There are three main DNA repair pathways that process TMZ alkylation lesions: direct repair by MGMT, base excision repair (BER), and mismatch repair (MMR) [32,33]. O<sup>6</sup>-MG lesions are directly repaired by the one-step enzyme MGMT. The expression of *MGMT* is correlated with the resistance to TMZ, mainly because MGMT removes the methyl group from O<sup>6</sup>-MG, restoring the integrity of guanine bases in the DNA. The benefits of alkylating agents are largely restricted to patients whose tumors show methylation of *MGMT* promoter [35,36]. If not repaired by MGMT, the thymine misincorporation that occurred during the replication of O<sup>6</sup>-MG activates the MMR pathway. This process enters in a futile cycle which replaces the misincorporated thymine with another thymine, leading to energy-consuming cycles, replication fork arrest, and DNA breaks. The conversion of misincorporation errors to a DSB activates DSB repair pathways, and, if the repair fails, apoptosis is triggered [8].

Most of the TMZ-induced lesions, such as N3-methyladenine and N7-methylguanine, are primarily repaired by the BER pathway. Consequently, a functional BER pathway contributes to TMZ resistance and is associated with a worse prognosis in GBM [29]. Additionally to alkylation lesions, the oxidative lesions in DNA bases are usually repaired by BER. In this pathway, a glycosylase initiates the repair process by breaking the glycosidic bond and forming an abasic site. Endonucleases are responsible for cleaving the phosphodiester bond, and finally, the gap is further repaired by DNA polymerases, DNA ligases, and XRCC1 ([23,37]; to review this repair pathway in detail, read [38]).

APE1 is a multifunctional enzyme involved in different activities depending on the protein domain [29]. The main function of APE1 in BER is to create a nick in the phosphodiester backbone of the AP site that has been established when the DNA glycosylase removed the damaged base. When the repair process is concluded, the damage is restored, which contributes to the survival of tumor cells [39]. *APE1* expression is shown in Fig. 2A as being overexpressed in tumor samples. Hudson et al. [40] investigated pre-treatment and posttreatment GBM to identify molecular changes following treatment and recurrence of disease and also demonstrated that specimens had molecular changes that

correlated with known resistance mechanisms, including increased expression of APE1. Moreover, APE1 contributes to chemoresistance, facilitating the BRCA1-mediated Homologous recombination (HR) repair in response to DSB [41]. Thus, inhibiting APE1 is an interesting strategy to induce toxicity and decrease the resistance of GBM to TMZ [42].

As previously mentioned, DSBs are highly toxic radiation-induced DNA lesions, and their repair can trigger genomic rearrangements and mutation or apoptosis. The response to DSB starts with the MRN complex (MRE11, RAD53, and NBS1 proteins) sensing the lesion. This complex activates the damage signaling mediated by two proteins related to cell cycle checkpoint mechanisms, namely Rad3-related protein (ATR) and ataxia telangiectasia mutated (ATM), whose action will culminate in DNA damage repair. These proteins phosphorylate downstream targets to coordinate several processes. ATM activates Chk1 and Chk2 to control cell cycle, allowing cell cycle arrest, and 53BP1 and H2AX to model chromatin [43]. ATM phosphorylates p53, inducing G1-arrest by p53-p21 pathway and preventing cells with damaged DNA from entering S-phase [5,44]. As shown in Fig. 2, ATR and ATM are not differently expressed in the evaluated samples, however, Chk1 and Chk2 are highly expressed in GBM patients' samples (Fig. 2B-E).

The repair of DSB can be mediated by HR, an error-free pathway that is dependent on DNA ends resection and template availability, or by Non-homologous end joining (NHEJ) which repairs the damage without the necessity of a template and is hence error-prone [8,45]. Defining which pathway the cells will activate depends on several regulation steps, including DSB extension and availability of DNA repair proteins ([5]; to review these pathways, consult Kakarougkas and Jeggo [43] and Ranjha et al. [46]).

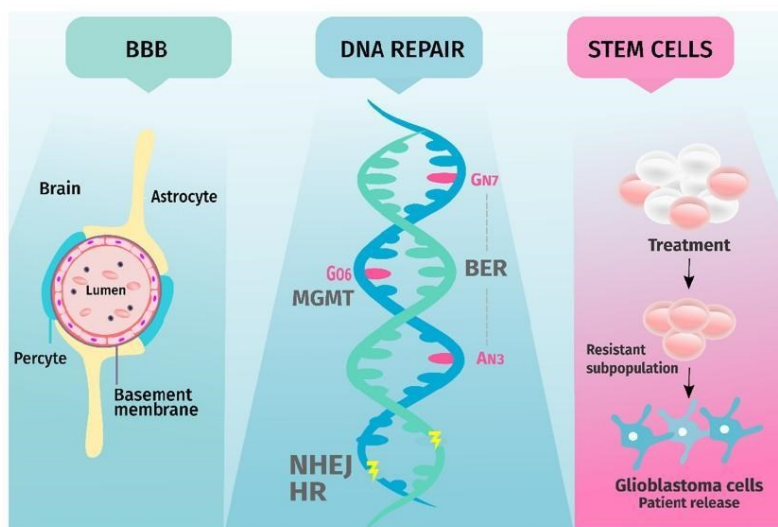
The repair of SSB involves the participation of a protein named poly (ADP-ribose) polymerase 1 (PARP-1). PARP1 bound to DNA strand breaks and assists the repair signaling of this type of lesion by producing a poly (ADP-ribose) chain from the substrate NAD<sup>+</sup> [47]. Furthermore, its expression is higher in GBM than non-tumoral tissue (Fig. 2F). In accordance, Galia et al. [48] demonstrated that *PARP-1* is expressed in 27 GBM samples, and Murnyak et al. [47] showed that *PARP-1* upregulation is a characteristic of high-grade astrocytomas and that high *PARP-1* levels are negatively associated with patient survival. Since PARP1 is overexpressed in GBM and its function corresponds to an anti-apoptotic factor that generates cell death resistance, it has been studied as a promising therapeutic target [49].

Contrary to TMZ-related lesions, RT induces damage in clusters whose repair involves a more complex mechanism than individual damage sites. The efficiency of DNA repair proteins can be inhibited by the difficult access to the DNA damage site, thus increasing the repair process time. These unrepaired clusters may generate additional DSB, which increases the genomic instability [25]. DSB in clusters can also induce a shift between DSB repair pathways, which increases genomic instability and cell death even more [50]. In consequence, the cells' ability to repair DNA lesions is compromised by increasing the complexity of the damage, which indicates the importance of RT-induced lesions in therapy and presents possibilities of radioresistance modulation.

### 3.2. Selective permeability of blood-brain barrier (BBB) and blood-brain tumor barrier (BBTB) can cause treatment resistance

The brain is a complex and delicate organ that involves a vast mechanism of defense formed by a huge vascular network of over 100 billion capillaries tightly joined to the endothelial cells, pericytes, astrocytes, and microglia of the CNS [51,52]. As a result, it causes several challenges to therapeutic approaches, including chemotherapeutic interventions, which are often unable to cross the BBB, rendering the therapy ineffective in many cases of brain cancer [53].

The transport across the BBB may occur by two different pathways: (i) the paracellular transport where substances pass between the



**Fig. 3.** Cellular mechanisms contributing to GBM resistance. Several resistance mechanisms are related to the failure of current treatments against GBM including Blood-brain barrier, DNA repair mechanisms, and the glioma stem cells. The restricted permeability of the blood-brain interface decreases drug levels in the brain, while the DNA repair pathways act on DNA methylations caused by TMZ and the breaks caused by radiation inducing tumor cell survival, and finally, the resistance of tumor stem cells to treatment and later differentiation in GBM cells contribute to GBM recurrence.

Abbreviations: Blood-brain barrier (BBB); Base excision Repair (BER), O-6-methylguanine-DNA methyltransferase (MGMT); Non-homologous End Join (NHEJ); Homologous Recombination (HR).

endothelial cells and (ii) the transcellular transport where substances pass across the luminal side of the endothelial cells. Similarly, some substances may require other transport mechanisms such as the carrier and receptor-mediated transport. Molecular size and weight, surface charge and lipophilicity of molecules, and integrity of the BBB are common characteristics that regulate these pathways [54]. Besides, the intravascularly administered drug is known to be distributed asymmetrically in smaller amounts to the brain but in larger amounts to other tissues [55,56].

The specialized brain endothelium cells exert barrier properties and are essential to protect the brain from potentially neurotoxic compounds. The normal BBB is vital not only to protect the brain but to also supply it with nutrients and oxygen. The functioning and organization of BBB can be altered in pathological conditions like high-grade gliomas [57]. Thus, in gliomas, their rapid growth and migration are maintained by a structure that resembles the BBB [58]. In this structure, tumor vasculature is in most cases different from the normal vasculature, with branching pattern and cellular and molecular components considerably different from a normal vasculature. The tumor cells damage the BBB and as an effort to grow even more, they create new vascular networks and form a BBTB, which is distinct from the BBB, allowing greater permeability in bulk tumor areas and the opposite in the peripheral ones [59]. Moreover, the capillaries from this vascular system are distended and formed by leaky walls, presenting a sluggish flow and a high interstitial pressure due to the internal accumulation of fluid, which makes this set of factors responsible for variability in drug delivery [60].

Although BBB plays a crucial role in maintaining the local homeostasis in healthy brains by hindering the entrance of substances from the blood, it has been a significant obstacle for brain drug delivery [61,62].

### 3.3. Glioma stem cells as key drivers of tumor resistance

Glioma stem cells (GSCs) are a small subpopulation of cells within tumors with capabilities of unlimited self-renew and are different to all cell populations present in original tumors [63]. After the first line of chemoradiotherapy, a restricted cell population of stem cells activates DDR, inducing a resistant profile. This cell population might be responsible for the inevitable recurrence of GBM ([64,65,175]).

One explanation for resistance mediated by GSCs is the high levels of DNA replication stress caused by radiation exposure that activates DDR. GSCs constitutively exhibit stress replication caused by replication/transcription collisions and consequent upregulation of DDR, which triggers radioresistance [66]. Previous studies found an association between radioresistance and CD133 status, where the results demonstrated that CD133+ cell populations increase the basal response to DSB, exhibiting active phosphorylation of proteins related to cell cycle checkpoints, such as Rad17, Chk1, and Chk2. Besides, the activation of ATM after radiation exposure is exacerbated in CD133+ cell populations [8,67,68]. The properties of GSCs allow these cell populations to be exposed to CT and later differentiated into highly proliferative tumor cells that might be more resistant than non-stem tumor cells. Consequently, as the local concentration of TMZ cannot eliminate the differentiated cells, tumor recurrence might occur [69].

Fig. 3 summarizes the three main GBM resistance mechanisms. The restricted permeability of the blood-brain interface decreases drug levels in the brain, while the DNA repair pathways act on DNA methylations caused by TMZ and the breaks caused by radiation inducing tumor cell survival. Finally, the resistance of tumor stem cells to treatment and later differentiation in GBM cells contribute to GBM recurrence.

**Table 1**  
Clinical studies (clinical trials database - NIH) carried out with inhibitors of DNA damage response-related targets.

Author or clinical trial ID	Year	Study type	Target	Repair pathway	Drug	Outcome
Quinn et al.	2009a	Phase I	MGMT	Direct repair	O <sup>6</sup> -BG	Schedule dose definition. Tolerance limited by myelosuppression
Quinn et al.	2009b	Phase I	MGMT	Direct repair	O <sup>6</sup> -BG	1 of 34 patients responded to the treatment. Hematological effects in 48% of the patients.
Adair et al. (NCT00669669)	2014	Phase I/II	MGMT	Direct repair	O <sup>6</sup> -BG	increase tolerated cycles of 1.7 to 4.4 PFS = 9 months OS = 20 months
NCT01587144 Gupta et al.	2012 2016	Phase II GBM PDX lines grown as orthotopic xenografts	APE1 PARP1	BER BER	Lucanthon Veliparib	Trial in progress Increase the TMZ efficiency in MGMT-hypermethylated lines
NCT02152982	2014	Phase II/III	PARP1	BER	Veliparib	Trial in progress
NCT01514201	2012	Phase I/II	PARP1	BER	Veliparib	OS 3 years = 5.3%; PFS 3 years = 2.9%
Abida et al. (NCT02588105)	2018	Phase I	ATM	DDR	AZD0156	Tolerance limited by hematological toxicity
NCT03423628	2018	Phase I	PARP1 ATR	DDR DDR	Olaparib AZD1390	Trial in progress

Abbreviations: ataxia-telangiectasia mutated (ATM); Poly [ADP-ribose] polymerase 1 (PARP1); DNA-(apurinic or apyrimidinic site) endonuclease (APE1); O<sup>6</sup>-benzylguanine (O<sup>6</sup>-BG); O<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT); DNA damage response (DDR); Base Excision Repair (BER).

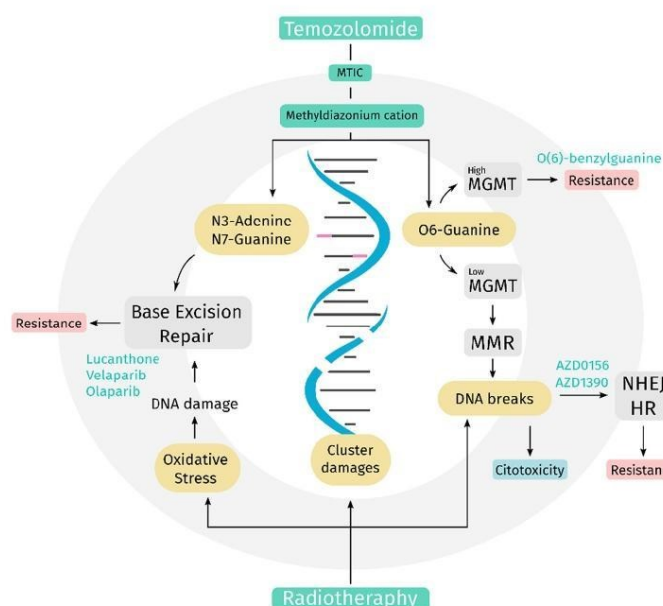


Fig. 4. Repair pathways activated in each damage caused by classical therapies. TMZ is a prodrug that is spontaneously converted to its active metabolite MTIC in a spontaneous hydrolysis process in pH > 7. MTIC is further hydrolyzed to methyl diazonium cation and 5-aminoimidazole-4-carboxamide (AIC). The cation is the active compound responsible for the delivery of methyl groups to DNA, mostly at guanine residues. Approximately 90% of lesions are N3-methyladenine and N7-methylguanine, however, just 5–10% are O<sup>6</sup>-MG. Radiotherapy can cause direct damages as DNA breaks or indirect by reactive species generation. However, the most harmful effect of radiation is a clustered DNA damage, defined as two or more lesions formed by the passage of one radiation track. Each of these damages is repaired by specific pathways, which increase the resistance. Several inhibitor molecules have been tested in clinical trials as adjuvant drugs (green). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

Abbreviations: O<sup>6</sup>-methylguanine-DNA methyltransferase (MGMT); Mismatch Repair (MMR); Non-homologous End Join (NHEJ); Homologous Recombination (HR); 5-(3-methyl triazen-1-yl)imidazole-4-carboxamide (MTIC).

#### 4. Therapies for glioblastoma targeting DNA damage response

One of the disadvantages of traditional cancer therapy is its absence of specificity. This is easily observed by the fact that the current chemotherapeutic protocols do not only affect the cancerous cells but also damage healthy cells and tissues surrounding the tumor or, in some cases, compromise the entire system, making the risk-benefit ratio even more doubtful [70,71]. Inhibitors of DDR could be a strategy to overcome the resistance, which may provide a therapeutic advantage to reduce tumor recurrence [72]. In this sense, many efforts have been expended to improve the effectiveness of RT and CT. Clinical results of drugs that are emerging as options for modulating the response to DNA damage in GBM (Table 1 and Fig. 4) will be discussed below [73,74].

O<sup>6</sup>-benzylguanine (O<sup>6</sup>-BG), a synthetic derivative of guanine and competitor of O<sup>6</sup>-MG, acts as an inhibitor of MGMT. There are 18 clinical trials registered with O<sup>6</sup>-BG. Quinn et al. [75] published studies of phase I and phase II that evaluate the treatment of TMZ plus O<sup>6</sup>-BG. The phase I trial established the best schedule of treatment and found that myelosuppression was the limiting effect. The phase II trial demonstrated that only one of 34 patients with GBM responded to the addition of O<sup>6</sup>-BG in the treatment schedule and the main signal of toxicity was hematological side effects [76]. This compound, when combined with TMZ or BCNU, was able to bypass tumor resistance when tested in clinical trials (phase I and II) [75–79,176]. Adair et al. [80] reported an interesting strategy to increase the tolerance and efficacy of the combination of O<sup>6</sup>-BG with other alkylating agents (NCT00669669). Patients received

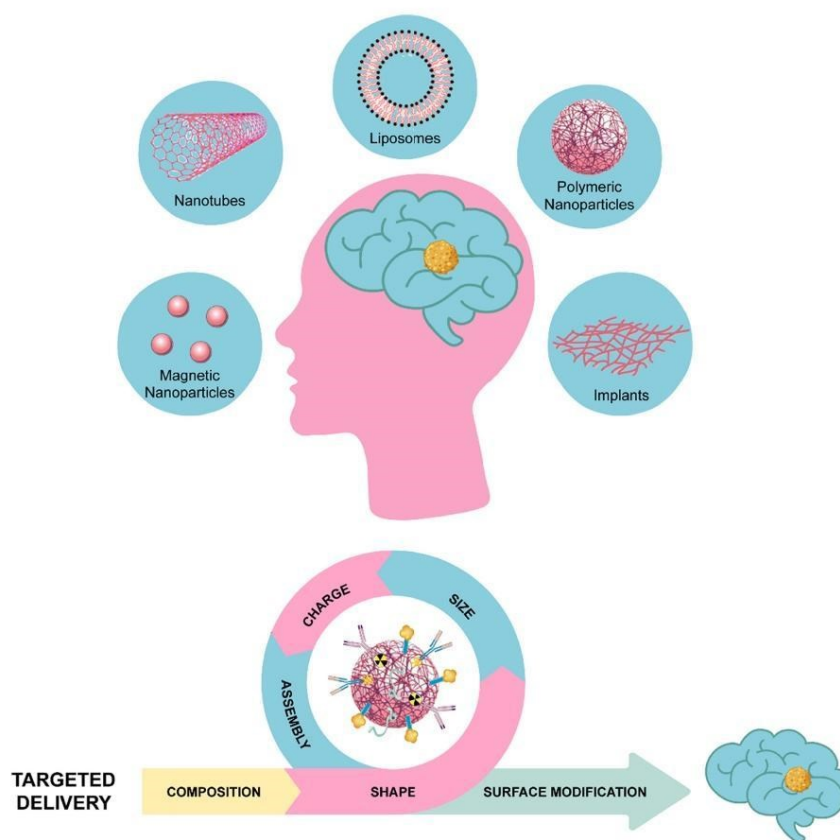


Fig. 5. Drug delivery strategies to target GBM. DDS including magnetic nanoparticles, nanotubes, liposomes, polymeric nanoparticles, and implants can be developed to deliver CT drugs and gene-based therapies to the brain. These strategies can be modified among their physical and chemical properties to generate nanosystems able to recognize and target cancerous cells.

*MGMT* mutant *P140K* gene-modified autologous hematopoietic CD34<sup>+</sup> cells which provide chemoprotection against hematopoietic toxicity. The gene therapy increases the mean number of tolerated cycles from 1.7 to 4.4, and the main outcomes were PFS from a diagnosis of nine months and median OS of 20 months. This strategy implies that an increase in the TMZ dose leads to better tolerance of patients to TMZ and survival outcomes. Nevertheless, the study was carried out with only a few patients, and a larger scale study is certainly needed to determine the real impact of this therapy. However, the strategy indicates that adverse effects can be reduced and patients' tolerance to TMZ can be increased.

Lucanthon is a thioxanthone-based DNA intercalator and inhibitor of DNA repair proteins like topoisomerases and APE1 [81]. A phase II study (NCT01587144) evaluates the safety and efficacy of lucanthon as an adjunct with TMZ and RT, however, the results were not available at the time of the manuscript's submission.

Five registered clinical trials can be found with the terms GBM and PARP1. Gupta et al. [82] demonstrated that veliparib increases TMZ efficiency in *MGMT*-hypermethylated GBM cell lines evaluated in orthotopic xenografts, and this was established as an eligibility criterion

for the Clinical Trial NCT02152982, a phase II/III study that investigates the combination of TMZ and veliparib compared to only TMZ (there were no results reported at the time of the manuscript's submission). However, a phase I/II study (NCT01514201) that evaluated veliparib, RT, and TMZ in the treatment of younger patients with newly diagnosed diffuse pontine gliomas showed that hematological and gastrointestinal adverse effects can limit veliparib tolerance and that the OS and PFS do not demonstrate a major impact of adding PARP1 inhibitor to the treatment (OS 3 years = 5.3%; PFS 3 years = 2.9%). These unsatisfactory observations could be due to the employment of a systemic treatment approach (oral administration).

As previously discussed, radioresistance is responsible for the treatment failure and relapse in GBM [44], and ATM/Chk2 certainly represents one of the factors that contribute to radioresistance owing to its role as a DSB sensor [83]. Previous studies indicated that ATM is a prognosis factor related to longer survival in GBM [8,84–87]. Romano et al. [44] evaluated ATM protein expression in 21 GBM patients, and a high p-ATM score (++/++) strongly correlated to shorter survival ( $p = 0.022$ ). In accordance, Squatrito et al. [83] showed in pre-clinical models that the loss of a single copy or both copies of ATM

significantly accelerates glioma formation in mice. Based on these reports, researchers have been testing the clinical application of ATM inhibitors. Due to ATM's pro-survival role in DDR, its inhibition induces DNA damage accumulation, which improves the RT response. AZS1390 and AZD0456 are oral drugs available in GBM tests that have been included in clinical evaluations [88]. Even though ATM has been described as a promising target, there is no clinical data to support the application of ATM inhibitors. In the clinical trials database, there is one study with AZD0156 (NCT02588105) that aims to assess the safety of ATR inhibition in combination with other anticancer drugs in patients with advanced solid tumors. A summary published by the authors' reports that hematologic toxicity decreases the tolerance of AZD0156 with PARP inhibitors [88,89]. Moreover, a phase I study is currently evaluating the safety of AZD1390 in GBM and other brain tumors (NCT03423628) but is still at the recruitment step.

The clinical data shows that although there are many targets considered as promising in the DDR context (Fig. 4), the results are not highly promising in the GBM therapy. It is important to understand that in the context of DNA repair, the inhibition of an overexpressed target associated with the resistance process, for example, is only suitable if it happens at the tumor tissue. Otherwise, it can trigger side effects in normal cells, changing the normal DDR of healthy cells, and this can explain the hematological toxicity caused by the combination of olaparib and AZT0156 for instance [89]. Therefore, the pursuit for alternative therapeutic methods is a necessity for GBM therapy and could be achieved by using DDS that can deliver drugs into the brain and maintain the drugs stable.

## 5. Overview of drug delivery systems (DDS) for cancer therapy

In a way to overcome the lack of success of the systemic administration of drugs, the advent of DDS seems to be a promising and versatile perspective once this field of research has a range of materials that can be used to increase the cellular uptake of several chemotherapeutic agents [70]. Since this approach allows the mixture of diverse materials by several techniques, it facilitates the combination of different molecules (even the poorly soluble ones), increases their protection from earlier degradation, modifies their targets, modulates membrane interactions, and decreases side effects [90].

Those formulations can be administered by using different routes, which facilitates the delivery to several tissues, especially in brain tumors such as GBM, since this organ is constantly surrounded and shielded by the BBB, not to mention the BBTB that provides extra protection for the tumor microenvironment. Even in cases where those strategies might not be available, the use of localized treatment approaches to bypass some DDS drawbacks is still possible [91,92].

### 5.1. Use of nanotechnological structures as delivery systems to decrease toxicity and increase efficiency

The conception of complex nanocarriers (Fig. 5) allows the internalization and protection of drugs improving aspects related to the therapy outcome, including the efficiency of drug delivery in a specific target, the physicochemical stability, the surpassing of biological barriers and pharmacological properties, and the administration of different structures, such as proteins and RNAs; besides, multiple agents conjugate in a single nanosystem [93]. Since their surfaces can be modified with targeting molecules, nanocarriers acquire functions that not only deliver molecules to a specific location but also hold a more ample functionality since they may avoid bioactive compounds' early degradation and the consequent loss of effectiveness [94]. Also, the drug targeting concentrates the molecules in the aimed location, avoiding several effects and sometimes allowing the use of lower concentrations and spacing treatment schemes [95], not to mention that these nanocarriers may be able to accumulate inside tumors due to their reduced lymphatic drainage, which is one of the main characteristics of the

enhanced permeability and retention (EPR) effect which will be discussed below.

With regard to their main advantages, nanotechnological structures can be designed by several approaches using lipidic and/or polymeric materials, which will generate structures such as liposomes, micelles, exosomes, polymeric and inorganic nanoparticles, and polymer conjugates. The selection of the most suitable material depends on the physicochemical characteristics and interactions between the system and the molecule to be integrated, the route of administration, and the target [96,97].

Liposomes are the most common form of lipid nanosystems and are based on phospholipidic vesicles that allow the loading of hydrophilic agents inside them and hydrophobic molecules at their lipid bilayer [97]. Their composition assembles to the cell membrane, increasing their biocompatibility compared to other nanomaterials, and their structure acts as a shell that protects the drug from early degradation. Even so, disadvantages such as poor solubility and oxidation of their phospholipids could appear. Nevertheless, these disadvantages may be solved by physicochemical and structural adjustments [98,99].

Micelles are colloidal nanostructures with the amphiphilic feature, formed by an external shell with sufficient polarity grade to dissolve in aqueous solutions and an internal hydrophobic core. They are a good approach to increasing the bioavailability of low-solubility drugs [100,101]. Contrary to liposomes and micelles, the exosomes are extracellular vesicles secreted by almost all cell types, and these multiple origins summed to their bilipid layer allow them to interact with different tissues and carry different molecules [102–104].

Polymeric nanoparticles are biodegradable and biocompatible solid colloidal structures that enable therapeutic agents with different characteristics to be entrapped, encapsulated, or adsorbed onto the polymeric matrix. They encompass numerous types of natural or synthetic polymers, such as chitosan, albumin, poly(lactide-co-glycolide) (PLGA), poly(acrylic acid) (PAA), polylactide (PLA), and polyvinyl alcohol (PVA), all of them being capable to result in nanocapsules or nanospheres, depending on the process of formation. For differentiation purposes, when compared to natural polymers, the synthetic ones are feasible for better controlled or sustained drug release systems, whereas the difference between nanocapsules and nanospheres is based on the drug allocation (confined to the first one and dispersed all over the second one) [105–107]. Finally, polymers can also be covalently bonded to proteins, drugs, and other molecules as a way of avoiding a possible lack of interaction that may be present by polymeric nanoparticles. Once formed, these polymer conjugates can extend drug circulation time in the blood and improve their bioavailability and local burst release, which reduces adverse effects [108–110].

Inorganic nanoparticles are a wide group that consists of silica, magnetic, silver, and gold structures, which have a relatively easy development process in common, showing a surface engineering and physicochemical properties that provide them with special biocompatibility features [111,112]. Among these different types of nanoparticles, the gold ones have shown interesting results owing to their surface plasmon resonance which turns light into heat and kills local cells by the hypothermia caused [113,114]. Similarly, silver nanoparticles have remarkable conductivity properties that allow them to internalize into cells by endocytosis and release their content to the cytoplasm [115].

### 5.2. Administration routes to overcome blood-brain barrier (BBB) selectivity

The GBM resistance process is partially imposed by the BBB, which acts as a filter for several molecules, and is not a task to be easily overcome. Other consequences of GBM occurrence may constitute obstacles for brain drug delivery, such as the aggressive infiltration of the tumor, which releases cancer cells into neighboring areas, leading to a recurrent glioma, and the low level of drug diffusion that may cause unexpected local toxicity, reinforcing the requirement of DDS with

**Table 2**  
Strategies and routes for the delivery of nano carriers on the brain.<sup>a</sup>

Strategies	Route	Advantages	Limitations	Reference
Intravascular delivery	Cross <sup>b</sup>	Selective drug delivery High-dose chemotherapy	Lack of treatment to the contralateral hemisphere	[60]
Intracerebral delivery	Bypass <sup>b</sup>	Targeting	Slow diffusion within the brain	[60,116]
Intrathecal and intraventricular infusion	Bypass	High concentrations in cerebrospinal fluid	Invasive Limited concentrations	[51,173]
Intranasal deliver	Bypass	Non-invasive	Local irritation Low efficiency	[51,116]
Intracarotid infusion	Bypass	Free drug diffusion	Passage of pathogens	[61]
Interstitial delivery	Bypass	Sustained and/or controlled release	Invasive Limited distribution through extracellular space	[51]
Transmucosal drug delivery	Bypass	High concentrations delivery	Cost	[61]
Implantable DDS	Bypass	DDS depot directly placed into the extracellular space	Cost	[118]
CED	Bypass	Minimally invasive	Cost	[119]
Direct intratumoral drug administration	Bypass	Fewer side effects	Not feasible depending on the tumor location	[118]
Targeted delivery	Cross	Selective drug delivery High-dose chemotherapy	BBB	[120]
BBB disruption	Bypass	Momentary and localized effects	Non-selective for specific drug/purpose	[118,120]

Abbreviations: blood-brain barrier (BBB); convection-enhanced delivery (CED).

<sup>a</sup> Strategies that cross the BBB are considered to be those that are systematically distributed and can cross the barrier, reaching the brain tissue. On the other hand, strategies that bypass the BBB are locally distributed, not needing to cross the BBB.

reasonable diffusion levels [91,92].

To date, several strategies have been explored to overcome BBB and therefore enhance local drug delivery [116]. These approaches can be divided into physiological, pharmacological, and invasive models [117], encompassing conventional strategies, such as the barrier disruption and transport systems modifications, and alternative methods involving DDS into the brain that can cross or bypass the BBB completely, such as nanoparticle carriers, focused ultrasound, and intrathecal, intraventricular, intranasal and interstitial routes (Table 2).

Once these DDS find a gateway for drug delivery to the brain, they can take advantage of the permeable tumor site vasculature, poor local lymphatic drainage, and compression of lymphatic vessels to reach tumoral environment and accumulate *in situ*, thus reducing systemic cytotoxic effects [121]. This peculiarity, referred to as the EPR effect, can be manipulated by modifications in the nanoparticles' structures, such as their size, shape, physicochemical properties, and porosity [93].

Although the EPR effect diverges between both patients and tumor characteristics, considering its relevance has become the center of several cancer treatments [90], especially when this effect can be used as an enhancer in the treatment of tumors placed beyond physiological barriers that make the therapeutic target almost unreachable, such as brain tumors.

#### 5.2.1. Localized treatment of glioblastoma (GBM) can overcome chemotherapy drawbacks

Localized treatment involves the direct administration of drugs, such as gene delivery, chemotherapeutics, and immunotherapeutics, to the tumor site. This approach has been considerably studied in view of decreasing the adverse effects related to systemic CT. Among the benefits of local administration, the increased amount of drug at the tumor site and the decreased side effects on healthy cells significantly improve the efficacy of treatment. Thus, combining localized treatment with DDS nanocarriers can significantly increase drug stability by targeting the drug release directly into the tumor without the need to bypass biological barriers. Moreover, when these systems are directly delivered to the tumor site, formulations can be generated with diminished drug load. Owing to these advantages, the local treatment modality has been widely studied in the literature [118,122,123] and will only be briefly discussed here. The concept of localized treatment is known to be an easy solution for bypassing BBB, and the DDS used locally can be delivered in different ways as follows:

- (i) local implant: depot of DDS directly placed into the extracellular space;

- (ii) convection-enhanced delivery (CED): using a pressure head to drive a drug or DDS through the extracellular space. The CED is a method that delivers therapeutics directly through the interstitial spaces of the CNS by an infusion catheter. Results demonstrated prolonged survival of mice treated with CED etoposide compared to control mice [119];

- (iii) direct intratumoral drug administration;

- (iv) target delivery: cellular receptors-mediated delivery;

- (v) BBB disruption: opening the tight junctions in the BBB for a short period and in a specific site.

In GBM, the localized approach is being used mainly to avoid the recurrence by targeting vascular endothelial growth factor (VEGF) overexpressing cells with monoclonal antibodies and the drug bevacizumab since the recurrent tumor is highly vascular [18,120]. Until now, the results indicated that the localized application significantly improves the efficacy of these drugs [124].

Regarding the implantable DDS, several attempts have been made to produce functional brain implants [125–130]. However, the only implant that has been approved by the Food and Drug Administration (FDA) is the Gliadel®. This wafer aims the sustained release of 1,3-bis(2-chloroethyl)-1-nitrosourea (BCNU or carmustine), but its use has only produced modest improvement in patients' survival [131,132]. The implantable therapy approach, combined with controlled-release polymer-based DDS, could allow the use of drugs that were previously not utilized for GBM treatment due to their systemic toxicity, poor availability, and BBB bypassing. Thus, it opens a possibility to target new cellular pathways to treat GBM.

#### 6. Drug delivery strategies applied in the DNA damage context for glioblastoma (GBM)

Despite the treatment management used against GBM, this disease is still an important challenge for the current medicine although several drugs and DDS have been tested. In several cases, the *in vitro* results are very promising but without success in *in vivo* GBM models.

Many reasons can explain this discordant result, including the low selectivity of the treatment and the incapacity of crossing the BBB. For instance, the application of Topoisomerases II poisons against GBM reflects one of these problems. These drugs impair the action of Topoisomerase II, an enzyme that removes the supercoiled DNA events by creating DSB, passing a separate DNA duplex through the breaks generated and rejoining the DNA ends [133]. While the preclinical data are promising, the low levels of intratumoral drug concentrations limit

**Table 3**  
Drug delivery strategies applied in the DNA damage context for GBM tested *in vitro* and/or *in vivo*.

Delivery system	Conventional treatment	DDR target	Delivery approach	Outcome	Reference
Targeting direct repair Cationic liposome (LipoTrust™ EX Oligo)	–	MGMT siRNA	Intratumoral injection	The liposome efficiently delivered MGMT-siRNA <i>in vivo</i> and enhanced TMZ cytotoxicity.	[137]
Cationic liposome (LipoTrust™ EX Oligo)	–	MGMT siRNA	Intratumoral injection and CED infusions	The DDS did not achieve enough distribution.	[138]
Electrospun PLGA nanofiber	TMZ and BCNU	O <sup>6</sup> -BG	Surgically implanted onto the surface of the brain parenchyma <i>In vitro</i>	Controlled and sustained release; The treatment efficiency was improved <i>in vivo</i> . Greater cells uptake; Increased O <sup>6</sup> -MG formation.	[139]
Apoferitin nanocage	TMZ	N3-propargyl imidazotetrazine analog (N3P)	<i>In vitro</i>	Greater cells uptake; Increased O <sup>6</sup> -MG formation.	[140]
Targeting BER Superparamagnetic iron oxide nanoparticles coated with chitosan, PEG, and PEI	RT	APE1 siRNA	Intravenous injection	Mice treated with the combination (nanoparticle + RT) exhibited double extension in survival.	[141]
Oxidized graphene nanoribbons coated with DSPE-PEG	–	Lucanthone	<i>In vitro</i>	Enhanced cytotoxicity against GBM cells.	[142]
A fluorescent virus-like particle with a modified surface (cell-penetrating peptide and apolipoprotein E peptide)	TMZ	c-MET siRNA and RNAi	Intravenous injection	It significantly bypassed TMZ-resistance promoting cell death in time and dose-dependent manners, but no improvement was found in animal survival.	[143]
Targeting RT-related DDR MDH-DSPE-PEG-2000- cholesterol liposome	RT	DNA repair inhibitor Dbait	Intravenous injection	The treatment was able to effectively sensitize GBM cells to RT inhibiting tumor growth and augmenting the survival of mice.	[144]
PLA-PEG nanoparticle	Fractionated RT	Inhibitors for DNA-PK, ATM, ATR, and Chk1 proteins	Delivered locally via CED	The use of the ATR inhibitor impaired HR with insignificant influence on NHEJ and increased animals' survival.	[145]

Abbreviations: convection-enhanced delivery (CED); DNA strand break bait (Dbait); 1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-amino (DSPE); O'1,O'1-(3-(dimethylamino) pro-pa-ne-1, 2-diyl) 16-bis (2-(2-methyl-5-nitro-1-H-imidazol-1-yl)ethyl) di (hexadecanedioate) (MDH); polyethylene glycol (PEG); polyethyleneimine (PEI); polylactic acid (PLA); poly(lactic-co-glycolic acid) (PLGA); radiotherapy (RT); temozolomide (TMZ).

the efficacy of clinical applications [134]. In order to overcome this issue, a few delivery strategies were developed. Bruce et al. [135] demonstrated the safety of CED in the treatment of recurrent malignant gliomas treated with the topoisomerase poison Topotecan. Topotecan has an interesting antitumor activity with minimal drug-associated toxicity [135]. In this sense, clinical trials for GBM treatment with doxorubicin have been conducted using delivery strategies, including the Laser Interstitial Thermal Therapy (LITT) to modulate the BBB (NCT01851733) and a nanoparticle delivery targeting cells using bispecific antibodies (NCT02766699) [136].

Table 3 shows studies that developed DDS for targeting DNA repair in a GBM context.

### 6.1. Drug delivery strategies targeting direct repair

Owing to the importance of MGMT for the effectiveness of GBM CT, since this protein reverses the DNA damage effect of TMZ, several strategies have been developed to improve the efficacy of alkylating agents by inhibiting MGMT activity and diminishing CT resistance (reviewed by [146,147]). Among these strategies is the LipoTrust™ EX Oligo liposome DDS that delivers siRNA downregulating MGMT [137], which suggests that even TMZ-resistant cells could be sensitized to TMZ in both *in vitro* and *in vivo* tumor models after transduction. Similarly, Tsujiuchi et al. [138] used the same DDS for MGMT siRNA delivery. However, even by using CED in the application, the liposomes did not achieve enough distribution in the brain of rats and pigs.

As previously discussed, several studies have revealed that O<sup>6</sup>-BG can improve the therapeutic efficacy of alkylating drugs by modulating MGMT activity [148,149]. However, the systemic administration of O<sup>6</sup>-BG includes the inability to cross membranes and toxic side effects that can be overcome by an *in situ* approach. Therefore, Liu et al. [139] developed an electrospun poly(lactic-co-glycolic acid) (PLGA) nanofiber

loaded with O<sup>6</sup>-BG, TMZ, and BCNU. This system presented a controlled and sustained release of O<sup>6</sup>-BG for two weeks followed by TMZ and BCNU for >14 weeks, and the *in vivo* results performed in F98 tumor-bearing rats suggested that the treatment efficiency was improved compared to a combined treatment of O<sup>6</sup>-BG intraperitoneally, Gliadel® wafer implantation, or oral TMZ. Finally, the authors concluded that the O<sup>6</sup>-BG-loaded nanofibers could be potentially used in therapy owing to their release properties that enhance the treatment and decrease systemic toxic effects [139]. This study shows the importance of targeting DNA repair while treating cancer cells with DNA-damaging agents.

An interesting study was designed by Bouzinab et al. [140] where TMZ was loaded into a nanocage made of apoferitin, which can be internalized by the transferrin receptor-1 and can facilitate cell uptake. Following GBM cell exposure to these nanosystems, an increased O<sup>6</sup>-MG formation and consequent DNA damage burden were observed. Moreover, the N3-propargyl imidazotetrazine analog (N3P) was used with the apoferitin-nanosystem to overcome TMZ-resistant cells, which suggests that this approach could be further evaluated *in vivo* to confirm its enhanced therapy efficacy.

### 6.2. Drug delivery strategies targeting BER

A promising approach was established by Kievit et al. [141] in which nanoparticles comprising superparamagnetic iron oxide cores coated with chitosan, PEG, and polyethyleneimine (PEI), transporting anti-APE1 siRNA, were produced and injected intravenously through the tail vein of genetically modified mouse models, and finally, after 24 h, the animals were exposed to RT. The results showed that a reduction of 40% in APE1 activity was achieved only in the tumor tissue and that mice treated with the combination (nanoparticle + RT) exhibited double extension in survival compared to RT alone, which indicates that APE1 inhibition could improve RT outcomes. Another study targeting APE1

was developed by Chowdhury et al. [142] in which nanoribbons of oxidized graphene coated with amphiphilic polymer 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-N-amino (DSPE)-PEG were prepared and loaded with lucanthone, an endonuclease inhibitor of APE1. Certain cancer-specificities were observed since this system enhanced cytotoxicity against GBM cells, but there was no toxicity when exposed to other cells such as breast cancer and rat glial progenitor cells [142].

As discussed before, PARP1 is another interesting target for GBM therapy [68] since this protein is considered as a cell-survival factor that acts during the repair of SSB, maintaining the genomic integrity. Several PARP1 inhibition attempts have been performed [68] by using siRNA or commonly known drugs like olaparib. Nevertheless, the results *in vitro* present great potential, while the outcomes *in vivo* do not seem to improve the treatment. It was shown that the inhibition of PARP1 with siRNA or the drug 3-aminobenzamide combined with silencing of MGMT followed by TMZ exposure significantly increased GBM cell death, which suggests that targeting BER and MGMT could be a promising strategy to solve TMZ-resistance [150].

Recently, Pang et al. [143] developed an elegant strategy to improve GBM therapy that aims to target DNA repair synergistically with TMZ exposure and enhances cell uptake by adding a surface modification in the nanosystem. Fluorescent virus-like particle/RNAi nano complexes modified with cell-penetrating peptide and apolipoprotein E peptide (dP@VLP/RNAi) were produced, targeting the tyrosine-protein kinase Met (c-MET), and evaluated *in vivo*. It is classically known that c-MET is a growth factor receptor but is also associated with the maintenance of genomic stability and DDR signaling (reviewed by [151]). c-MET siRNA and the entire complex, dP@VLP/c-MET RNAi, significantly revert TMZ-resistance, promoting cell death in time and dose-dependent manners. Importantly, the stability of the siRNA in the complex was greater than the naked siRNA. The complex was able to cross the BBB and, together with oral TMZ, significantly improved the animals' median survival from 25 days in the oral TMZ group to 42 days in the combination, indicating that the downregulation of c-MET can decrease the repair efficiency and revert CT resistance.

### 6.3. Drug delivery strategies targeting the radiotherapy-related DNA damage response (DDR)

A promising approach for maximizing GBM therapy is the combination of DNA repair inhibitors and RT. Recently, Liu et al. [144] produced a radiosensitizer-prodrug liposome for the delivery of the DNA repair inhibitor Dbait (DNA strand break bait), which mimics DSB by trapping DNA repair proteins, thus inhibiting the repair of DNA damage associated with RT. Due to the synergistic effects of this combination, the treatment was able to effectively sensitize GBM cells to RT inhibiting tumor growth and augment the survival time of mice [144]. Following the same line, King et al. [145] developed polylactic acid (PLA)-polyethylene glycol (PEG) nanoparticles loaded with DNA repair protein inhibitors, including DNA-PK, ATM, ATR, and Chk1, to be delivered *via* CED, aiming to radiosensitize the gliomas. The authors showed that nanoparticles containing VES22 (ATR inhibitor) could impair HR with insignificant influence on NHEJ, which increases the survival of *in vivo* models when in combination with fractionated RT. The study demonstrated the applicability of combinations of standard therapy and inhibitors for the local treatment of gliomas with the possibility of using this approach in different types of cancers [145].

## 7. Nanoparticles in cancer research

The most used strategies to drug deliver in the brain have been the pharmacological, neurosurgical-based approaches and destabilization of BBB [119,152]. Regardless of the delivery method, all drugs trigger tumor resistance and tolerance mechanisms that might limit the doses. Nevertheless, understanding the molecular basis of GBM can assist in novel combinatorial therapies such as the use of cytotoxic drugs and

**Table 4**  
NanoEL effect observed in nanoparticles evaluations.

NP	Features	Outcome	Ref
TiO <sub>2</sub>	Size: 23.5 and 680 nm Concentration: 5–1.250 μM	NP migrated into the inter-endothelial adherens junction niche, bounded directly to VE-cadherin and disrupted cell-cell interactions causing cell leakiness. This disruption resulted in the loss of interaction between VE-cad with β-catenin and with p120, triggering actin-rearrangement.	[158]
Silica	Size: 48 nm Charge: −18 mV Density: 1.45 g/cm <sup>3</sup> Concentration: 2.0 × 10 <sup>11</sup> NP/ml	NP disrupted the VE-cad-VE-cad interaction at the cell-cell junction of the endothelial cells. The overall gap formation process initiated by interactions of heavy NP. Underflow conditions the chance of NanoEL occurring increases.	[160]
Au	Size: 10 to 30 nm Charge: −16 mV Concentration: 25 μM	NP-induced micrometers sized gaps between endothelial cells within 30 min of exposure. The NanoEL occurred via disruption of VE-cad-VE-cad triggering actin remodeling.	[159]
PEI	Size: 25 kD	NP had the potential to activate the immune response <i>in vivo</i> . The ROS generation and inflammation activation contributed to immune system dysfunction and cancer metastasis.	[174]
Iron oxide	Size: 16 and 33 nm *application of an external magnetic field	The external magnetic field temporarily disrupted endothelial adherens junctions through internalized iron oxide NP, activating the paracellular transport pathway and facilitating local extravasation of circulating substances.	[157]
Au	Size from 37.66 to 68.43 nm Charge: −40, −20, +15 and +40 mV Concentration: 10 μM	The negative charge on Au NP induced more NanoEL. This NP could be repelled by the negatively charged glycocalyx in a bouncing manner toward the cell-cell junctions.	[161]
Titanium dioxide, silica, Au	Size: around 18 to 23 nm Charge: −20 mV Concentration: 10–40 μg/ml	Intravenously injected NP accelerated both extravasation and intravasation of breast cancer cells <i>in vivo</i> , inducing metastasis.	[166]

Abbreviations: nanoparticles (NP); titanium dioxide (TiO<sub>2</sub>); vascular endothelial (VE); gold (Au); Polyetherimide (PEI).

DDR-related proteins or synthetic lethality strategies. All approaches have advantages and disadvantages, and hence, understanding the characteristics of each tumor is important in this choice [152]. Many treatment strategies are based on the development of nanoparticles. The following topic will discuss relevant aspects in this context and the importance of evaluating the interaction between biological systems and nanocarriers.

### 7.1. Nanoparticles: the cell leakiness drawback

DDS offers several prospects in treating and diagnosing tumors thanks to their many promising and attractive approaches [153–156]. Given the fact that some nanoparticles can provoke endothelial leakiness (NanoEL) [157–161], DDS aiming cancer therapy [162–164] can also accidentally induce NanoEL of the tumor vasculature, thus decreasing the impediment for intravasation entrance of persisting tumor cells into the circulation.

The term NanoEL is related to the cell-cell interaction disruption

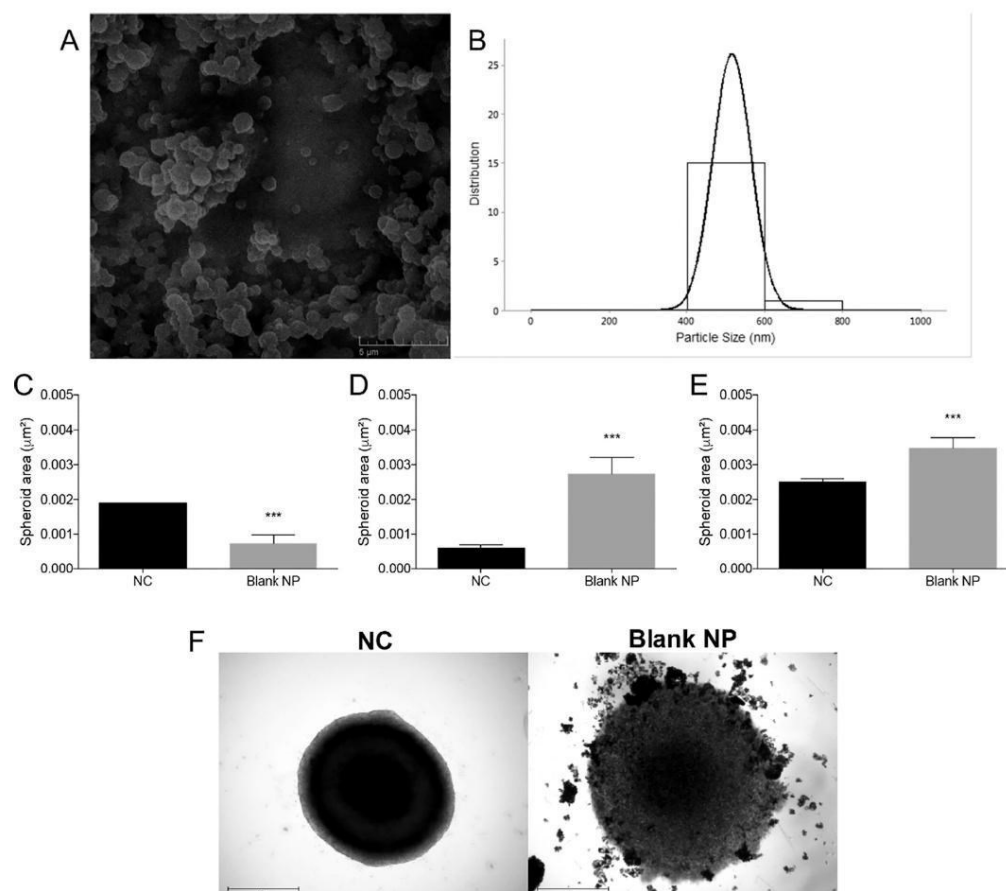


Fig. 6. Characterization of stearic acid nanoparticles. (A) SEM analysis of the nanoparticles. (B) Particle size distribution. (C) Spheroid size. (D) Expansion spheroid area (halo of live cells), (E) total spheroid size (spheroid area plus halo area), and (F) images of spheroids after 5 days of exposure. Results are expressed as mean spheroid area  $\pm$  SD. Statistical analysis was achieved using one-way ANOVA. Data was considered significant at \*\*\* $p < 0.005$ . NC: negative control.

caused by nanocarriers when it binds to adherent junction proteins, including vascular endothelial-cadherin (VE-cadherin) [158]. After the nanoparticle binds, there is an establishment of a force that disrupts the VE-cadherin interface [160]. The subsequent micrometer-sized gaps formed between the neighboring cells are caused by the intracellular signaling and the endothelial cell tension [158]. These cell junctions' disruptions were discovered to be linked with nanoparticles' size [159], the charge of the surface [161], and intrinsic mass density [160]. Given the importance that this drawback of nanocarriers could imply in nanotechnological approaches for cancer therapy, there is rising evidence of nanoproducts that can trigger endothelial gaps (Table 4) [158,159,165]. Exploring nanoparticles' effects on metastasis can increase the side effects knowledge of nanocarriers and stimulate more examinations on how to reduce the side effects and improve the proposed antitumor effect.

An impressive study developed by Peng et al. [166] systematically analyzed the effects of NanoEF induced by TiO<sub>2</sub> nanoparticles through the investigation of the multiphasic process of metastasis and its various

cellular steps. The authors reported that these nanoparticles can promote the adhesion and migration of breast cancer cells to vascular endothelial cells and the permeability induced by the nanoparticle triggered extravasation and intravasation of breast cancer cells *in vivo* by interacting with the capillary endothelium and accelerating the extravasation of circulating cancer cells.

Our research group has prepared and characterized stearic acid nanoparticles (Fig. 6). These nanoparticles were produced by the emulsion method according to Hafeez and Kazmi [167] with minor modifications and characterized according to their size and zeta potential. These carriers presented a mean size of  $519.5 \pm 52$  nm, and the zeta potential was found to be  $-31.6 \pm 0.7$  mV (Fig. 6A–B). Its efficacy *in vitro* was evaluated by using 3D cell culture of the U87 cell line. It was observed that after treatment with the nanoparticles, the total spheroid size (Fig. 6E) - spheroid area (Supplementary Fig. 1D) plus the halo formed by the expansion of live cells (Fig. 6C) - was bigger than the control spheroids (negative control). Moreover, the cells were slowly released from the spheroid and the spheroid exhibited a loose

morphology (Fig. 6F), suggesting a decreased tightness of cell contact which could provoke cell extravasation.

As suggested by our data, during the DDS development for cancer treatment, it is necessary to evaluate the interaction between biological systems and nanocarriers. Since nanoproductions can be related to new metastatic sites, an in-depth investigation of NanoEL during the DDS efficacy evaluation must be conducted, mainly in nanocarriers that can accumulate in human tissues and degrade slowly. Therefore, more studies are needed to better understand and regulate the NanoEL effect [168].

## 8. Conclusions

To date, the most acceptable therapy for newly diagnosed GBM is the combination of surgical resection, chemoradiotherapy with TMZ as adjuvant therapy. GBM remains incurable, and therefore, several new targets have been proposed to increase the patient's responsiveness to the treatment. Some of these targets include DDR proteins with the strategy of decreasing the DNA damage repair capacity and inducing cell death. However, the low tolerability of these therapies impairs the efficacy and the improvement of survival outcomes [177]. In order to overcome these negative features, extensive attention has been drawn to the field of DDS and several new technologies have been developed to combat GBM [119,152].

The pharmacological approaches based on liposomes, polymeric nanoparticles, and wafers have been used in the context of DNA damage response inhibition [137–139,144,145,169]. These approaches allow the incorporation of several effective antitumor agents in the GBM treatment protocol, whose application was impaired by low BBB permeability such as doxorubicin [152]. Overcoming BBB selectivity with DDS unlocks a range of possibilities with known drugs whose tolerance and toxicity are already known. Nevertheless, the main challenge of using nanoparticles and liposomes is the short half-life in a systemic application [170,171].

In light of this, neurosurgical-based approaches allow the delivery at specific regions, which increases the bioavailability and efficiency of drugs [152]. The CED approach allows a continuous drug delivery via a catheter using positive pressure to increase the circulation throughout the brain tissue [119]. The invasiveness of this technology and the infection risk are some of the disadvantages. However, the local administration enables high drug concentrations, decreasing resistance mechanisms and avoiding systemic toxicity [119,135,152]. Furthermore, the delivery systems could assist in drug repositioning for GBM, which is a promising option as it aims to expand the possibilities of drugs whose pharmacokinetics and toxicity are already known [128,172].

Lastly, as described by this review, recent progress in DDS to the brain demonstrates the potential of new delivery strategies to permit novel and commonly used CT drugs and gene-based therapies to target brain cancer cells. These strategies can be modified among their physical and chemical properties to generate nanosystems that can recognize and target cancerous cells. It is expected that the ideas resulting from future experimental studies with the association of delivery systems and DDR targets will lead to the development of improved therapeutic interventions, which could bring hope to the incurable scenario of GBM patients.

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have influenced the work reported in this paper.

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Última atualização do currículo em 15/10/2023

Farmacêutico generalista graduado pela Universidade de Santa Cruz do Sul - UNISC, mestre em Patologia (genética aplicada) pela Universidade Federal de Ciências da Saúde de Porto Alegre - UFCSPA e doutorando em Biociências também pela UFCSPA. Atua nas áreas de biologia celular do câncer, nanotecnologia aplicada a sistemas de drug delivery, engenharia de polímeros, farmacologia, toxicologia, ensaios in vitro e in vivo de atividades antitumoral, cicatrizante, anti-inflamatória, citotóxica, genotóxica e gastroprotetora, desenvolvimento de métodos de análises de compostos naturais por HPLC e fitoquímica. Possui também conhecimentos em rotinas de farmácia hospitalar e drogaria, com experiência em sistemas de controle de estoque de medicamentos e materiais hospitalares, além de ter atuado nas áreas de desenvolvimento comunitário, comunicação e relações interculturais. **(Texto informado pelo autor)**

### Identificação

<b>Nome</b>	Jeferson Gustavo Henn
<b>Nome em citações bibliográficas</b>	HENN, J. G.; HENN, J.G.; HENN, JEFERSON GUSTAVO; HENN, JEFERSON G.; GUSTAVO HENN, JEFERSON
<b>Lattes ID</b>	<a href="http://lattes.cnpq.br/0078772412119199">http://lattes.cnpq.br/0078772412119199</a>
<b>Orcid ID</b>	<a href="https://orcid.org/0000-0001-8229-1785">https://orcid.org/0000-0001-8229-1785</a>

### Endereço

<b>Endereço Profissional</b>	Fundação Universidade Federal de Ciências da Saúde de Porto Alegre, Departamento de Farmacologia e Toxicologia, Laboratório de Genética Toxicológica. Rua Sarmento Leite, 245, prédio 3, sala 714 Centro Histórico 90050170 - Porto Alegre, RS - Brasil Telefone: (51) 33038861
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### Formação acadêmica/titulação

<b>2017</b>	Doutorado em andamento em BIOCÊNCIAS. Fundação Universidade Federal de Ciências da Saúde de Porto Alegre, UFCSPA, Brasil. com <b>período sanduíche</b> em Technological University of the Shannon: Midlands Midwest (Orientador: Michael Nugent). Título: Avaliação da eficácia da temozolomida acoplada a ferrocenos no tratamento de glioblastomas Orientador:  Dinara Jaqueline Moura. Bolsista do(a): Coordenação de Aperfeiçoamento de Pessoal de Nível Superior, CAPES, Brasil.
<b>2014 - 2016</b>	Mestrado em Patologia. Fundação Universidade Federal de Ciências da Saúde de Porto Alegre, UFCSPA, Brasil. Título: Desenvolvimento de um extrato hidroetanólico das folhas de <i>Plantago australis</i> (Kunth) Rahn padronizado em verbascosídeo e determinação de sua segurança toxicológica , Ano de Obtenção: 2016. Orientador:  Dinara Jaqueline Moura. Coorientador: Jenifer Saffi. Bolsista do(a): Coordenação de Aperfeiçoamento de Pessoal de Nível Superior, CAPES, Brasil.
<b>2006 - 2012</b>	Graduação em Farmácia. Universidade de Santa Cruz do Sul, UNISC, Brasil. Título: Avaliação da atividade gastroprotetora de <i>Tripodanthus acutifolius</i> (Ruiz & Pavón) Tieghem em ratos Wistar. Orientador: Chana de Medeiros da Silva.

## Formação Complementar

<b>2021 - 2021</b>	Boas Práticas Clínicas. (Carga horária: 40h). Instituto Evandro Chagas, IEC, Brasil.
<b>2019 - 2019</b>	Revisão de literatura para consultores InnVitro. (Carga horária: 20h). INNVIRO PESQUISA & DESENVOLVIMENTO S/S LTDA, INNVIRO, Brasil.
<b>2019 - 2019</b>	Citometria de Fluxo aplicada a ensaios pré-clínicos. (Carga horária: 40h). Instituto Carlos Chagas/FIOCRUZ, ICC/FIOCRUZ, Brasil.
<b>2015 - 2015</b>	Extensão universitária em I Curso de toxicidade genética. (Carga horária: 50h). Fundação Universidade Federal de Ciências da Saúde de Porto Alegre, UFCSPA, Brasil.
<b>2015 - 2015</b>	Biossegurança em instituições de atenção à saúde. (Carga horária: 8h). Associação Nacional de Biossegurança, ANBIO, Brasil.
<b>2014 - 2014</b>	Extensão universitária em IV Estudo da sinalização celular no câncer. (Carga horária: 15h). Universidade Federal do Rio Grande do Sul, UFRGS, Brasil.
<b>2014 - 2014</b>	Língua Francesa - nível A2.3. (Carga horária: 27h). Aliança Francesa - Porto Alegre, AFPOA, Brasil.
<b>2014 - 2014</b>	Escola de Altos Estudos em Toxicologia. (Carga horária: 30h). Universidade Federal do Rio Grande do Sul, UFRGS, Brasil.
<b>2007 - 2007</b>	Extensão universitária em Projeto Rondon - edição 2007. (Carga horária: 450h). Universidade de Santa Cruz do Sul, UNISC, Brasil.
<b>2007 - 2007</b>	Extensão universitária em Dévelop. Communautaire, Relations Interculturelles. (Carga horária: 645h). Cégep Marie-Victorin, CÉGEP M-V, Canadá.
<b>2007 - 2007</b>	Curso de Francês - Projeto Rondon 2007. (Carga horária: 27h). Universidade de Santa Cruz do Sul, UNISC, Brasil.

## Atuação Profissional

Abbott Ireland, AI, Irlanda.

### Vínculo institucional

**2022 - Atual** Vínculo: Celetista, Enquadramento Funcional: Technical Support Scientist, Carga horária: 39

Technological University of the Shannon: Midlands Midwest, TUS, Irlanda.

### Vínculo institucional

**2021 - Atual** Vínculo: Colaborador, Enquadramento Funcional: Pesquisador visitante  
**Outras informações** Materials Research Institute

### Vínculo institucional

**2021 - 2022** Vínculo: Celetista, Enquadramento Funcional: Pharmacy Technician, Carga horária: 19  
**Outras informações** Faculty of Science & Health

### Vínculo institucional

**2020 - 2021** Vínculo: Bolsista, Enquadramento Funcional: Bolsista de doutorado-sanduíche, Regime: Dedicção exclusiva.  
**Outras informações** Materials Research Institute

INNVIRO PESQUISA & DESENVOLVIMENTO S/S LTDA, INNVIRO, Brasil.

### Vínculo institucional

**2019 - Atual** Vínculo: Autônomo, Enquadramento Funcional: Consultor  
**Outras informações** Consultoria na área de toxicologia, realizando revisões bibliográficas para o cálculo de doses diárias permitidas (PDE) para indústrias farmacêuticas.

Fundação Universidade Federal de Ciências da Saúde de Porto Alegre, UFCSPA, Brasil.

### Vínculo institucional

**2021 - Atual** Vínculo: Pesquisador, Enquadramento Funcional: Doutorando, Regime: Dedicção exclusiva.

### Vínculo institucional

**2017 - 2020** Vínculo: Bolsista, Enquadramento Funcional: Pesquisador (doutorado - CAPES/FAPERGS), Regime: Dedicção exclusiva.

### Outras informações

### Vínculo institucional

**2014 - 2016** Bolsa suspensa durante período de doutorado-sanduíche

Vínculo: Bolsista, Enquadramento Funcional: Mestrando - CAPES, Regime: Dedicção exclusiva.

**Atividades**

**11/2017 - Atual**

Pesquisa e desenvolvimento, Departamento de Farmacologia e Toxicologia, Laboratório de Genética Toxicológica.

Linhas de pesquisa

Biologia celular do câncer

Nanotecnologia

**03/2014 - Atual**

Pesquisa e desenvolvimento, Departamento de Farmacologia e Toxicologia, Laboratório de Genética Toxicológica.

Linhas de pesquisa

Farmacognosia

Farmacologia

Química de produtos naturais

Genética toxicológica

**2015 - 2019**

Estágios , Departamento de Farmacodências.

Estágio realizado

Disciplinas de Toxicologia (Farmácia e Biomedicina), Bioquímica e Genética Toxicológica (Toxicologia Analítica), Farmacognosia (Farmácia) e Ensaio Pré-clínicos (Química Medicinal).

**2015 - 2016**

Conselhos, Comissões e Consultoria, Reitoria, Conselho Universitário.

Cargo ou função

Membro titular do Conselho Universitário - gestão 2015/2017.

DIMED SA, PANVEL FARMÁCIAS, Brasil.

**Vínculo institucional**

**2016 - 2017**

Vínculo: Celetista, Enquadramento Funcional: Farmacêutico, Carga horária: 44

Walmart Brasil - Farmácia BIG, WMB, Brasil.

**Vínculo institucional**

**2013 - 2014**

Vínculo: , Enquadramento Funcional: Farmacêutico Responsável Técnico, Carga horária: 44

Fundação Estadual de Produção e Pesquisa em Saúde, FEPPS, Brasil.

**Vínculo institucional**

**2012 - 2013**

Vínculo: Estágio, Enquadramento Funcional: Estagiário, Carga horária: 40

**Outras informações**

Seção de Microbiologia de Águas e Alimentos da FEPPS/IPB/LACEN-RS

Hospital Santa Cruz - SCS, HSC, Brasil.

**Vínculo institucional**

**2013 - 2013**

Vínculo: , Enquadramento Funcional: Farmacêutico Substituto, Carga horária: 44

**Vínculo institucional**

**2010 - 2012**

Vínculo: Celetista, Enquadramento Funcional: Atendente de Farmácia, Carga horária: 40

Ediberto de Oliveira Machado & Cia. Ltda, REDE AGAFARMA, Brasil.

**Vínculo institucional**

**2008 - 2010**

Vínculo: Celetista, Enquadramento Funcional: Atendente de farmácia, Carga horária: 30

Universidade de Santa Cruz do Sul, UNISC, Brasil.

**Vínculo institucional**

**2006 - 2007**

Vínculo: Bolsista, Enquadramento Funcional: Monitor - Laboratórios de Ensino de Química, Carga horária: 8

Prefeitura Municipal de Sinimbu, PMS, Brasil.

**Vínculo institucional**

**2006 - 2007**

Vínculo: Estagiário, Enquadramento Funcional: Atendente em Farmácia Municipal, Carga horária: 32

**Linhas de pesquisa**

- |    |               |
|----|---------------|
| 1. | Farmacognosia |
| 2. | Farmacologia  |

- |    |                              |
|----|------------------------------|
| 3. | Química de produtos naturais |
| 4. | Genética toxicológica        |
| 5. | Biologia celular do câncer   |
| 6. | Nanotecnologia               |

### Projetos de pesquisa

#### 2013 - Atual

Desenvolvimento de um extrato padronizado de *Plantago australis* em verbascosídeo e determinação do potencial cicatrizante, anti-inflamatório e da sua segurança toxicológica. Projeto certificado pelo(a) coordenador(a) Dinara Jaqueline Moura em 21/05/2014.

Descrição: Este projeto tem como objetivo elaborar um extrato padronizado de *P. australis*, em verbascosídeo, e determinar o potencial cicatrizante e anti-inflamatório deste extrato em modelos *in vitro* e *in vivo*. Também serão conduzidos os ensaios biológicos *in vitro* com células glias N9, para avaliação da atividade anti-inflamatória, com células de queratinócitos (HaCaT), para avaliação da atividade cicatrizante. Paralelamente serão realizados ensaios *in vitro* para determinação do potencial mutagênico. Os ensaios toxicológicos *in vivo* complementarão os ensaios biológicos e incluirão as avaliações farmacológicas (anti-inflamatória e cicatrizante) e os ensaios de toxicidade aguda, toxicidade de doses repetidas e genotoxicidade utilizando ratos Wistar.

Situação: Em andamento; Natureza: Pesquisa.

Alunos envolvidos: Mestrado acadêmico: (3) .

Integrantes: Jeferson Gustavo Henn - Integrante / Dinara Jaqueline Moura - Coordenador / Jennifer Saffi - Integrante / Nathalia Denise de Moura Sperotto - Integrante / Valéria Flores Péres - Integrante / Rodrigo Moisés Veríssimo - Integrante / STEFFENS, LUIZA - Integrante.

Financiador(es): Fundação de Amparo à Pesquisa do Estado do Rio Grande do Sul - Auxílio financeiro.

### Projetos de extensão

#### 2007 - 2007

Projeto Rondon - RS / UNISC / Jeunesse Canada Monde

Descrição: Trabalho voluntário em projetos assistenciais no Brasil e Canadá. Vivência de novas culturas pelo convívio com irmãos de acolhida canadenses em famílias locais, com aprendizado das línguas francesa, inglesa e oportunidade de ensinar o idioma português. Viagens de estudo e reflexão a diversos pontos do Rio Grande do Sul e Canadá.

Situação: Concluído; Natureza: Extensão.

Alunos envolvidos: Graduação: (9) .

Integrantes: Jeferson Gustavo Henn - Integrante / Adriana Silveira da Motta - Integrante / Andressa Pens Lazzari - Integrante / Marluce Purper - Integrante / Patrícia Lucinha Rechia Figuera - Integrante / Cássio Vieira Rosa - Integrante / Joel Eduardo Haas de Oliveira - Integrante / Emerson Vogt - Integrante / Mateus Antunes Pereira - Integrante / Cristiana Verônica Mueller - Coordenador.

### Revisor de periódico

#### 2019 - Atual

Período: JOURNAL OF BIOMEDICAL MATERIALS RESEARCH PART B-APPLIED BIOMATERIALS

### Idiomas

Português  
Francês  
Inglês  
Alemão  
Espanhol

Compreende Bem, Fala Bem, Lê Bem, Escreve Bem.  
Compreende Razoavelmente, Fala Razoavelmente, Lê Bem, Escreve Razoavelmente.  
Compreende Bem, Fala Bem, Lê Bem, Escreve Bem.  
Compreende Razoavelmente, Fala Pouco, Lê Bem, Escreve Pouco.  
Compreende Razoavelmente, Fala Pouco, Lê Bem, Escreve Pouco.

### Prêmios e títulos

#### 2016

Menção honrosa - XXXI Reunião Anual da Federação de Sociedades de Biologia Experimental, Federação de Sociedades de Biologia Experimental.

#### 2015

Destaque, categoria "Trabalhos relacionados à pesquisa" - I Mostra de trabalhos de ensino, pesquisa e extensão, Universidade Federal de Ciências da Saúde de Porto Alegre.

#### 2014

Convocação para vaga em Residência Multiprofissional Integrada em Saúde: área de concentração em Intensivismo, Urgência e Emergência, APESC - Hospital Santa Cruz.

#### 2013

Convocação para vaga em Residência Integrada Multiprofissional em Saúde: área de concentração em Controle de Infecção Hospitalar, Hospital de Clínicas de Porto Alegre. **2012**

Orador da turma de formandos do Curso de Farmácia 2012/2, Universidade de Santa Cruz do Sul. **2012**

Honra ao mérito por atingir a nota 8.861, considerada a melhor média geral da turma de formandos do Curso de Farmácia 2012/2, Universidade de Santa Cruz do Sul. **2012**

Aprovação em Concurso Público para o cargo de Farmacêutico, Prefeitura Municipal de Santa Cruz do Sul.

## Produções

### Produção bibliográfica

#### Citações

Web of Science 	
Total de trabalhos:10	Total de citações:98
Fator H:5	
Henn, Jeferson Gustavo; Henn, J. G.; Henn, Jeferson G. Data: 14/10/2023	

SCOPUS	
Total de trabalhos:12	Total de citações:115
Henn, Jeferson Gustavo; Henn, J. G.; Henn, Jeferson G. Data: 14/10/2023	

Outras	
Total de trabalhos:16	Total de citações:164
Henn, Jeferson Gustavo; Henn, J.G.; Henn, Jeferson Data: 14/10/2023	

#### Artigos completos publicados em periódicos

Ordenar por

Ordem Cronológica

- ★ **HENN, JEFERSON GUSTAVO**; BERNARDES FERRO, MATHEUS ; LOPES ALVES, GABRIEL ANTONIO ; PIRES PEÑA, FLÁVIA ; DE OLIVEIRA, JOÃO VITOR RAUPP ; DE SOUZA, BÁRBARA MÜLLER ; DA SILVA, LEONARDO FONSECA ; RAPACK JACINTO SILVA, VICTÓRIA ; SILVA PINHEIRO, ANA CAROLINA ; STEFFENS REINHARDT, LUIZA ; MORÁS, ANA MOIRA ; NUGENT, MICHAEL ; DA ROSA, RICARDO GOMES ; SILVEIRA AGUIRRE, TANIRA ALESSANDRA ; MOURA, DINARA JAQUELINE . Development and characterization of a temozolomide-loaded nanoemulsion and the effect of ferrocene pre and co-treatments in glioblastoma cell models. *Pharmacological Reports* **JCR**, v. x, p. x, 2023.
- ★ STEFFENS REINHARDT, LUIZA ; MOIRA MORÁS, ANA ; **GUSTAVO HENN, JEFERSON** ; RICARDO ARANTES, PABLO ; BERNARDES FERRO, MATHEUS ; BRAGANHOL, ELIZANDRA ; OLIVEIRA DE SOUZA, PRISCILA ; DE OLIVEIRA MERIB, JOSIAS ; RAMOS BORGES, GABRIELA ; SILVEIRA DALANHOL, CAROLINA ; COX HOLANDA DE BARROS DIAS, MABILLY ; NUGENT, MICHAEL ; JAQUELINE MOURA, DINARA . Nek1-inhibitor and temozolomide-loaded microfibers as a co-therapy strategy for glioblastoma treatment. *INTERNATIONAL JOURNAL OF PHARMACEUTICS* **JCR**, v. 617, p. 121584, 2022.  
**Citações:** WEB OF SCIENCE <sup>3</sup> | 3
- DE LIMA, TIELIDY A. DE M. ; de Lima, Gabriel Goetten ; Chee, Bor Shin ; **HENN, JEFERSON G.** ; CORTESE, YVONNE J. ; MATOS, MAILSON ; HELM, CRISTIANE V. ; MAGALHÃES, WASHINGTON L. E. ; NUGENT, MICHAEL J. D. . Characterization of Gels and Films Produced from Pinhão Seed Coat Nanocellulose as a Potential Use for Wound Healing Dressings and Screening of Its Compounds towards Antitumour Effects. *Polymers* **JCR**, v. 14, p. 2776, 2022.  
**Citações:** WEB OF SCIENCE <sup>1</sup> | 2
- ★ REINHARDT, LUIZA STEFFENS ; **HENN, JEFERSON GUSTAVO** ; MORÁS, ANA MOIRA ; DE MOURA SPEROTTO, NATHALIA DENISE ; FERRO, MATHEUS BERNARDES ; CAO, ZHI ; ROEHE, ADRIANA VIAL ; PETRY, ADRIANA UBIRAJARA SILVA ; NUGENT, MICHAEL ; MOURA, DINARA JAQUELINE . Plantago australis Hydroethanolic Extract-Loaded Formulations: Promising Dressings for Wound Healing. *BRAZILIAN JOURNAL OF PHARMACOGNOSY* **JCR**, v. 31, p. 91-101, 2021.  
**Citações:** WEB OF SCIENCE <sup>5</sup> | 5

5. ★ MORÁS, A.M. ; HENN, J.G. ; STEFFENS REINHARDT, L. ; LENZ, G. ; MOURA, D.J. . Recent developments in drug delivery strategies for targeting DNA damage response in glioblastoma. LIFE SCIENCES **JCR**, v. 287, p. 120128, 2021.  
Citações: WEB OF SCIENCE \* 6 | 7
6. FAVERZANI, JÉSSICA LAMBERTY ; STEINMETZ, ALINE ; DEON, MARION ; MARCHETTI, DESIRÉE PADILHA ; GUERREIRO, GILIAN ; SITTA, ANGELA ; DE MOURA COELHO, DANIELLA ; LOPES, FRANCIÉLE FATIMA ; NASCIMENTO, LEOPOLDO VINICIUS MARTINS ; STEFFENS, LUIZA ; HENN, JEFERSON GUSTAVO ; FERRO, MATHEUS BERNARDES ; BRITO, VERÔNICA BIDINOTTO ; WAJNER, MOACIR ; MOURA, DINARA JAQUELINE ; VARGAS, CARMEN REGLA . L-carnitine protects DNA oxidative damage induced by phenylalanine and its keto acid derivatives in neural cells: a possible pathomechanism and adjuvant therapy for brain injury in phenylketonuria. METABOLIC BRAIN DISEASE **JCR**, v. 36, p. 1957-1968, 2021.  
Citações: WEB OF SCIENCE \* 2 | 2
7. TONELLI, AMANDA M. ; VENTURINI, JANIO ; ARCARO, SABRINA ; HENN, JEFERSON G. ; MOURA, DINARA J. ; VIEGAS, ALEXANDRE DA CAS ; BERGMANN, CARLOS P. . Novel core-shell nanocomposites based on TiO<sub>2</sub>-covered magnetic Co<sub>3</sub>O<sub>4</sub> for biomedical applications. JOURNAL OF BIOMEDICAL MATERIALS RESEARCH PART B: APPLIED BIOMATERIALS **JCR**, v. 108, p. 1879-1887, 2020.  
Citações: WEB OF SCIENCE \* 9 | 13
8. DE MOURA SPEROTTO, NATHALIA DENISE ; STEFFENS, LUIZA ; VERÍSSIMO, RODRIGO MOISÉS ; HENN, JEFERSON GUSTAVO ; PÉRES, VALÉRIA FLORES ; VIANNA, PRISCILA ; CHIES, JOSÉ ARTUR BOGO ; ROEHE, ADRIANA ; SAFFI, JENIFER ; MOURA, DINARA JAQUELINE . Wound healing and anti-inflammatory activities induced by a *Plantago australis* hydroethanolic extract standardized in verbascoside. JOURNAL OF ETHNOPHARMACOLOGY **JCR**, v. 225, p. 178-188, 2018.  
Citações: WEB OF SCIENCE \* 33 | 37
9. ★ HENN, JEFERSON GUSTAVO ; STEFFENS, LUIZA ; SPEROTTO, NATHALIA DENISE DE MOURA ; DE SOUZA PONCE, BETÂNIA ; VERÍSSIMO, RODRIGO MOISÉS ; BOARETTO, FERNANDA BRIÃO MENEZES ; HASSEMER, GUSTAVO ; PÉRES, VALÉRIA FLORES ; SCHIRMER, HELENA ; PICADA, JAQUELINE NASCIMENTO ; SAFFI, JENIFER ; MOURA, DINARA JAQUELINE . Toxicological evaluation of a standardized hydroethanolic extract from leaves of *Plantago australis* and its major compound, verbascoside. JOURNAL OF ETHNOPHARMACOLOGY **JCR**, v. 229, p. 145-156, 2018.  
Citações: WEB OF SCIENCE \* 18 | 21
10. BALDISSERA, G. ; SPEROTTO, N.D.M. ; ROSA, H.T. ; HENN, J.G. ; PERES, V.F. ; MOURA, D.J. ; ROEHR, R. ; DENARDIN, E.L.G. ; DAL LAGO, P. ; NUNES, R.B. ; SAFFI, J. . Effects of crude hydroalcoholic extract of *Syzygium cumini* (L.) Skeels leaves and continuous aerobic training in rats with diabetes induced by a high-fat diet and low doses of streptozotocin. JOURNAL OF ETHNOPHARMACOLOGY **JCR**, v. 194, p. 1012-1021, 2016.  
Citações: WEB OF SCIENCE \* 21 | 25

### Capítulos de livros publicados

1. STEFFENS, LUIZA ; de Barros Dias, Mabilly Cox Holanda ; Arantes, Pablo Ricardo ; HENN, JEFERSON GUSTAVO ; NUGENT, MICHAEL ; MOURA, DINARA JAQUELINE . Nanopolymeric systems to improve brain cancer treatment outcomes. In: Amit Nayak; Kunal Pal; Indranil Banerjee; Samarendra Majji; Upendranath Nanda. (Org.). *Advances and Challenges in Pharmaceutical Technology*. 1ed.: Elsevier, 2021, v. , p. 355-394.
2. Seba, Viviane ; Silva, Gabriel ; Chee, Bor Shin ; HENN, JEFERSON GUSTAVO ; de Lima, Gabriel Goetten ; CAO, ZHI ; Marins, Mozart ; NUGENT, MICHAEL . Stimuli-responsive biopolymeric systems for drug delivery to cancer cells. In: Hriday Bera, Buddhadev Layek, Jagdish Singh. (Org.). *Tailor-Made and Functionalized Biopolymer Systems*. 1ed.: Elsevier, 2021, v. , p. 663-704.
3. REINHARDT, LUIZA STEFFENS ; Arantes, Pablo Ricardo ; HENN, JEFERSON GUSTAVO ; MOURA, DINARA JAQUELINE . Bionanocomposites for In Situ Drug Delivery in Cancer Therapy: Early and Late Evaluations. In: Amit Kumar Nayak; Md Saquib Hasnain. (Org.). *Materials Horizons: From Nature to Nanomaterials*. 1ed.: Springer Singapore, 2021, v. , p. 145-165.

### Resumos publicados em anais de congressos

1. LOPES, G. A. ; FERRO, M. B. ; HENN, JEFERSON G. ; ROSA, R. G. ; MOURA, D. J. ; AGUIRRE, T. A. S. . Desenvolvimento de nanoemulsões contendo temozolomida e ferroceno como alternativa para o tratamento de glioblastoma. In: Congresso UFCSPA: conectando saúde e sociedade, 2019, Porto Alegre. Congresso UFCSPA: conectando saúde e sociedade. Recife: Even3, 2019. v. 1. p. 232-233.
2. FERRO, MATHEUS BERNARDES ; HENN, JEFERSON GUSTAVO ; STEFFENS, LUIZA ; ALVES, GABRIEL ANTONIO LOPES ; ROSA, RICARDO GOMES DA ; AGUIRRE, TANIRA ; MOURA, DINARA JAQUELINE . INFLUÊNCIA DE NANOEMULSÕES DE NÚCLEO LIPÍDICO CONTENDO COMPOSTOS DE FERROCENOS NA VIABILIDADE DE CÉLULAS DE GLIOBLASTOMA TRATADAS COM TEMOZOLOMIDA. In: Anais do Congresso UFCSPA: conectando saúde e sociedade, 2019, UFCSPA. Anais do(a) Anais do Congresso UFCSPA: conectando saúde e sociedade. Recife: Even3, 2019. v. 1.
3. REINHARDT, L. S. ; HENN, JEFERSON G. ; MORAS, A. M. ; SPEROTTO, N. D. M. ; FERRO, M. B. ; CAO, Z. ; NUGENT, M. ; MOURA, DINARA J. . Caracterização e avaliação da atividade cicatrizante de nanofibras poliméricas contendo extrato hidroetanólico de *Plantago australis*. In: Congresso UFCSPA: conectando saúde e sociedade, 2019, Porto Alegre. Congresso UFCSPA: conectando saúde e sociedade. Recife: Even3, 2019. v. 1. p. 706-707.
4. SEVERO, J. E. ; SILVA, C. M. ; HENN, J. G. . Avaliação da atividade Gastroprotetora de *Tripodanthus acutifolius* (Ruiz & Pavón) Tieghem em ratos Wistar. In: IV Salão de Ensino e de Extensão, 2013, Santa Cruz do Sul. Anais do Salão de Ensino e de Extensão. Santa Cruz do Sul: EDUNISC, 2013. v. 3.

### Apresentações de Trabalho

- 1.

- LOPES, G. A. ; FERRO, M. B. ; **HENN, J. G.** ; ROSA, R. G. ; MOURA, D. J. ; AGUIRRE, T. A. S. . Desenvolvimento de nanoemulsões contendo temozolomida e ferroceno como alternativa para o tratamento de glioblastoma. 2019. (Apresentação de Trabalho/Congresso).
2. FERRO, M. B. ; **HENN, J. G.** ; REINHARDT, L. S. ; LOPES, G. A. ; ROSA, R. G. ; AGUIRRE, T. A. S. ; MOURA, D. J. . Influência de nanoemulsões de núcleo lipídico contendo compostos de ferrocenos na viabilidade de células de glioblastoma tratadas com temozolomida. 2019. (Apresentação de Trabalho/Congresso).
  3. REINHARDT, L. S. ; **HENN, J. G.** ; MORAS, A. M. ; SPEROTTO, N. D. M. ; FERRO, M. B. ; CAO, Z. ; NUGENT, M. ; MOURA, D. J. . Caracterização e avaliação da atividade cicatrizante de nanofibras poliméricas contendo extrato hidroetanólico de *Plantago australis*. 2019. (Apresentação de Trabalho/Congresso).
  4. FERRO, M. B. ; REINHARDT, L. S. ; **HENN, J. G.** ; MORAS, A. M. ; SPEROTTO, N. D. M. ; CAO, Z. ; NUGENT, M. ; MOURA, D. J. . *Plantago australis* hydroethanolic extract electrospun nanofibers for wound healing. 2019. (Apresentação de Trabalho/Outra).
  5. REINHARDT, L. S. ; MORAS, A. M. ; ARANTES, P. R. ; **HENN, J. G.** ; BRAGANHOL, E. ; SOUZA, P. O. ; DIAS, M. C. H. B. ; CAO, Z. ; NUGENT, M. ; MOURA, D. J. . Nek1-inhibitor and temozolomide-loaded nanofibers as a co-therapy strategy for glioblastoma treatment. 2019. (Apresentação de Trabalho/Outra).
  6. **HENN, J. G.** ; FERRO, M. B. ; REINHARDT, L. S. ; LOPES, G. A. ; ROSA, R. G. ; AGUIRRE, T. A. S. ; MOURA, D. J. . Influence of nanoemulsions containing ferrocene compounds on the viability of glioblastoma cells treated with temozolomide. 2019. (Apresentação de Trabalho/Outra).
  7. **HENN, J. G.**. Metodologias bioanalíticas. 2018. (Apresentação de Trabalho/Conferência ou palestra).
  8. **HENN, J. G.** ; ROSA, R. G. ; POLETTO, F. ; MOURA, D. J. . Influence of ferrocene compounds on the viability of glioblastoma cells treated with temozolomide. 2018. (Apresentação de Trabalho/Outra).
  9. **HENN, J. G.**. Estudo toxicológico e farmacológico do extrato hidroetanólico de *Plantago australis* e de seu marcador, verbascosídeo. 2018. (Apresentação de Trabalho/Seminário).
  10. **HENN, JEFERSON GUSTAVO** ; POLETTO, F. ; ROSA, R. G. ; MOURA, DINARA JAQUELINE . Influência de ferrocenos na viabilidade de células de glioblastoma tratadas com temozolomida. 2018. (Apresentação de Trabalho/Outra).
  11. ROSA, H. T. ; REINHARDT, L. S. ; **HENN, J. G.** ; SCHUCHMANN, E. T. ; IMMICH, B. F. ; SAFFI, J. ; MOURA, D. J. . Fanconi anemia: recent remarks and model of study using lymphoblastoid cells from patients. 2016. (Apresentação de Trabalho/Outra).
  12. VERISSIMO, RODRIGO MOISÉS ; SPEROTTO, N. D. M. ; **HENN, J. G.** ; SAFFI, J. ; MOURA, D. J. . Avaliação da atividade anti-inflamatória de um extrato hidroetanólico de *Plantago australis* (Kunth) Rahn e seu composto verbascosídeo, em modelos in vitro. 2016. (Apresentação de Trabalho/Outra).
  13. VERISSIMO, R. M. ; SPEROTTO, N. D. M. ; **HENN, J. G.** ; PERES, V. F. ; SAFFI, J. ; MOURA, D. J. . Atividade cicatrizante do extrato etanólico de *Plantago major* e seu marcador analítico verbascosídeo. 2015. (Apresentação de Trabalho/Outra).
  14. **HENN, J. G.** ; PONCE, B. S. ; SPEROTTO, N. D. M. ; VERISSIMO, R. M. ; PERES, V. F. ; SAFFI, J. ; MOURA, D. J. . Desenvolvimento de um extrato etanólico padronizado de *Plantago major* L. em verbascosídeo e determinação de sua segurança toxicológica. 2015. (Apresentação de Trabalho/Outra).
  15. PONCE, B. S. ; **HENN, J. G.** ; SPEROTTO, N. D. M. ; VERISSIMO, R. M. ; PERES, V. F. ; SAFFI, J. ; MOURA, D. J. . Avaliação da citotoxicidade do extrato etanólico de *Plantago major* L. padronizado em verbascosídeo. 2015. (Apresentação de Trabalho/Outra).
  16. VERISSIMO, R. M. ; **HENN, J. G.** ; SPEROTTO, N. D. M. ; PERES, V. F. ; SAFFI, J. ; MOURA, D. J. . Desenvolvimento e padronização de extratos etanólicos das folhas de *Plantaginaceae*. 2014. (Apresentação de Trabalho/Outra).
  17. SEVERO, J. E. ; SILVA, C. M. ; **HENN, J. G.** . Avaliação da atividade gastroprotetora de *Tripodanthus acutifolius* (Ruiz & Pavón) Tieghem em ratos Wistar. 2013. (Apresentação de Trabalho/Outra).

#### Produção técnica

##### Entrevistas, mesas redondas, programas e comentários na mídia

1. MOTTA, A. S. ; LAZZARI, A. P. ; ROSA, C. V. ; MUELLER, C. V. ; VOGT, E. ; **HENN, J. G.** ; OLIVEIRA, J. E. H. ; PURPER, M. ; PEREIRA, M. A. ; FIGHERA, P. L. R. . Uma experiência que 'vale a pena'. 2008. (Programa de rádio ou TV/Entrevista). 📺

#### Demais tipos de produção técnica

1. **HENN, J. G.** ; REINHARDT, L. S. ; MORAS, A. M. . Metodologias bioanalíticas. 2018. (Curso de curta duração ministrado/Extensão).
2. **HENN, JEFERSON GUSTAVO** ; SILVA, A. O. . Cultura 3D (Método comercial e home-made). 2018. (Curso de curta duração ministrado/Outra).
3. **HENN, J. G.** ; REINHARDT, L. S. ; MORAS, A. M. . Micronúcleo. 2018. (Curso de curta duração ministrado/Extensão).

## Eventos

#### Participação em eventos, congressos, exposições e feiras

1. AIT Postgraduate Poster Event. Evaluation of the efficacy of lipid core nanoemulsions containing temozolomide coupled to ferrocenes in the treatment of glioblastomas. 2020. (Outra).
2. IV Encontro do PPG Biodiências. 2019. (Encontro).
- 3.

- IV Encontro do PPG Biociências. Influence of nanoemulsions containing ferrocene compounds on the viability of glioblastoma cells treated with temozolomide. 2019. (Encontro).
4. Seminars in Biomedical Sciences 2019. 2019. (Seminário).
  5. UFCSA Acolhe 2019. Monitoria. 2019. (Feira).
  6. III Encontro do PPG Biociências da UFCSA & Encontro de Pesquisa em Biologia Celular. Influência de ferrocenos na viabilidade de células de glioblastoma tratadas com temozolomida. 2018. (Encontro).
  7. III Encontro do PPG Biociências da UFCSA e Encontro de Pesquisa em Biologia Celular. 2018. (Encontro).
  8. UFCSA Acolhe 2018. Monitoria. 2018. (Feira).
  9. VI Fundamental aspects of DNA repair and mutagenesis, VI FARM-DNA. 2018. (Encontro).
  10. Cellularised Scaffolds, Co-cultures and Bioreactors for Engineering the Vascular Wall: Potential for Tissue Regeneration?. 2015. (Seminário).
  11. Curso de língua e cultura tcheca. 2015. (Outra).
  12. Fosfoetanolamina: a polêmica da droga anticâncer. 2015. (Outra).
  13. I Jornada acadêmica do curso de Toxicologia Analítica da UFCSA. 2015. (Outra).
  14. IX Congresso brasileiro de biossegurança. 2015. (Congresso).
  15. Soluções para Preparo de Amostras e Separações cromatográficas. 2015. (Outra).
  16. Toxicologia em debate: acidentes em massa. 2015. (Outra).
  17. X Jornada do Programa de Pós-Graduação em Patologia. 2015. (Outra).
  18. 5ª Jornada Científica e III Seminário Científico e Tecnológico/FEPPS. 2012. (Outra).
  19. Genética e Toxicologia Forense. 2010. (Oficina).
  20. Radiofármacos. 2010. (Outra).
  21. Intercâmbio Cultural Brasil-Canadá 2007. Relações Comunitárias e Comunicação Intercultural. 2007. (Outra).
  22. Programme international éducatif Québec / Brésil - 2007. Guia de aprendizagens - Certificado de estudos colegiais em desenvolvimento Comunitário e relações interculturais. 2007. (Outra).
  23. Injetáveis na Prática. 2006. (Outra).
  24. Tendências do Mercado Farmacêutico. 2006. (Oficina).
  25. VI Semana Acadêmica do Curso de Farmácia: "Amplie seus conhecimentos: promova saúde". 2006. (Outra).

#### Organização de eventos, congressos, exposições e feiras

1. MOURA, D. J.; SAFFI, J.; HENN, J. G.; REINHARDT, L. S.; MORAS, A. M.; GLORIA, H. C. E.; NASCIMENTO, L. V. M. . II Curso de Toxicidade Genética: curso de verão. 2018. (Outro).
2. MOURA, D. J.; SAFFI, J.; VILLELA, I. V.; MACHADO, M. S.; HENN, J. G.; MORAS, A. M.; JUCHEM, A. L. M. . Curso Teórico-Prático para Utilização de Métodos Alternativos ao Uso de Animais in vitro para Avaliação do Potencial Genotóxico de Substâncias Químicas ? Avaliação de Micronúcleos in vitro OECD 487. 2018. (Outro).
3. MORAS, A. M.; VIALI, C. M.; MOURA, D. J.; BUSATTO, F. F.; ROSA, H. T.; HENN, J. G.; SAFFI, J.; REINHARDT, L. S. . I Curso de toxicidade genética: causas, consequências e ensaios pré-clínicos. 2015. (Outro).
4. ALMEIDA, L. M. E.; BRDXNER, B.; GOMES, R. R.; HENN, J. G.; HORN, C.; KITTEL, C. S.; OLIVEIRA, I. L.; SILVA, C. L. A.; SILVA, D. C. L.; TWORKOWSKI, C. S.; WALTER, F. Z.; ZIMMER, E. . IX Semana Acadêmica do Curso de Farmácia. 2009. (Outro).
5. ALMEIDA, L. M. E.; BRDXNER, B.; GOMES, R. R.; HENN, J. G.; HORN, C.; KITTEL, C. S.; NIETIEDT, J.; OLIVEIRA, I. L.; SILVA, C. L. A.; SILVA, D. C. L.; TWORKOWSKI, C. S.; WALTER, F. Z.; ZIMMER, E. . VIII Semana Acadêmica do Curso de Farmácia. 2008. (Outro).

## Orientações

#### Orientações e supervisões concluídas

##### Trabalho de conclusão de curso de graduação

1. Matheus Bernardes Ferro. Simulação in vitro de um protocolo clínico para o tratamento de glioblastoma utilizando temozolomida em combinação com ferroceno. 2023. Trabalho de Conclusão de Curso. (Graduação em Farmácia) - Fundação Universidade Federal de Ciências da Saúde de Porto Alegre. Orientador: Jeferson Gustavo Henn.
2. Jucelaine Kulmann de Medeiros. Avaliação da citotoxicidade de extrato de *Maytenus ilicifolia* em células de hepatocarcinoma humano. 2019. Trabalho de Conclusão de Curso. (Graduação em Farmácia) - Fundação Universidade Federal de Ciências da Saúde de Porto Alegre. Orientador: Jeferson Gustavo Henn.

##### Iniciação científica

1. Matheus Bernardes Ferro. Preparação de nanoemulsões de núcleo lipídico contendo temozolomida acoplada a ferrocenos para o tratamento de glioblastomas. 2019. Iniciação Científica. (Graduando em Farmácia) - Fundação Universidade Federal de Ciências da Saúde de Porto Alegre, Conselho Nacional de Desenvolvimento Científico e Tecnológico. Orientador: Jeferson Gustavo Henn.
2. Betânia de Souza Ponce. Desenvolvimento de um extrato padronizado de *Plantago major* L. em ácidos triterpênicos e determinação do potencial cicatrizante, anti-inflamatório e da sua segurança toxicológica. 2015. Iniciação Científica. (Graduando em Biomedicina) - Fundação Universidade Federal de Ciências da Saúde de Porto Alegre. Orientador: Jeferson Gustavo Henn.

3. Rodrigo Moises Veríssimo. Determinação do potencial cicatrizante e anti-inflamatório de um extrato padronizado de *Plantago major* L. em ácidos triterpênicos. 2014. Iniciação Científica. (Graduando em Biomedicina) - Fundação Universidade Federal de Ciências da Saúde de Porto Alegre, Conselho Nacional de Desenvolvimento Científico e Tecnológico. Orientador: Jeferson Gustavo Henn.

## Educação e Popularização de C & T

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### Cursos de curta duração ministrados

1. **HENN, J.G.**; REINHARDT, L. S. ; MORAS, A. M. . Metodologias bioanalíticas. 2018. (Curso de curta duração ministrado/Extensão).
2. **HENN, J.G.**; REINHARDT, L. S. ; MORAS, A. M. . Micronúcleo. 2018. (Curso de curta duração ministrado/Extensão).

### Organização de eventos, congressos, exposições e feiras

1. MOURA, D.J. ; SAFFI, J. ; **HENN, J.G.** ; REINHARDT, L. S. ; MORAS, A. M. ; GLORIA, H. C. E. ; NASCIMENTO, L. V. M. . II Curso de Toxicidade Genética: curso de verão. 2018. (Outro).
2. MORAS, A. M. ; VIAU, C. M. ; MOURA, D. J. ; BUSATTO, F. F. ; ROSA, H. T. ; **HENN, J. G.** ; SAFFI, J. ; REINHARDT, L. S. . I Curso de toxicidade genética: causas, consequências e ensaios pré-clínicos. 2015. (Outro).

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